

# A precision test of lepton universality in $K^+ \rightarrow l^+ \nu$ decays at CERN NA62

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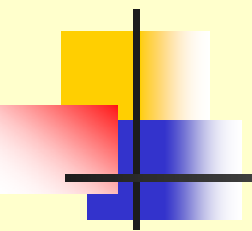
## Outline:

- 1) Purely leptonic meson decays: why interesting?
- 2) Overview of kaon experiments at CERN
- 3) Analysis of NA62 dedicated  $K^+ \rightarrow l^+ \nu$  sample
- 4) Competitors, comparison to world data
- 5) The future: NA62 phase II
- 6) Summary

*Particle Physics Seminar, University of Birmingham*

*12 May 2010*





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# Leptonic meson decays: physics interest

# Flavour physics in the LHC era

Searches for physics beyond the Standard Model

## Energy Frontier (LHC)

Determine the energy scale of NP by direct production of NP particles

## Rarity (High Intensity) Frontier

Determine the flavour structure of NP via virtual effects in precision observables: deviations from precise SM predictions in rare or forbidden processes.



CP violation in B and K systems  
Universality tests in B and K  
Rare B and K decays



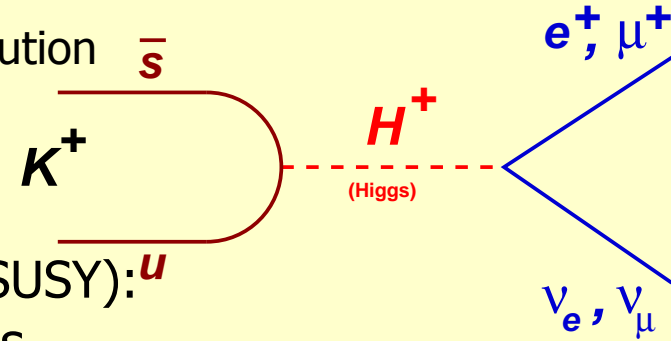
LVF in  $\mu$  and  $\tau$  decays  
Neutron EDM  
 $(g-2)_\mu$   
Improved CKM fits

Physics programme at the Rarity Frontier is complementary to direct searches for new physics at the Energy Frontier

# Leptonic meson decays: $P^+ \rightarrow l^+ \nu$

Angular momentum conservation  $\rightarrow$  suppressed SM contribution

$$\Gamma(P^+ \rightarrow l^+ \nu) = \frac{G_F^2 M_P M_l^2}{8\pi} \left(1 - \frac{M_l^2}{M_P^2}\right)^2 f_P^2 |V_{qq'}|^2$$



Models with two Higgs doublets (2HDM-II including SUSY):  $u$

sizeable charged Higgs ( $H^\pm$ ) exchange contributions

PRD48 (1993) 2342; Prog.Theor.Phys. 111 (2004) 295

(numerical examples for  $M_H=500\text{GeV}/c^2$ ,  $\tan\beta = 40$ )

$\pi^+ \rightarrow l\nu$ : $\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_\pi/m_H)^2 m_d/(m_u+m_d) \tan^2\beta \approx -2 \times 10^{-4}$	
$K^+ \rightarrow l\nu$ : $\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_K/m_H)^2 \tan^2\beta \approx -0.3\%$	
$D_s^+ \rightarrow l\nu$ : $\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_D/m_H)^2 (m_s/m_c) \tan^2\beta \approx -0.4\%$	
$B^+ \rightarrow l\nu$ : $\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_B/m_H)^2 \tan^2\beta \approx -30\%$	

$$R = \text{Br}(K \rightarrow \mu\nu) / \text{Br}(K_{e3}):$$

$$(\delta R/R)_{\text{exp}} = 1.0\%$$

BaBar, Belle:  $\text{Br}_{\text{exp}}(B \rightarrow \tau\nu) = (1.42 \pm 0.43) \times 10^{-4}$

Standard Model:  $\text{Br}_{\text{SM}}(B \rightarrow \tau\nu) = (1.33 \pm 0.23) \times 10^{-4}$

(SM uncertainties:  $\delta f_B/f_B = 10\%$ ,  $\delta |V_{ub}|^2/|V_{ub}|^2 = 13\%$ )

$$\Delta\Gamma/\Gamma_{\text{SM}} = 1.07 \pm 0.37$$

(JHEP 0811 (2008) 42)

Not hopeless, but obstructed by hadronic uncertainties

# $R_K = K_{e2}/K_{\mu2}$ in the SM

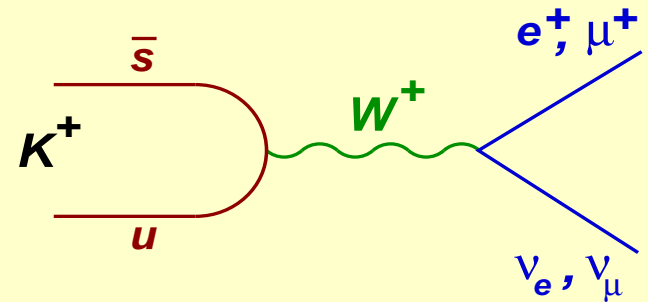
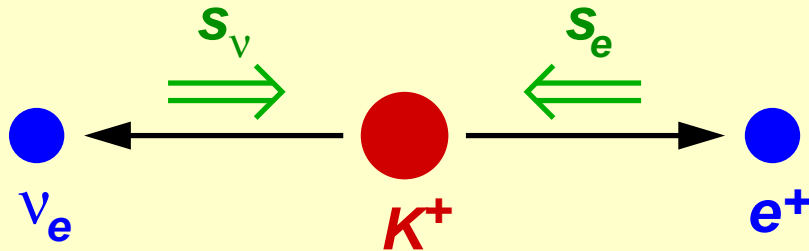
Observable sensitive to lepton flavour violation and its SM expectation:

$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)} = \frac{m_e^2}{m_\mu^2} \cdot \left( \frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2} \right)^2 \cdot (1 + \delta R_K^{\text{rad. corr.}})$$

(similarly,  $R_\pi$  in the pion sector)

Helicity suppression:  $f \sim 10^{-5}$

Radiative correction (few %) due to  $K^+ \rightarrow e^+ \nu \gamma$  (IB) process, by definition included into  $R_K$



- **SM prediction:** excellent sub-permille accuracy due to cancellation of hadronic uncertainties.
- Measurements of  $R_K$  and  $R_\pi$  have long been considered as tests of lepton universality.
- **Recently understood:** helicity suppression of  $R_K$  might enhance sensitivity to non-SM effects to an experimentally accessible level.

$$R_K^{\text{SM}} = (2.477 \pm 0.001) \times 10^{-5}$$

$$R_\pi^{\text{SM}} = (12.352 \pm 0.001) \times 10^{-5}$$

Phys. Lett. 99 (2007) 231801

# $R_K = K_{e2}/K_{\mu2}$ beyond the SM

## 2HDM-II: tree level (including SUSY)

The charged Higgs  $H^\pm$  exchange contribution is flavour-independent

→ Does not affect the ratio  $R_K$

## 2HDM-II: one-loop level

Dominant contribution to  $\Delta R_K$ :  $H^\pm$  mediated LFV (rather than LFC) with emission of  $\nu_\tau$

→  $R_K$  enhancement can be experimentally accessible

$$R_K^{\text{LFV}} \approx R_K^{\text{SM}} \left[ 1 + \left( \frac{m_K^4}{M_{H^\pm}^4} \right) \left( \frac{m_\tau^2}{M_e^2} \right) |\Delta_{13}|^2 \tan^6 \beta \right]$$

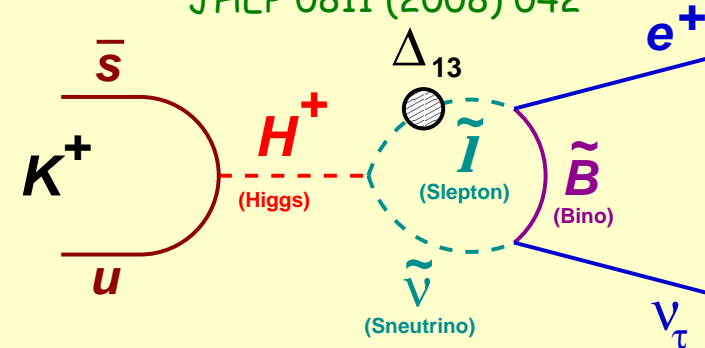
~1% effect in large (but not extreme)  $\tan\beta$  regime with a massive  $H^\pm$

Example:

( $\Delta_{13} = 5 \times 10^{-4}$ ,  $\tan\beta = 40$ ,  $M_H = 500 \text{ GeV}/c^2$ )

lead to  $R_K^{\text{MSSM}} = R_K^{\text{SM}}(1 + 0.013)$ .

PRD 74 (2006) 011701,  
JHEP 0811 (2008) 042



Analogous SUSY effect in pion decay is suppressed by a factor  $(M_\pi/M_K)^4 \approx 6 \times 10^{-3}$

(see also PRD76 (007) 095017)

Large effects in B decays due to  $(M_B/M_K)^4 \sim 10^4$ :

$B_{\mu\nu}/B_{\tau\nu} \rightarrow \sim 50\%$  enhancement;

$B_{e\nu}/B_{\tau\nu} \rightarrow$  enhanced by **~one order of magnitude.**

Out of reach:  $\text{Br}^{\text{SM}}(B_{e\nu}) \approx 10^{-11}$

# $R_K$ & $R_\pi$ : experimental status

## Kaon experiments:

→ PDG'08 average (1970s measurements):

$$R_K = (2.45 \pm 0.11) \times 10^{-5} \quad (\delta R_K / R_K = 4.5\%)$$

→ Recent improvement: KLOE (Frascati).

Data collected in 2001–2005,  
13.8K  $K_{e2}$  candidates, 16% background.

$$R_K = (2.493 \pm 0.031) \times 10^{-5} \quad (\delta R_K / R_K = 1.3\%)$$

(EPJ C64 (2009) 627)

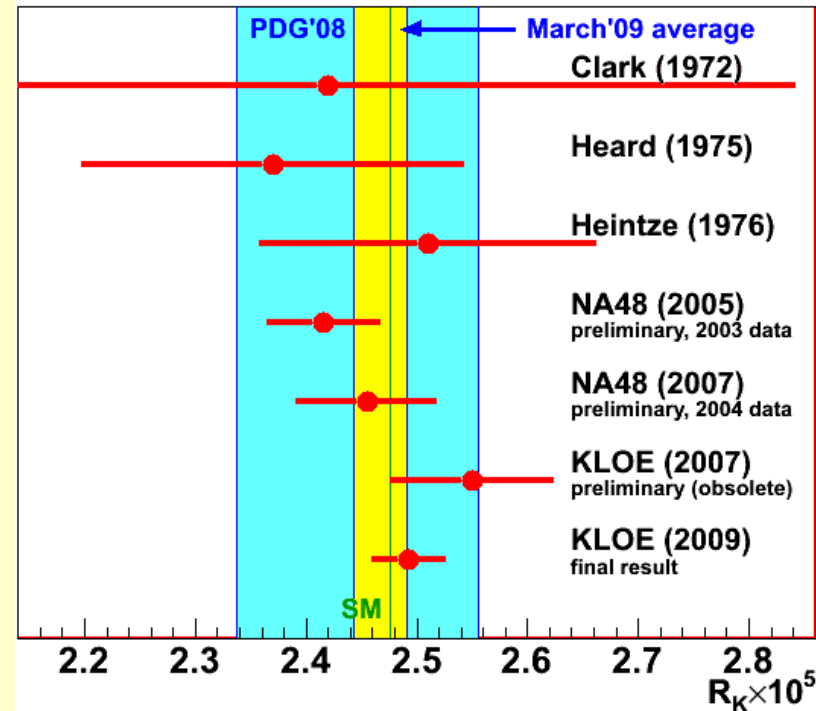
→ **NA62** current goal:

dedicated data taking strategy,

$\sim 150K$   $K_{e2}$  candidates,  $< 10\%$  background,

$\delta R_K / R_K < 0.5\%$  : a stringent SM test.

## $R_K$ world average (March 2009)



## Pion experiments:

→ PDG'08 average (1980s, 90s measurements):

$$R_\pi = (12.30 \pm 0.04) \times 10^{-5} \quad (\delta R_\pi / R_\pi = 0.3\%)$$

→ Current projects: PEN@PSI (stopped  $\pi$ ) running (arXiv:0909.4358)

PIENU@TRIUMF (in-flight) proposed (T. Numao, PANIC'08 proceedings, p.874)

$\delta R_\pi / R_\pi \sim 0.05\%$  foreseen (similar to SM precision)

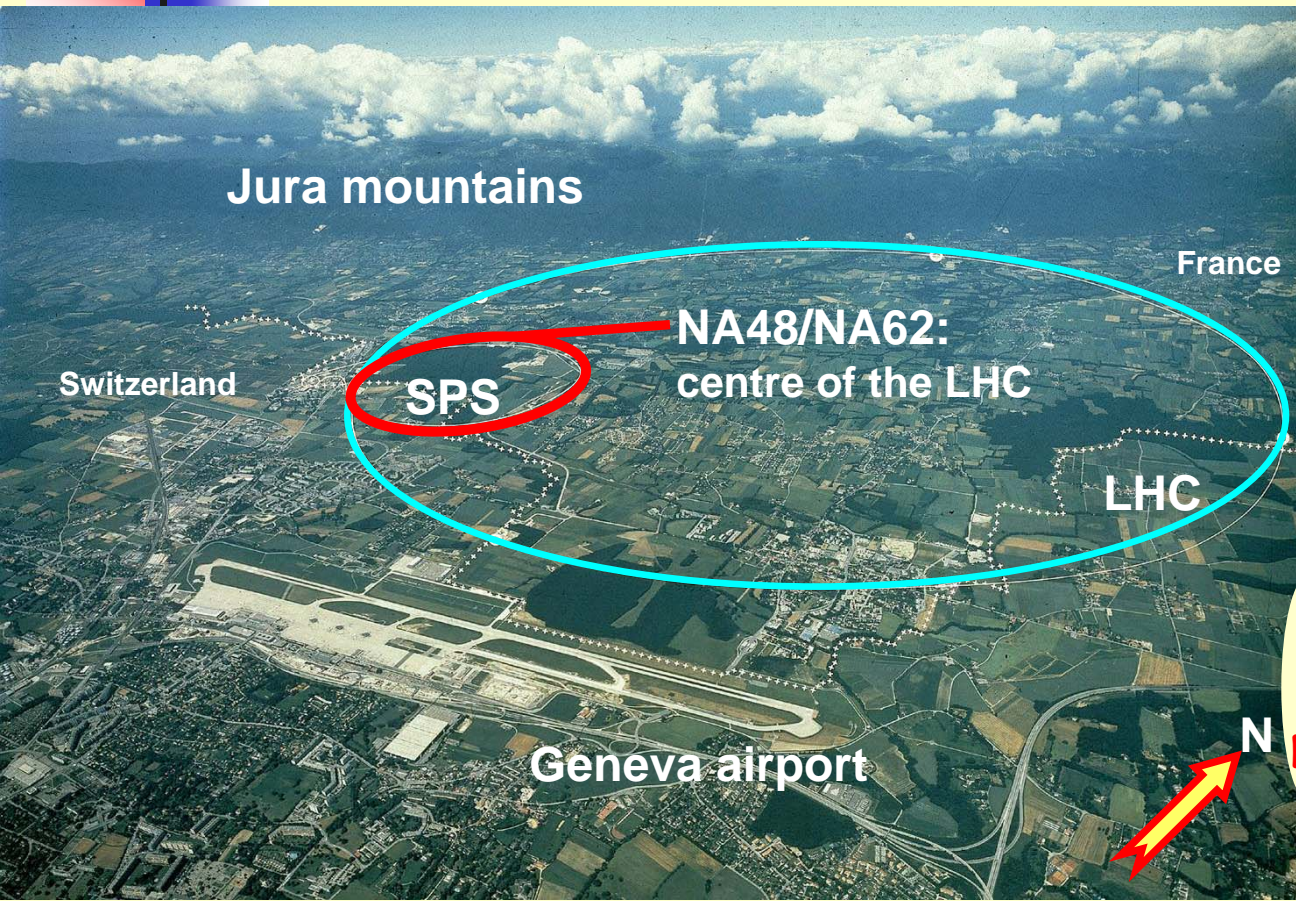


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# Kaon experiments at CERN: NA48 and NA62



# CERN NA48 and NA62



Earlier: NA31

1997:  $\varepsilon'/\varepsilon: K_L + K_S$

1998:  $K_L + K_S$

**NA48**  
discovery  
of direct  
CPV

1999:  $K_L + K_S$  |  $K_S$  HI

2000:  $K_L$  only |  $K_S$  HI

2001:  $K_L + K_S$  |  $K_S$  HI

**NA48/1**

2002:  $K_S$ /hyperons

**NA48/2**

2003:  $K^+ / K^-$

2004:  $K^+ / K^-$

**NA62**  
(phase I)

2007:  $K_{e2}^+ / K_{\mu2}^+$  | tests

2008:  $K_{e2}^+ / K_{\mu2}^+$  | tests

**NA62**  
(phase II)

2007–2012:  
design & construction  
2013–2015:  
 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  data taking



NA62 phase I: Bern ITP, Birmingham, CERN, Dubna, Fairfax, Ferrara, Florence, Frascati, IHEP Protvino, INR Moscow, Louvain, Mainz, Merced, Naples, Perugia, Pisa, Rome I, Rome II, Saclay, San Luis Potosí, SLAC, Sofia, TRIUMF, Turin

# NA48/NA62 $K^\pm$ beam line

Kaon decays in flight: beamline+setup are ~700 feet long



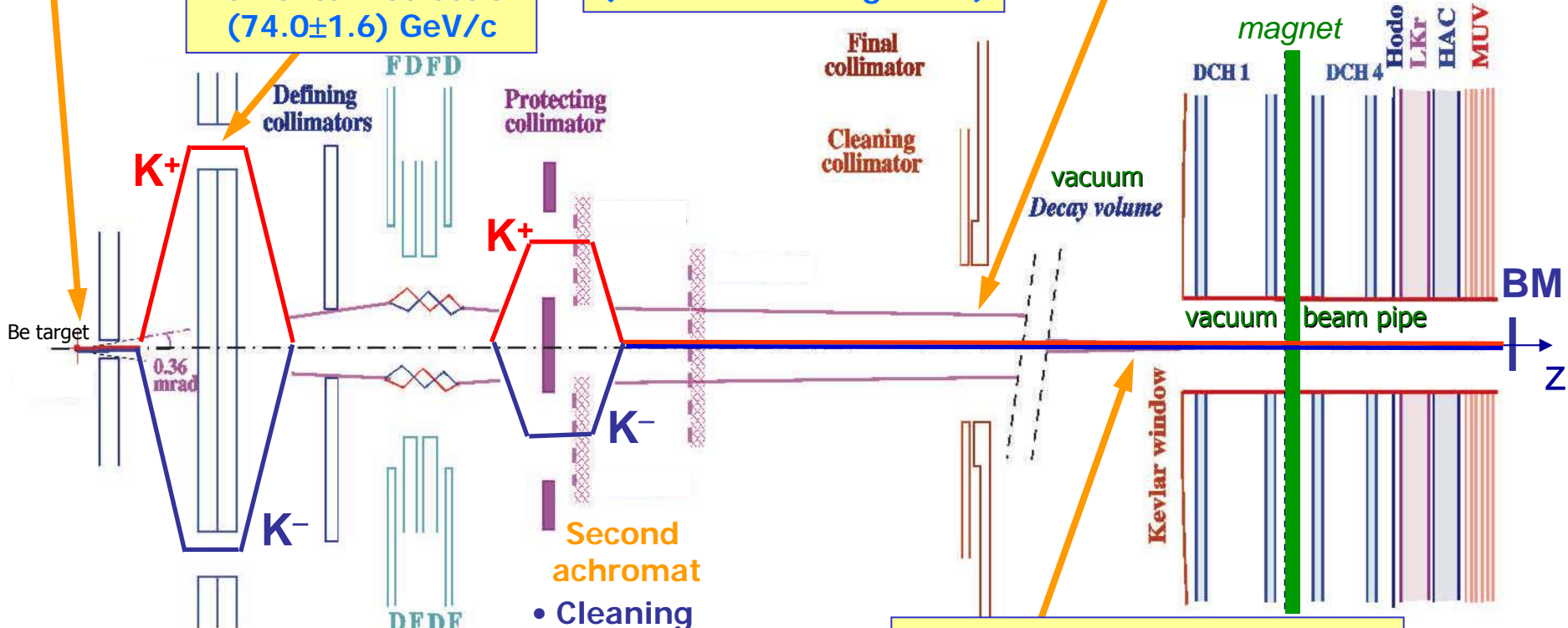
# NA62 (phase I) $K^+$ beam line

$2 \cdot 10^{12}$  protons  
(400 GeV) per spill

Most data taken with  
the  $K^+$  beam only used  
(lower halo background)

Unseparated beam:  $\pi/K=13$   
1.3M  $K^+$ /SPS spill

Momentum selection  
( $74.0 \pm 1.6$ ) GeV/c



Front-end achromat

Quadrupole quadruplet  
• Focusing  
•  $\mu$  sweeping

Second achromat  
• Cleaning  
• Beam spectrometer was installed in 2003-04

Beam spot:  $7 \times 7$  mm (rms).  
18% of kaons decay in the 114m long vacuum tank.

vacuum tank      He tank + spectrometer

1cm

10 cm

not to scale

50

100 200

250 m

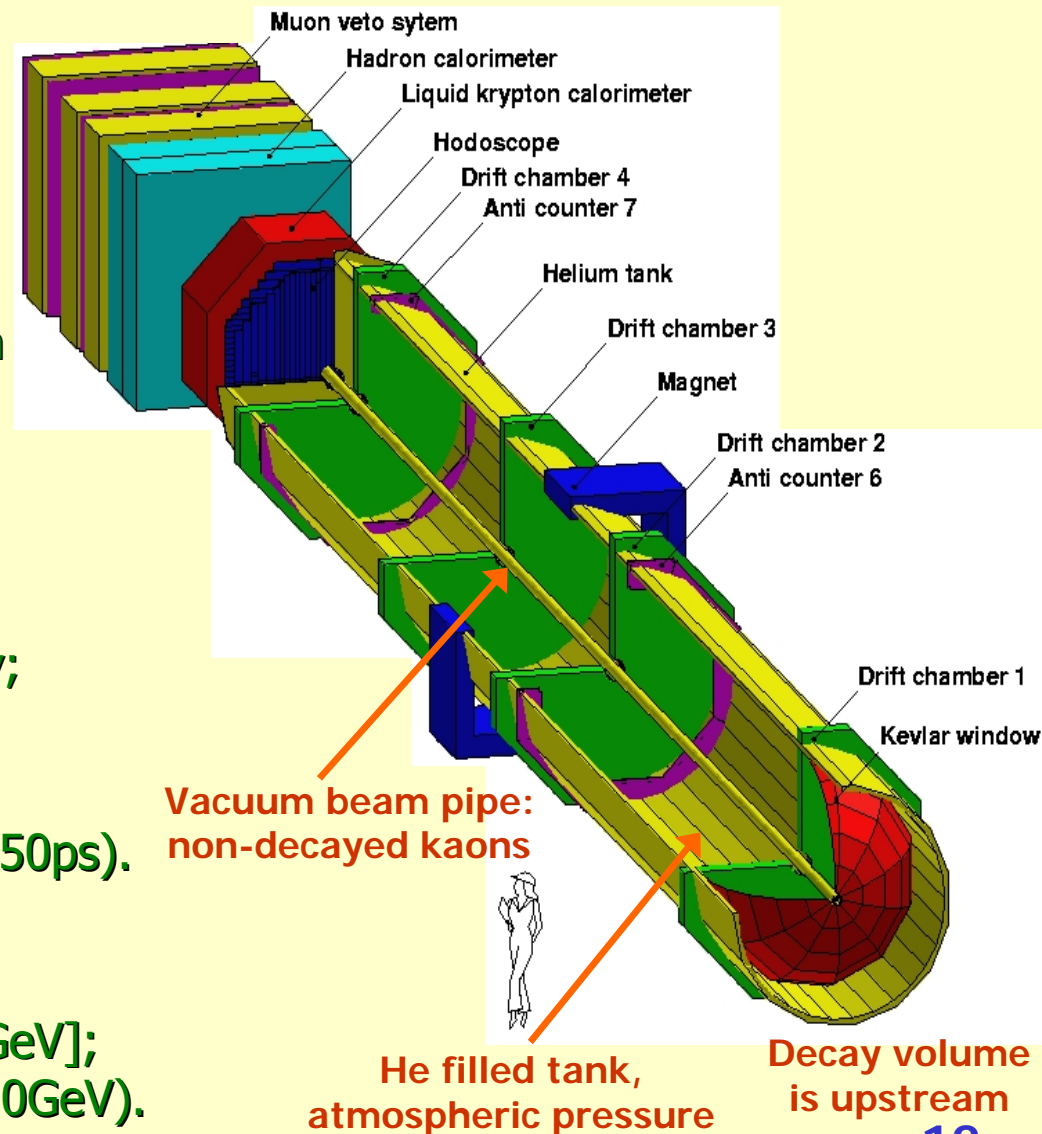
# Data taking & detector: 2007/08

## Data taking

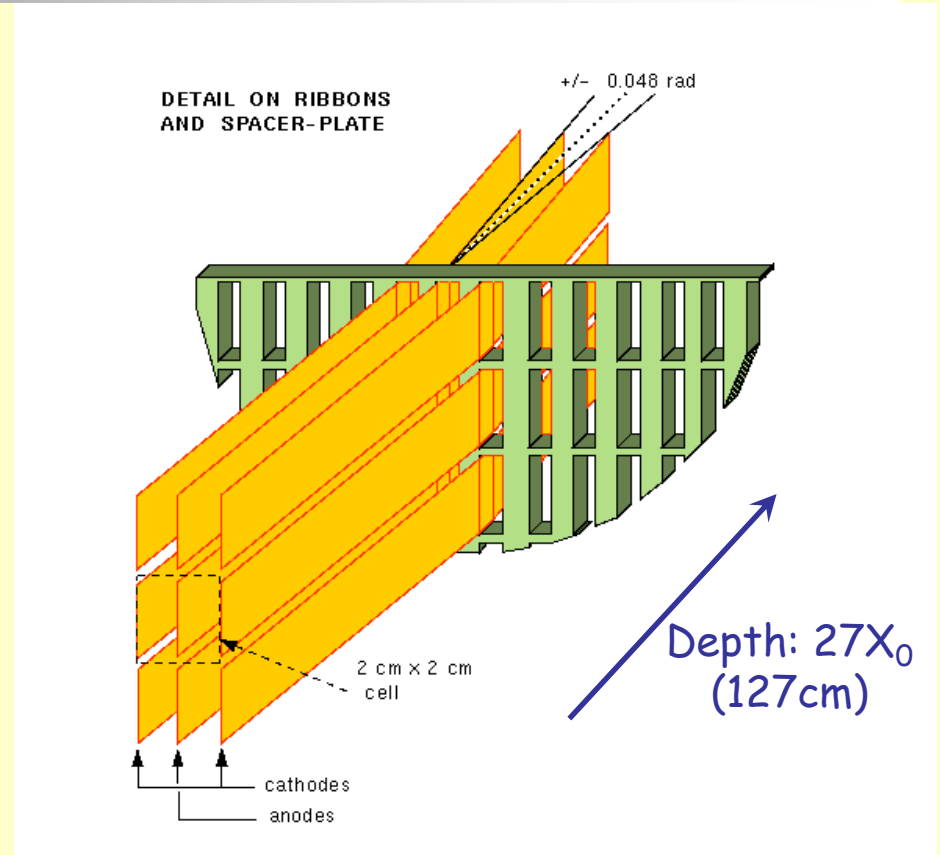
- Four months in 2007:  
~400K SPS spills,  
~300TB of raw data handled
- Two weeks in 2008:  
dedicated data sets allowing reduction  
of the systematic uncertainties.

## Principal subdetectors for $R_K$ :

- Magnetic spectrometer (4 DCHs):  
4 views/DCH: redundancy  $\Rightarrow$  efficiency;  
 $\Delta p/p = 0.47\% + 0.020\% \cdot p$  [GeV/c]
- Hodoscope  
fast trigger, precise  $t$  measurement (150ps).
- Liquid Krypton EM calorimeter (LKr)  
High granularity, quasi-homogeneous;  
 $\sigma_E/E = 3.2\%/E^{1/2} + 9\%/E + 0.42\%$  [GeV];  
 $\sigma_x = \sigma_y = 0.42/E^{1/2} + 0.6\text{mm}$  (1.5mm@10GeV).



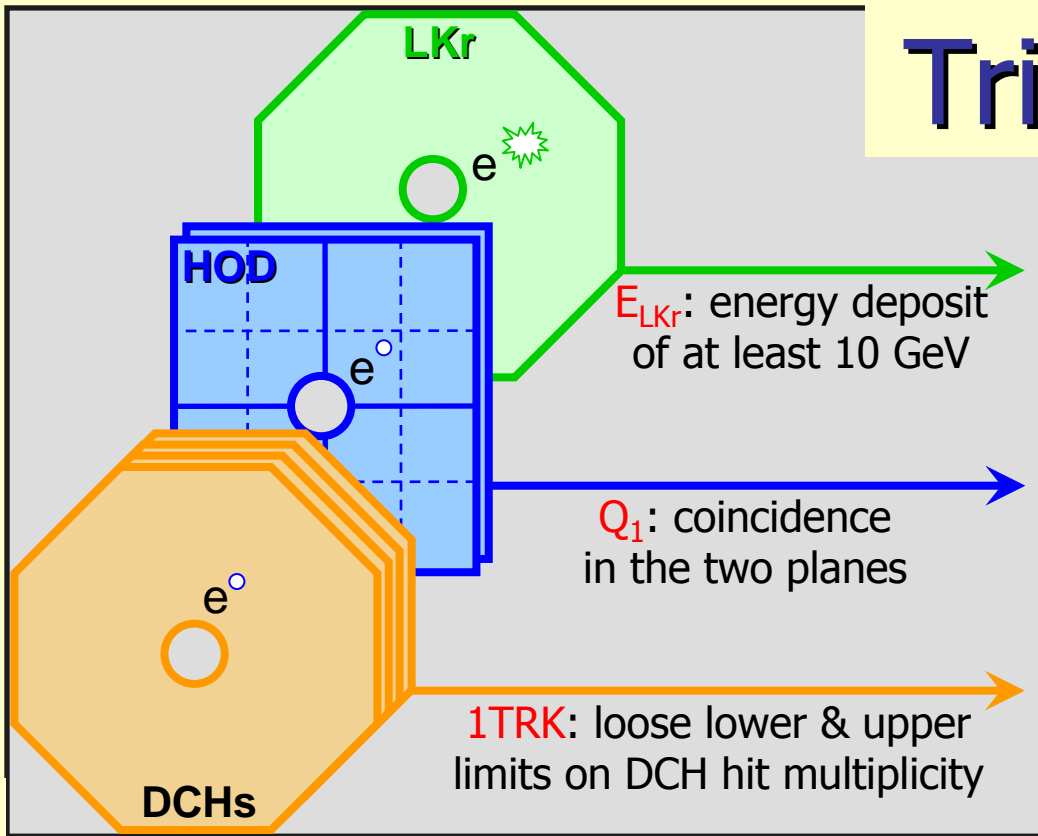
# Electromagnetic LKr calorimeter



Transversal segmentation: 13,248 cells ( $2 \times 2 \text{ cm}^2$ ),  
no longitudinal segmentation.

Essential for the present analysis:  
(1) positron/muon identification  
(2) photon veto.

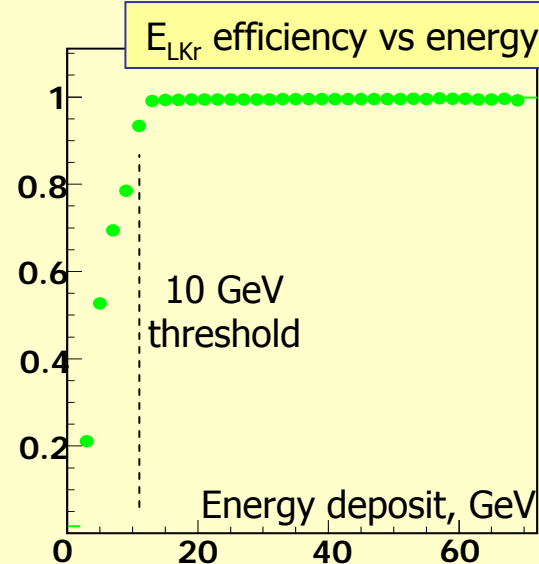
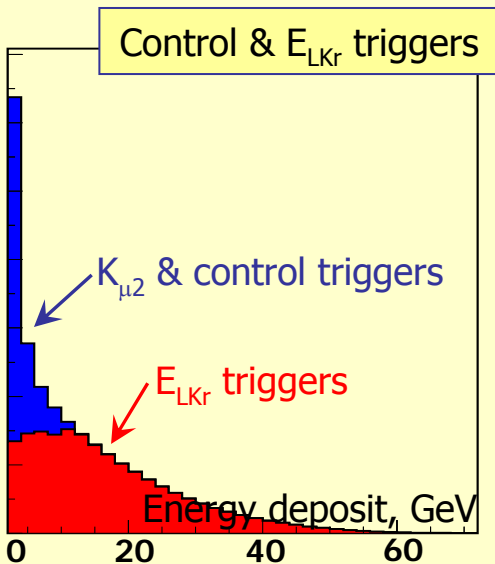
# Trigger logic 2007



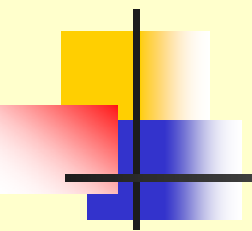
Minimum bias trigger in 2007:  
(high efficiency, but low purity)

**$K_{\mu 2}$  condition:**  $Q_1 \times 1TRK/D$ ,  
downscaling (D) 50 to 150.  
Purity  $\sim 2\%$   
(rate dominated by the beam halo).

**$K_{e 2}$  condition:**  $Q_1 \times E_{LKr} \times 1TRK$ .  
Purity  $\sim 10^{-5}$   
(same order as  $R_K$ ).



- Efficiencies of the trigger components are monitored using control triggers.
- Measured  $E_{LKr}$  inefficiency for electrons:  $< 0.05\%$  for in the relevant momentum range.



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# Dedicated $K^+ \rightarrow l^+ \nu$ sample: data analysis

# Measurement strategy

(1)  $K_{e2}/K_{\mu2}$  candidates are collected simultaneously:

- the result does not rely on kaon flux measurement;
- several systematic effects cancel at first order (e.g. reconstruction/trigger efficiencies, time-dependent effects).

(2) counting experiment, independently in 10 lepton momentum bins (owing to strong momentum dependence of backgrounds and event topology)

$$R_K = \frac{1}{D} \cdot \frac{N(K_{e2}) - N_B(K_{e2})}{N(K_{\mu2}) - N_B(K_{\mu2})} \cdot \frac{A(K_{\mu2}) \times f_{\mu} \times \varepsilon(K_{\mu2})}{A(K_{e2}) \times f_e \times \varepsilon(K_{e2})} \cdot \frac{1}{f_{\text{LKr}}}$$

$N(K_{e2}), N(K_{\mu2})$ : numbers of selected  $K_{l2}$  candidates;

$N_B(K_{e2}), N_B(K_{\mu2})$ : numbers of background events;  $\Rightarrow N_B(K_{e2})$ : main source of systematic errors

$A(K_{e2}), A(K_{\mu2})$ : MC geometric acceptances (no ID);

$f_e, f_{\mu}$ : directly measured particle ID efficiencies;

$\varepsilon(K_{e2})/\varepsilon(K_{\mu2}) > 99.9\%$ :  $E_{\text{LKr}}$  trigger condition efficiency;

$f_{\text{LKr}} = 0.9980(3)$ : global LKr readout efficiency.

(3) MC simulations used to a limited extent:

- Geometrical part of the acceptance correction (not for particle ID);
- simulation of “catastrophic” bremsstrahlung by muons.



# $K_{e2}$ vs $K_{\mu2}$ selection

## Large common part (topological similarity)

- one reconstructed track;
- geometrical acceptance cuts;
- K decay vertex: closest approach of track & nominal kaon axis;
- veto extra LKr energy deposition clusters;
- track momentum:  $15\text{GeV}/c < p < 65\text{GeV}/c$ .

## Kinematic separation

missing mass

$$M_{miss}^2 = (P_K - P_l)^2$$

$P_K$ : average measured with  $K_{3\pi}$  decays

→ Sufficient  $K_{e2}/K_{\mu2}$  separation at  $p_{track} < 30\text{GeV}/c$

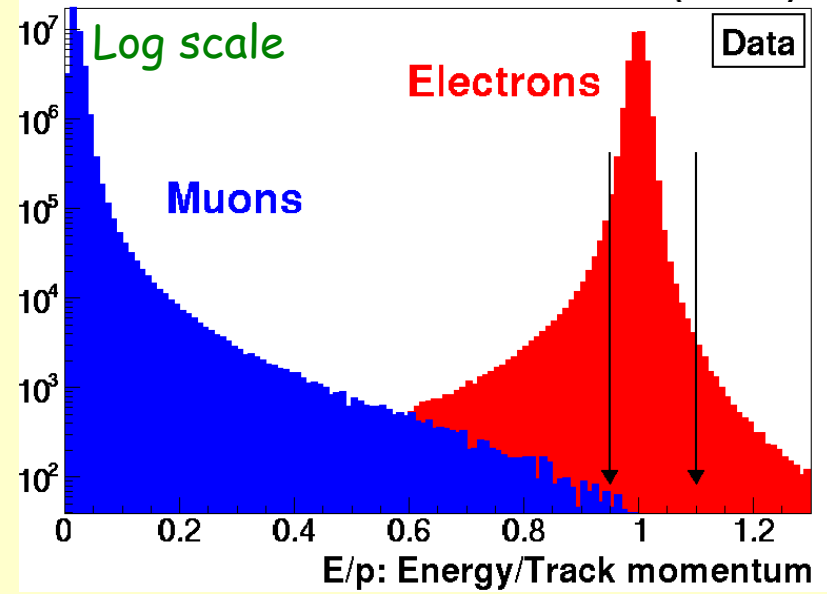
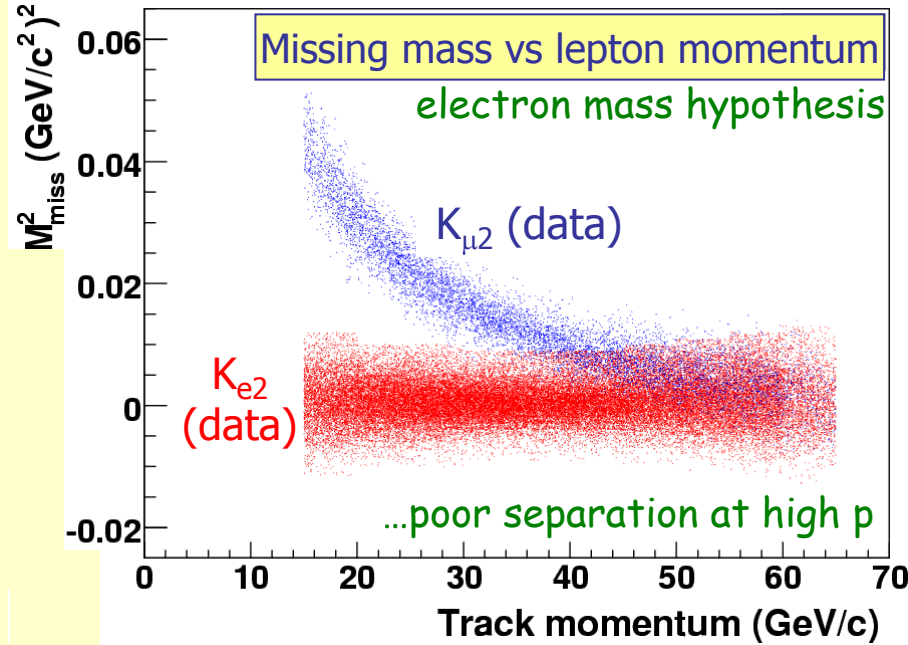
## Separation by particle ID

$E/p = (\text{LKr energy deposit}/\text{track momentum})$ .

$0.95 < E/p < 1.10$  for electrons,

$E/p < 0.85$  for muons.

→ Powerful  $\mu^\pm$  suppression in  $e^\pm$  sample:  $f \sim 10^6$



# $K_{\mu 2}$ background in $K_{e 2}$ sample

## Main background source:

muon "catastrophic" energy loss in LKr by emission of energetic bremsstrahlung photons.  
 $P(\mu \rightarrow e) \sim 3 \times 10^{-6}$  (and momentum-dependent).

$$P(\mu \rightarrow e)/R_K \sim 10\%:$$

$K_{\mu 2}$  decays represent a major background

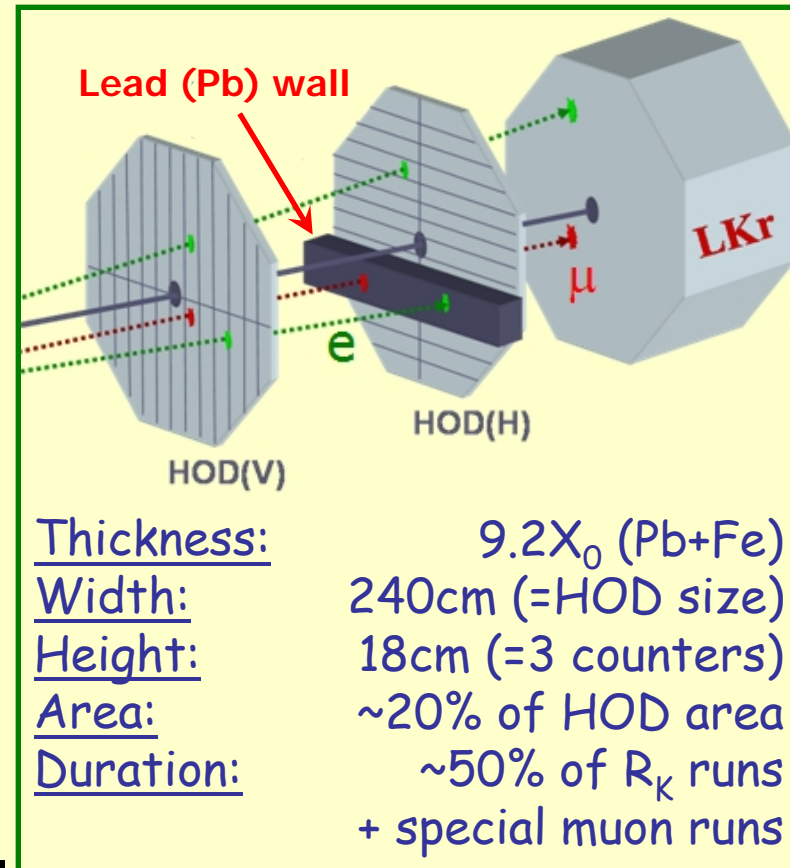
## Theoretical bremsstrahlung cross-section

[Phys. Atom. Nucl. 60 (1997) 576]

must be validated in the region  $(E_\gamma/E_\mu) > 0.9$   
 by a direct measurement of  $P(\mu \rightarrow e)$   
 to  $\sim 10^{-2}$  relative precision.

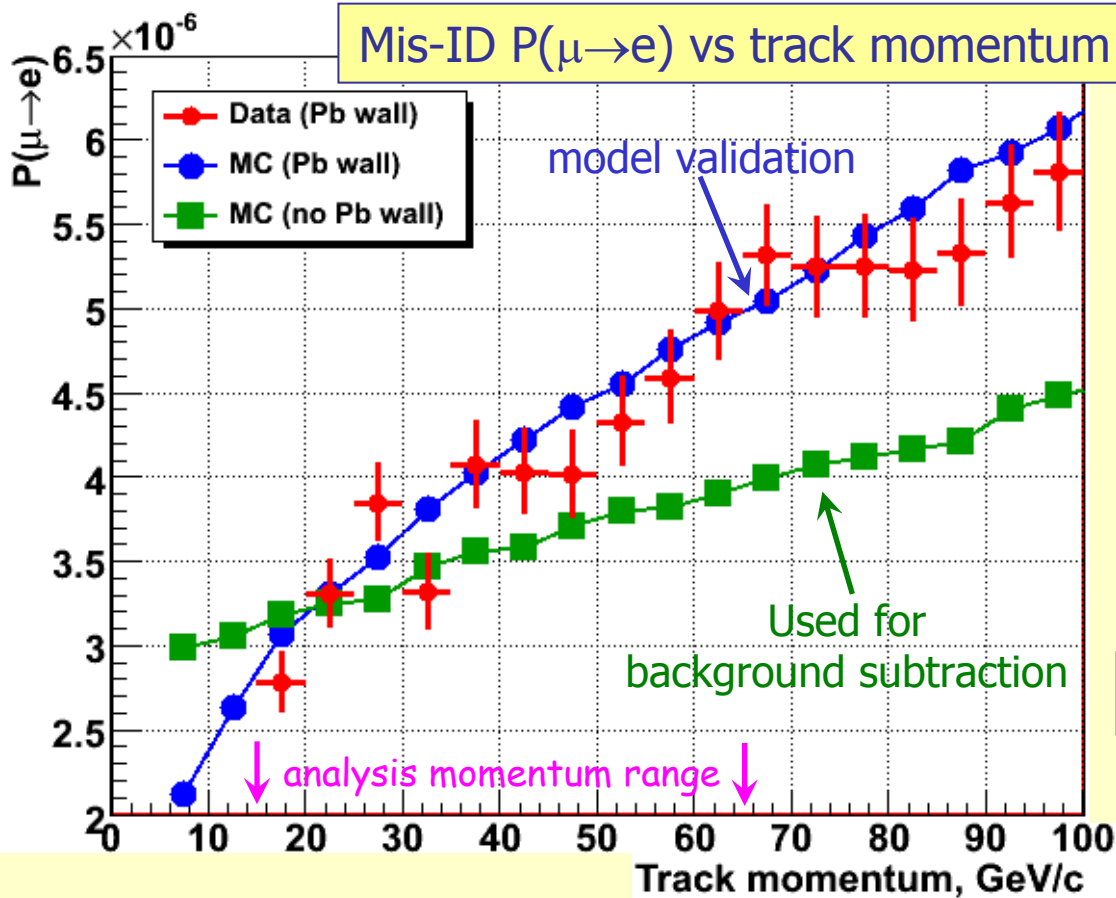
## Obtaining pure muon samples

Electron contamination due to  $\mu \rightarrow e$  decay:  $\sim 10^{-4}$ .  
 Pb wall ( $9.2X_0$ ) placed between the HOD planes:  
 tracks traversing the wall and having  $E/p > 0.95$   
 are sufficiently pure muon samples (electron contamination  $< 10^{-7}$ ).



# $K_{\mu 2}$ background (2)

$P(\mu \rightarrow e)$ : measurement (2007 special muon run) vs Geant4-based simulation



[Cross-section model:  
Phys. Atom. Nucl. 60 (1997) 576]

Good data/MC agreement  
for the Pb wall installed

$P(\mu \rightarrow e)$  is modified by the Pb wall  
via two competing mechanisms:

- 1) ionization losses in Pb (low p);
- 2) bremsstrahlung in Pb (high p).

→ a significant MC correction

Result:  $B/(S+B) = (6.28 \pm 0.17)\%$

(uncertainty: due to limited size of  
the data sample used to validate  
the cross-section model)

## Prospects:

- “Measurement (Pb) + MC correction (NoPb/Pb)” approach is less biased than using a validated MC simulation.

# $K_{\mu 2}$ with $\mu \rightarrow e$ decay in flight

For NA62 conditions  
(74 GeV/c beam,  $\sim 100$  m decay volume),

$$N(K_{\mu 2}, \mu \rightarrow e \text{ decay})/N(K_{e 2}) \sim 10$$

$K_{\mu 2}$  ( $\mu \rightarrow e$ ) naïvely seems a huge background

Muons from  $K_{\mu 2}$  decay are fully polarized:  
Michel electron distribution

$$d^2\Gamma/dx d(\cos\Theta) \sim x^2[(3-2x) - \cos\Theta(1-2x)]$$

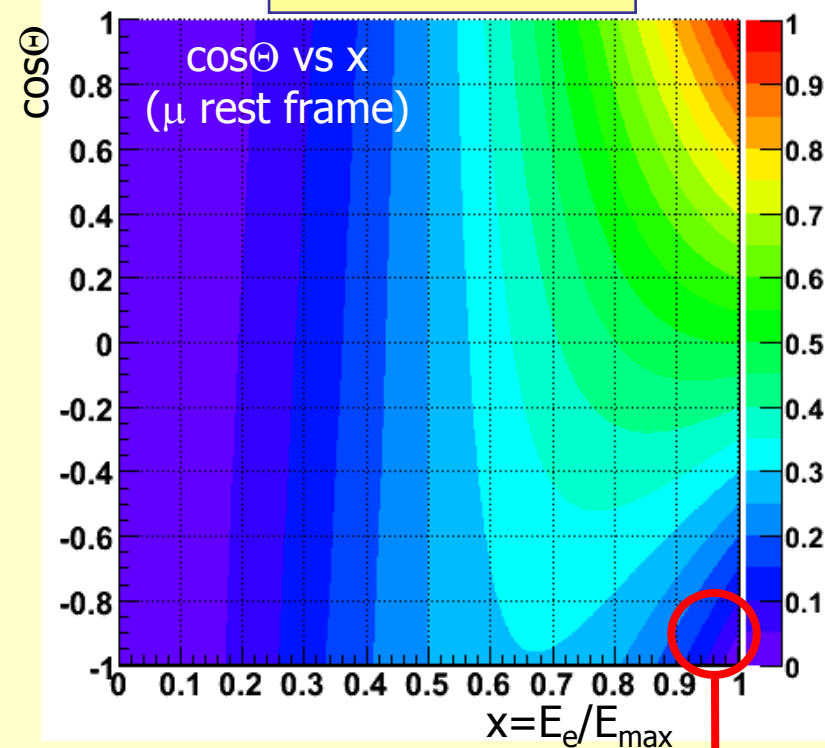
$$x = E_e/E_{\max} \approx 2E_e/M_{\mu}$$

$\Theta$  is the angle between  $p_e$  and the muon spin  
(all quantities are defined in muon rest frame).

$$\text{Result: } B/(S+B) = (0.23 \pm 0.01)\%$$

Important but not dominant background

Michel distribution

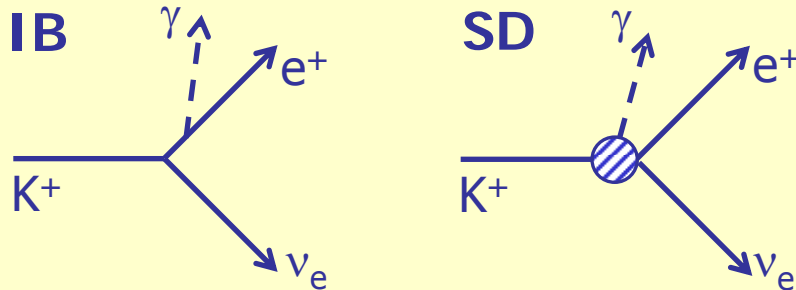


Only energetic forward electrons  
(passing  $M_{\text{miss}}$ ,  $E/p$ , vertex CDA cuts)  
are selected as  $K_{e 2}$  candidates:  
(high  $x$ , low  $\cos\Theta$ ).

They are naturally suppressed  
by the muon polarisation

# Radiative $K^+ \rightarrow e^+ \nu_e \gamma$ process

By definition,  $R_K$  is inclusive of the IB part of the radiative  $K_{e2\gamma}$  process

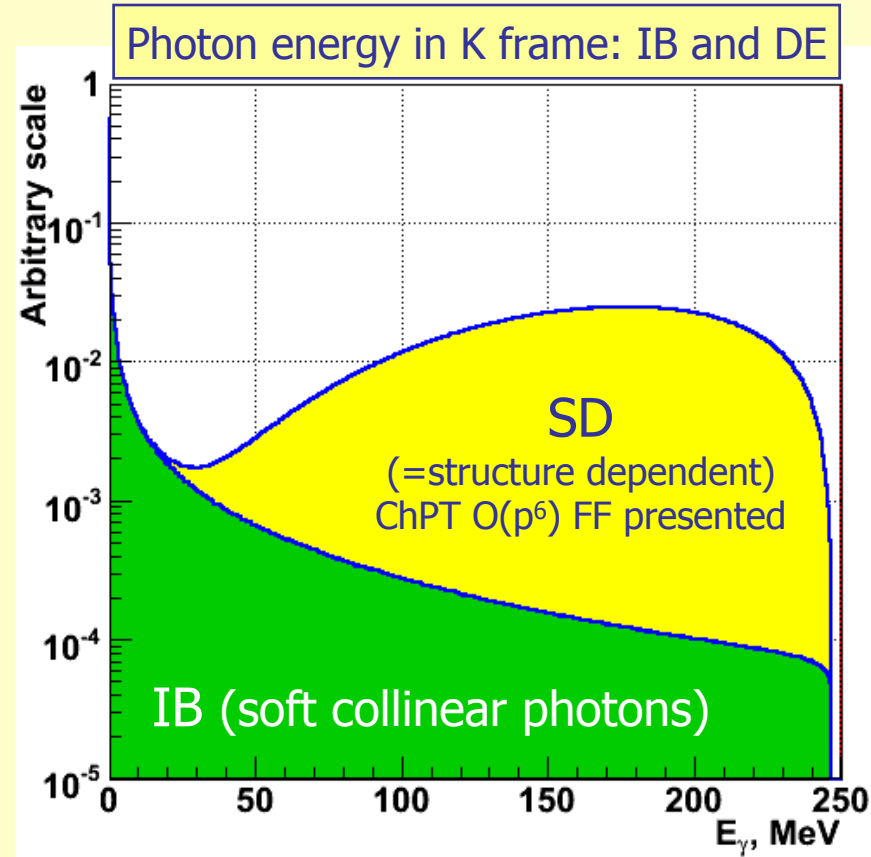


- The  $K_{e2\gamma}$  (SD) process is a background.
- SD is not helicity suppressed, and its rate is similar to that of  $K_{e2}$ .
- Known to a limited precision of  $\sim 15\%$ .

(NB: a recent 4% precision measurement, EPJC64 (2009) 627, not used in present analysis)

Experiment:  $BR = (1.52 \pm 0.23) \times 10^{-5}$   
(average of 1970s measurements)

Theory:  $BR = (1.38 - 1.53) \times 10^{-5}$  [PRD77 (2008) 014004]  
(uncertainty due to a model-dependent form factor)



# $K^+ \rightarrow e^+ \nu \gamma$ (SD) decay

Decay density:  $\frac{d\Gamma(K \rightarrow e \nu \gamma)}{dx dy} = \underbrace{\rho_{IB}(x, y)}_{\text{helicity suppressed}} + \rho_{SD}(x, y) + \underbrace{\rho_{INT}(x, y)}_{\text{negligible}}$

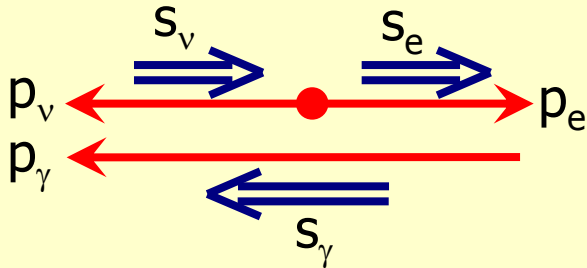
Kinematic variables (kaon frame):  $x = 2E_\gamma/M_K$ ,  $y = 2E_e/M_K$

$$\rho_{SD}(x, y) = \frac{G_F^2 |V_{us}|^2 \alpha}{64\pi^2} M_K^5 \left( (f_V + f_A)^2 f_{SD^+}(x, y) + (f_V - f_A)^2 f_{SD^-}(x, y) \right)$$

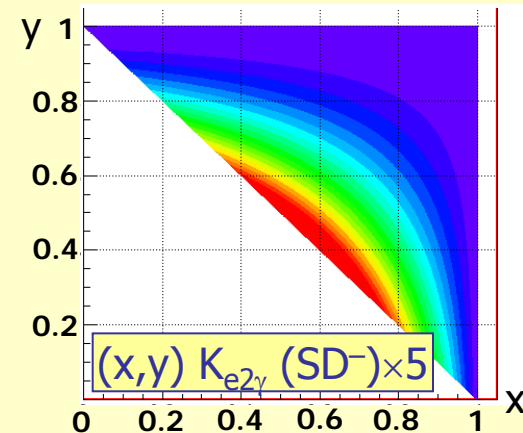
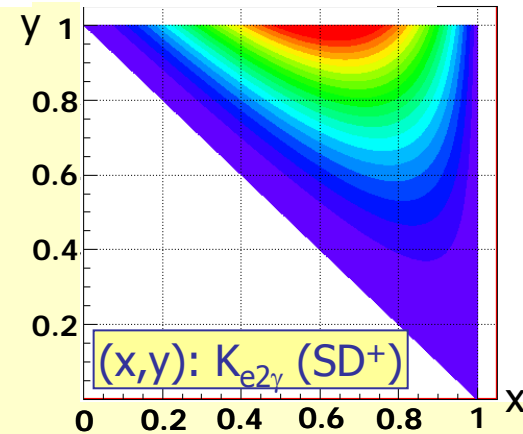
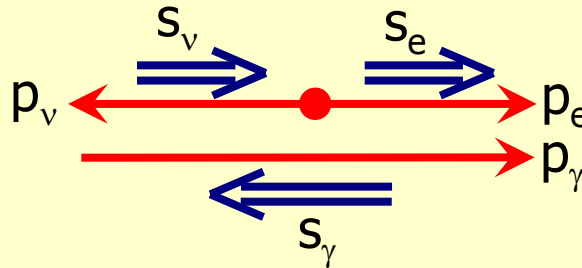
Two non-interfering contributions  $SD^+$  and  $SD^-$ :  
emission of photons with positive and negative helicity

$f_V(x)$ ,  $f_A(x)$ : model-dependent effective  
vector and axial couplings

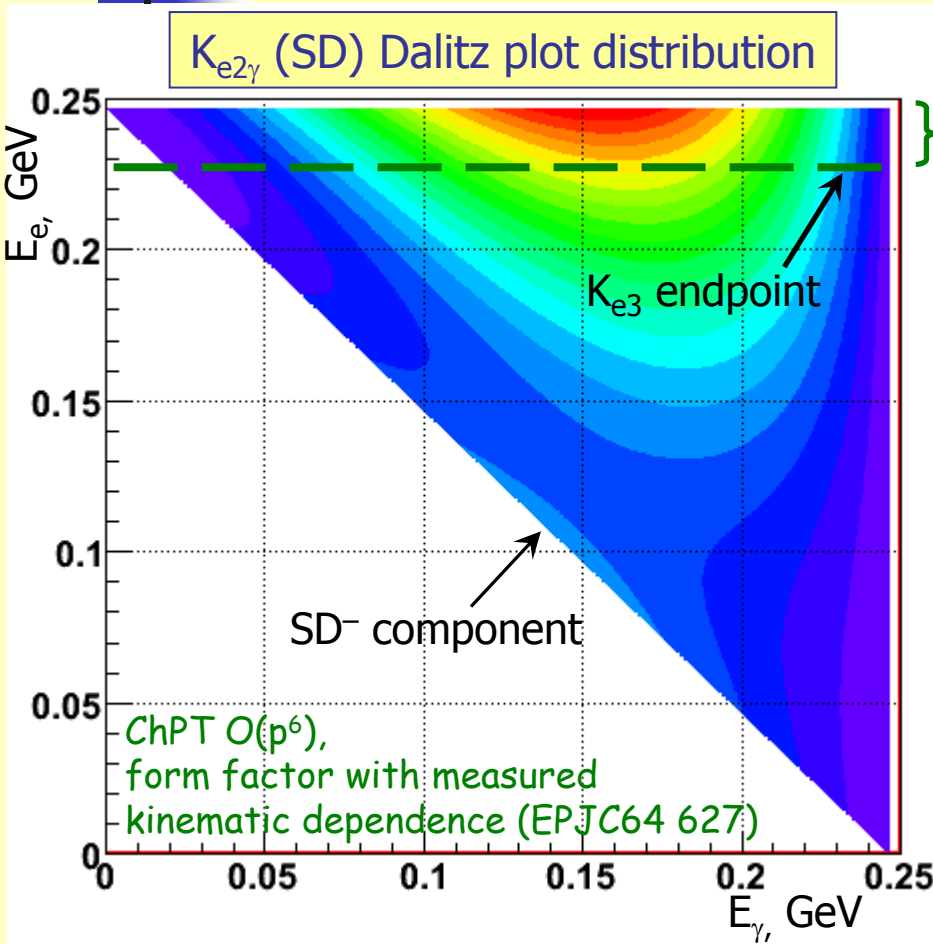
**$SD^+$ : positive  $\gamma$  helicity**



**$SD^-$ : negative  $\gamma$  helicity**



# $K^+ \rightarrow e^+ \nu \gamma$ ( $SD^+$ ) background



Only energetic electrons ( $E_e^* > 230 \text{ MeV}$ ) are compatible to  $K_{e2}$  kinematic ID and contribute to the background



This region of phase space is accessible for direct BR and form-factor measurement (being above the  $E_e^* = 227 \text{ MeV}$  endpoint of the  $K_{e3}$  spectrum).

SD background contamination

$$B/(S+B) = (1.02 \pm 0.15)\%$$

(uncertainty due to PDG BR, to be improved by NA62 & KLOE)

$K_{e2\gamma}$  ( $SD^-$ ) background is negligible, peaking at  $E_e = E_{\text{max}}/2 \approx 123 \text{ MeV}$

# Beam halo background

Electrons produced by beam halo muons via  $\mu \rightarrow e$  decay can be kinematically and geometrically compatible to genuine  $K_{e2}$  decays

## Background measurement:

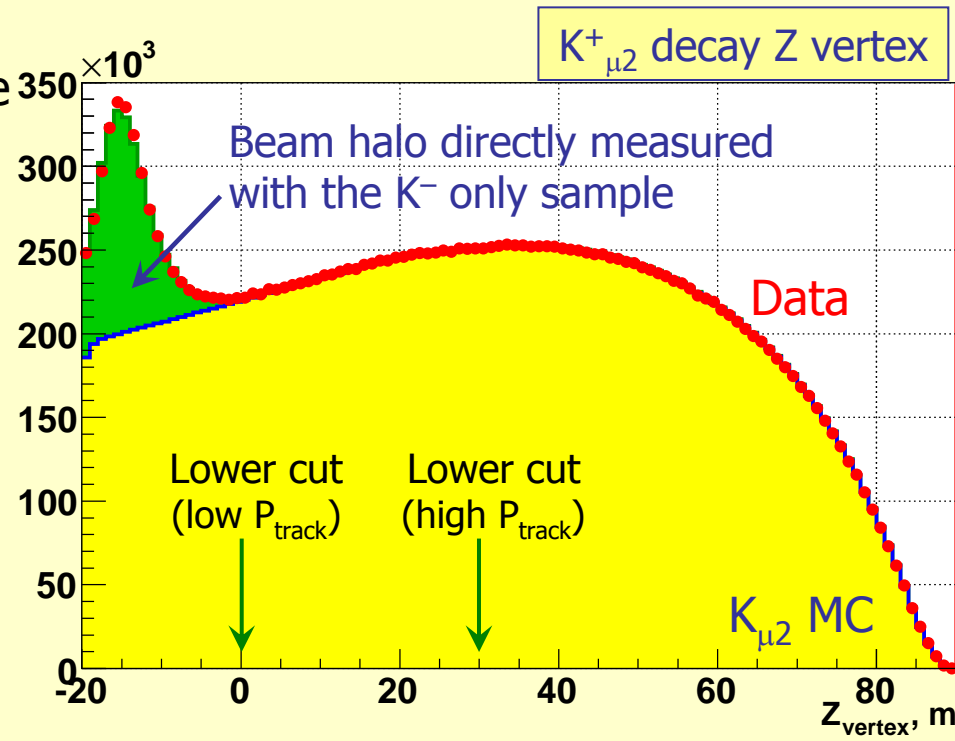
- Halo background much higher for  $K_{e2}^-$  ( $\sim 20\%$ ) than for  $K_{e2}^+$  ( $\sim 1\%$ ).
- Halo background in the  $K_{\mu 2}$  sample is considerably lower.
- $\sim 90\%$  of the data sample is  $K^+$  only,  $\sim 10\%$  is  $K^-$  only.
- $K^+$  halo component is measured directly with the  $K^-$  sample and vice versa.

The background is measured to sub-permille precision, and strongly depends on decay vertex position and track momentum.

The selection criteria (esp.  $Z_{\text{vertex}}$ ) are optimized to minimize the halo background.

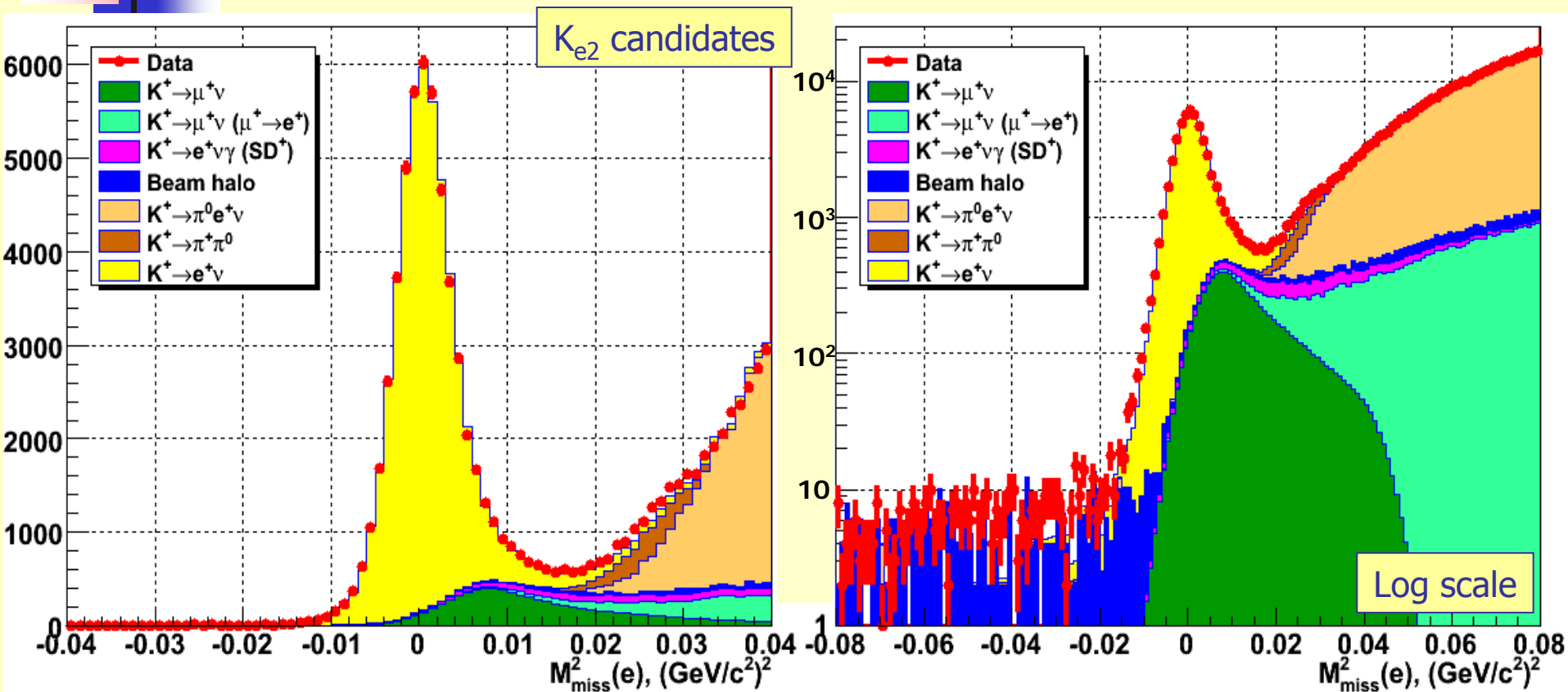
$$B/(S+B) = (0.45 \pm 0.04)\%$$

Uncertainty is due to the limited size of the control sample.





# $K_{e2}$ : partial ( $\sim 40\%$ ) data set



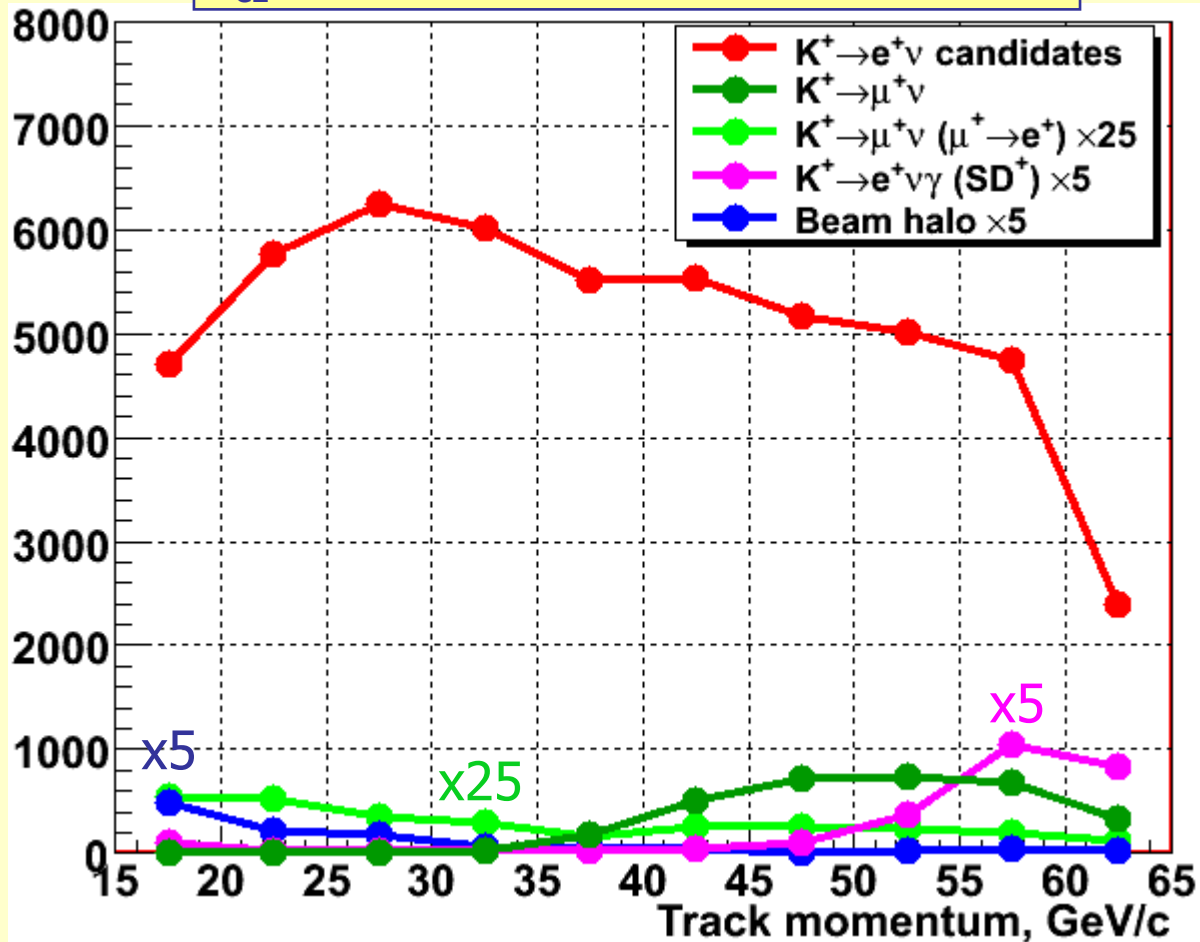
51,089  $K^+ \rightarrow e^+ \nu$  candidates,  
 99.2% electron ID efficiency,  
 $B/(S+B) = (8.0 \pm 0.2)\%$

*cf.* KLOE: 13.8K candidates ( $K^+$  and  $K^-$ ),  
 $\sim 90\%$  electron ID efficiency, 16% background

NA62 estimated total  $K_{e2}$  sample:  
 $\sim 120K$   $K^+$  &  $\sim 15K$   $K^-$  candidates.  
 Proposal (CERN-SPSC-2006-033):  
 150K candidates

# $K_{e2}$ backgrounds: summary

$K_{e2}$  candidates in lepton momentum bins



## Backgrounds

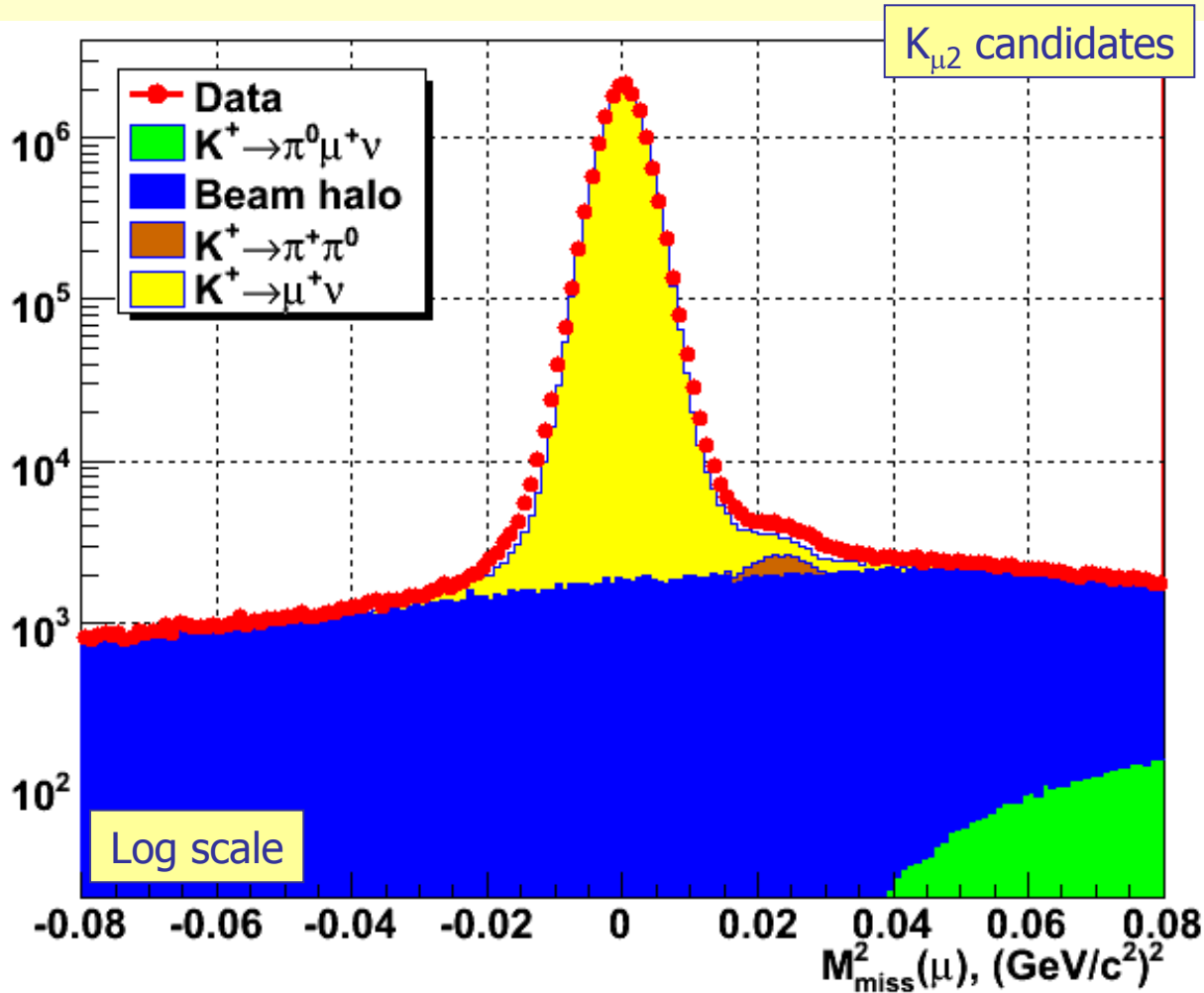
Source	B/(S+B)
$K_{\mu 2}$	$(6.28 \pm 0.17)\%$
$K_{\mu 2} (\mu \rightarrow e)$	$(0.23 \pm 0.01)\%$
$K_{e2\gamma} (SD^+)$	$(1.02 \pm 0.15)\%$
Beam halo	$(0.45 \pm 0.04)\%$
$K_{e3}$	0.03%
$K_{2\pi}$	0.03%
<b>Total</b>	<b><math>(8.03 \pm 0.23)\%</math></b>

Record  $K_{e2}$  sample:  
51,089 candidates  
with low background  
 $B/(S+B) = (8.0 \pm 0.2)\%$

(selection criteria, e.g.  $Z_{\text{vertex}}$  and  $M_{\text{miss}}^2$ , are optimised individually in each  $P_{\text{track}}$  bin)

Lepton momentum bins are differently affected by backgrounds and thus the systematic uncertainties.

# $K_{\mu 2}$ : partial ( $\sim 40\%$ ) data set



15.56M candidates  
with low background  
 $B/(S+B) = 0.25\%$

( $K_{\mu 2}$  trigger was  
pre-scaled by  $D=150$ )

The only significant  
background source  
is the beam halo.

# Electron ID efficiency ( $f_e$ )

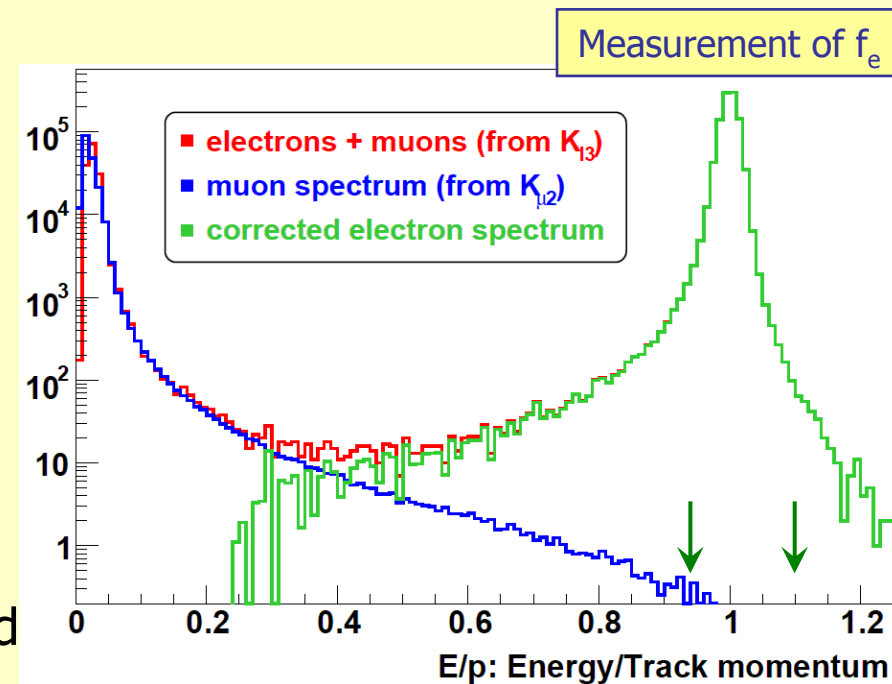
Measured directly with samples of pure electrons:

- $K^\pm \rightarrow \pi^0 e^\pm \nu$  from main  $K^\pm$  data taking (limited track momentum  $p < 50 \text{ GeV}/c$ );
- $K_L \rightarrow \pi^\pm e^\pm \nu$  from a special 15h  $K_L$  run (wider track momentum range, due to broad  $K_L$  momentum spectrum).

Measurement with  $K^\pm \rightarrow \pi^0 e^\pm \nu$  decays:

- Selected event sample consists of  $K^\pm \rightarrow \pi^0 e^\pm \nu$  and some  $K^\pm \rightarrow \pi^0 \mu^\pm \nu$  events;
- To subtract the muon component, normalised muon  $E/p$  spectrum measured using the  $K_{\mu 2}$  sample is used.

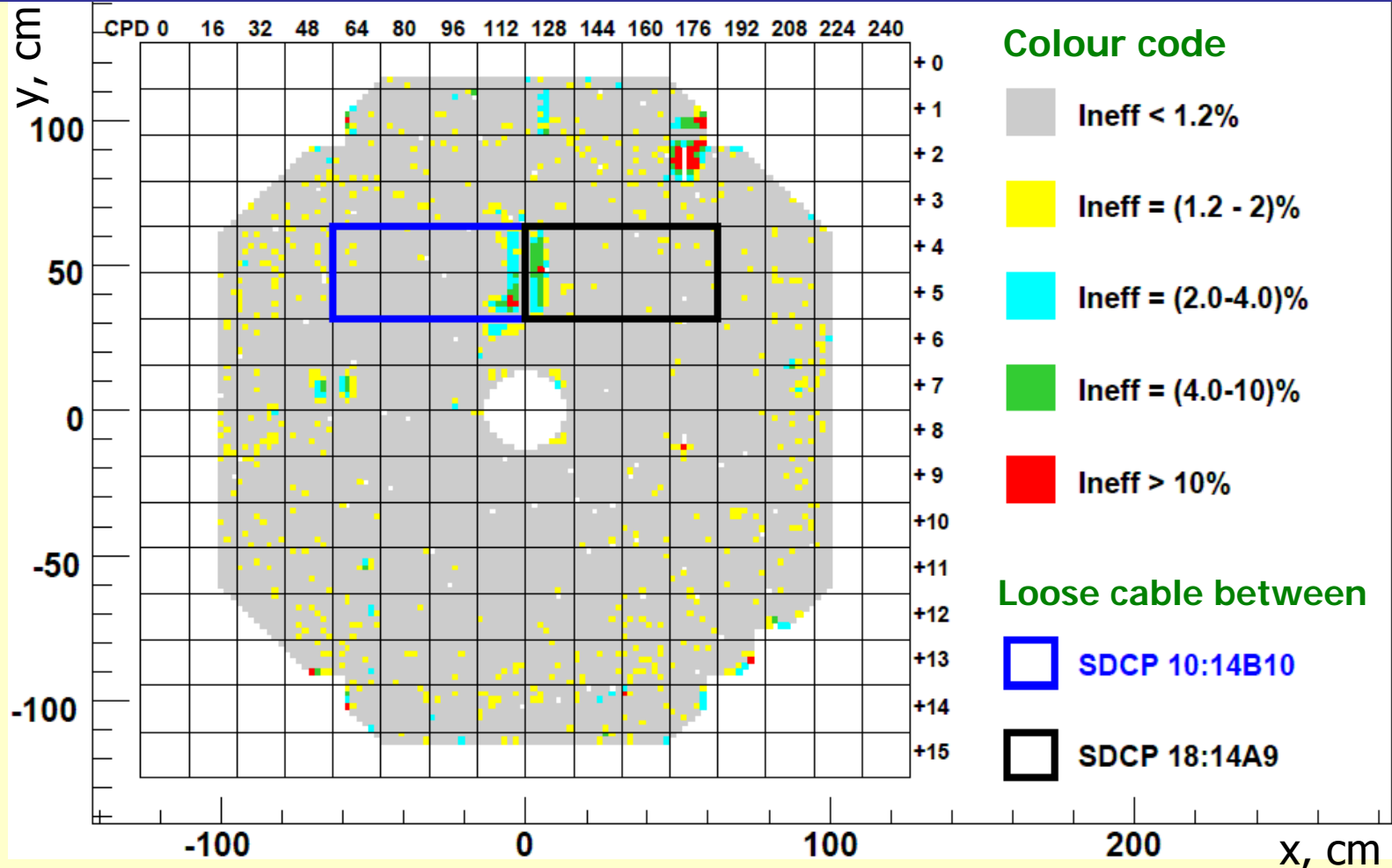
Measurement with  $K_L \rightarrow \pi^\pm e^\pm \nu$  is more complicated: the pion component also contributes to the spectrum.



Excellent agreement between  $K^\pm$  and  $K_L$  methods.  
Average  $f_e = 99.15\%$ , precision  $< 0.1\%$ , weak momentum dependence.

# LKr inefficiency map

LKr efficiency is monitored vs time for every  $2 \times 2 \text{ cm}^2$  cell within acceptance. A typical example of the inefficiency map is presented below.

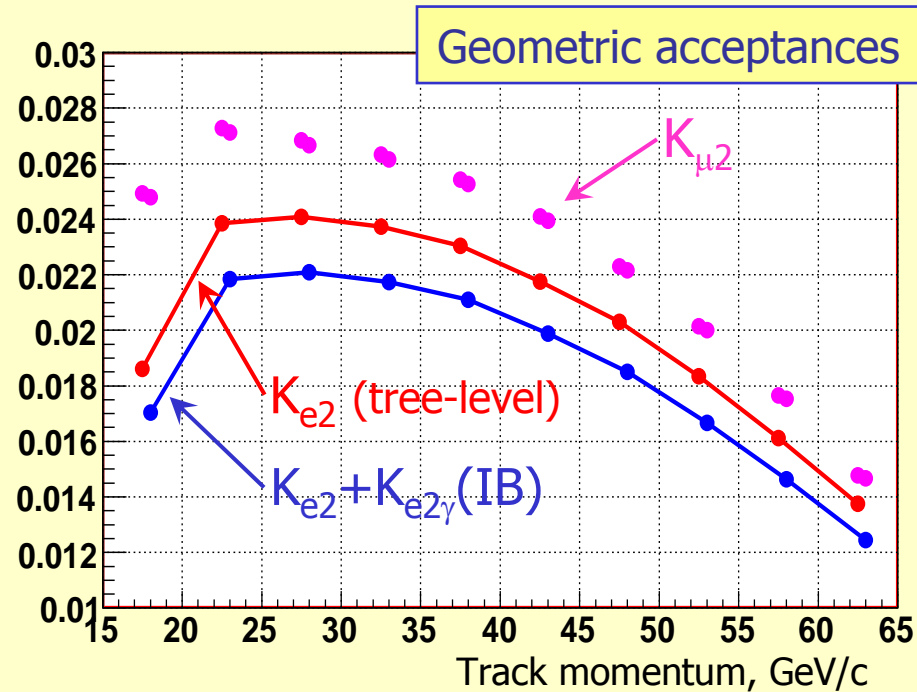


Higher inefficiency is at low momentum  $\rightarrow$  room for optimization

# Other systematic effects

## Geometric acceptance correction

- $p_{\text{track}}$ -dependent,  $A(K_{\mu 2})/A(K_{e 2}) \sim 1.3$ ;
- strongly affected by the radiative (IB) corrections to  $K_{e 2}$ ;  
IB process simulated according to  
V. Cirigliano and I. Rosell,  
Phys. Lett. 99 (2007) 231801
- conservative systematic uncertainty for prelim. result:  $\delta R_K/R_K = 0.3\%$ , due to approximations used in IB simulation.



## Trigger efficiency correction

- $E_{\text{LKr}}$  efficiency directly affects  $R_K$ ;
- monitored with control trigger samples;
- conservative systematic uncertainty for preliminary result:  $\delta R_K/R_K = 0.3\%$  (due to dead time generated by accidentals).

## Global LKr efficiency

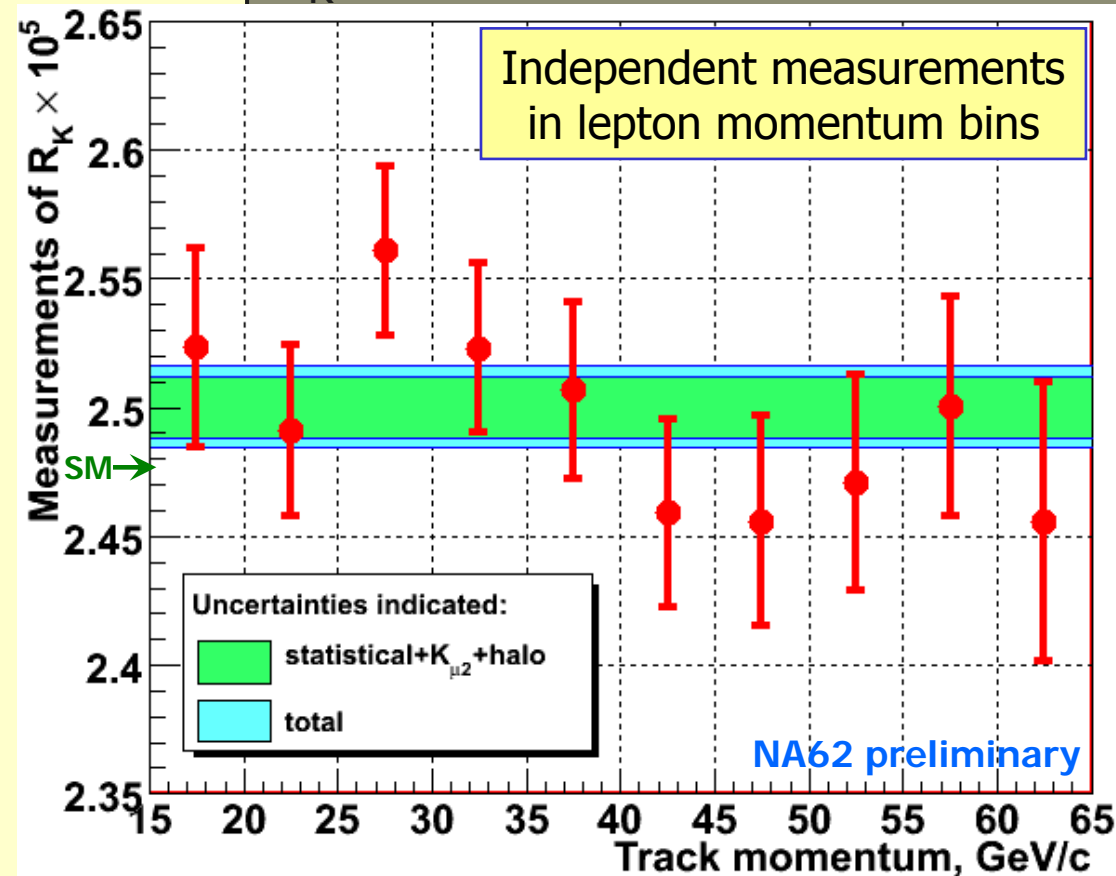
- Also affects the result directly;
- $f_{\text{LKr}} = (99.80 \pm 0.03)\%$  is measured directly using an independent readout system.

# Preliminary result (40% data set)

$$R_K = (2.500 \pm 0.012_{\text{stat}} \pm 0.011_{\text{syst}}) \times 10^{-5}$$

$$= (2.500 \pm 0.016) \times 10^{-5}$$

(arXiv:0908.3858)

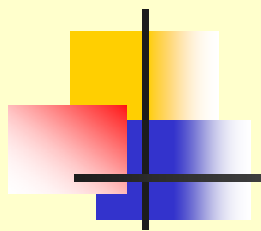


## Uncertainties

Source	$\delta R_K \times 10^5$
Statistical	0.012
$K_{\mu 2}$	0.004
Beam halo	0.001
$K_{e 2 \gamma}$ (SD <sup>+</sup> )	0.004
Electron ID	0.001
IB simulation	0.007
Acceptance	0.002
Trigger timing	0.007
<b>Total</b>	<b>0.016</b>

(0.64% precision)

The whole 2007 sample will allow statistical uncertainty  $\sim 0.3\%$ , total uncertainty of 0.4–0.5%. **31**



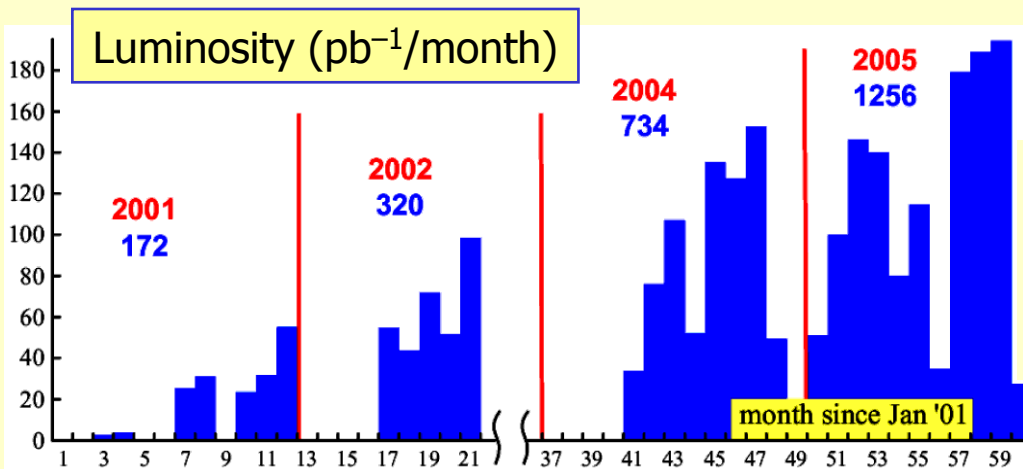
# Competitors, comparison to world data



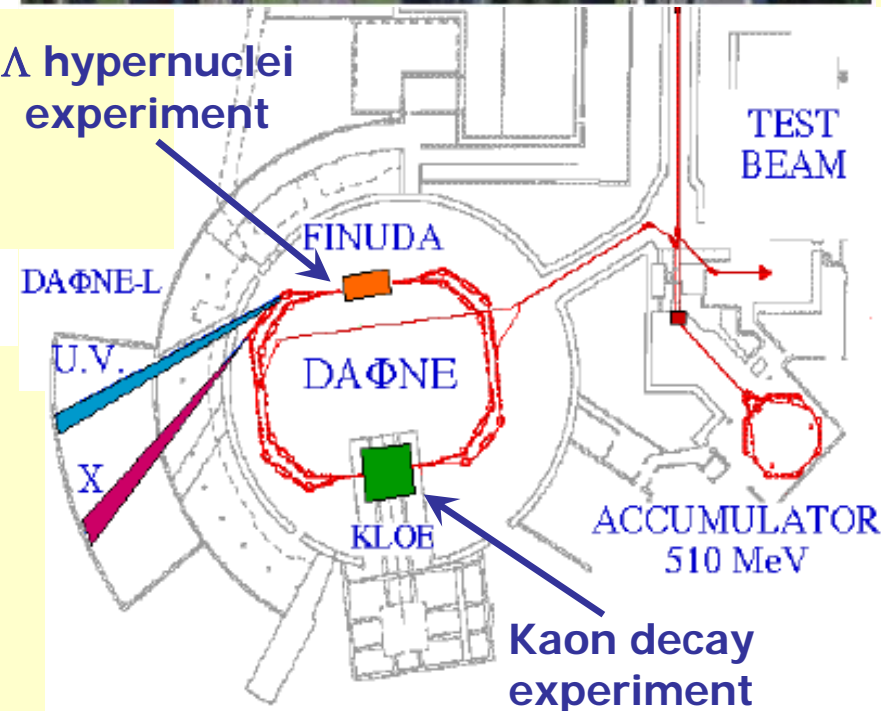
# KLOE $K_{e2}$ analysis: decays at rest

DAΦNE: an  $e^+e^-$  collider at LNF Frascati

- CM energy  $\sim m_\phi = 1019.4$  MeV;
- $BR(\phi \rightarrow K^+K^-) = 49.2\%$ ;
- $\phi$  production cross-section  $\sigma_\phi = 1.3 \mu\text{b}$ ;
- Data sample (2001–05):  $2.5 \text{ fb}^{-1}$ .



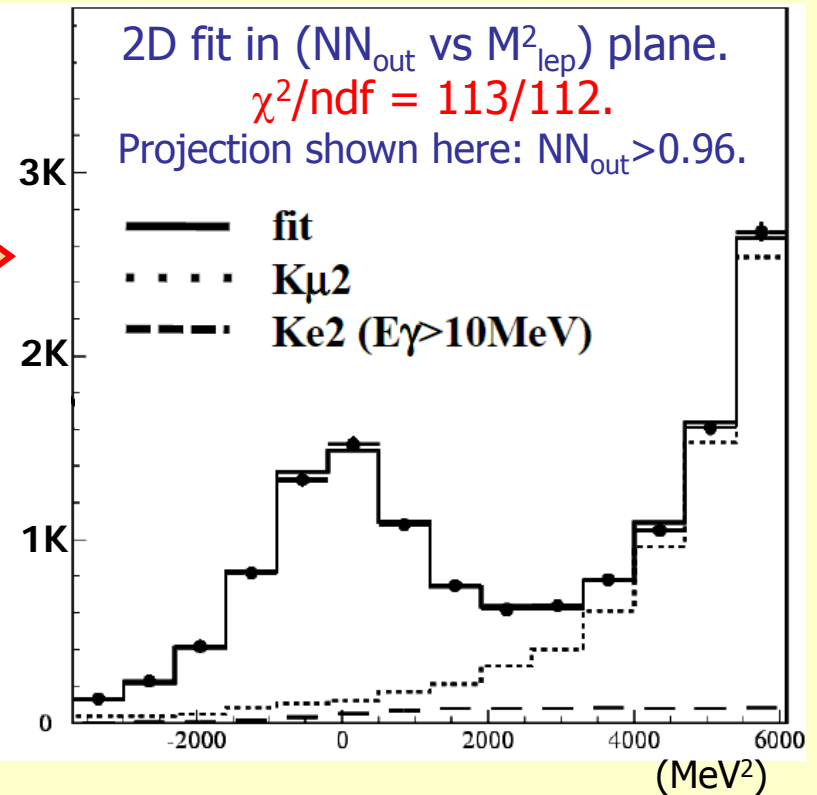
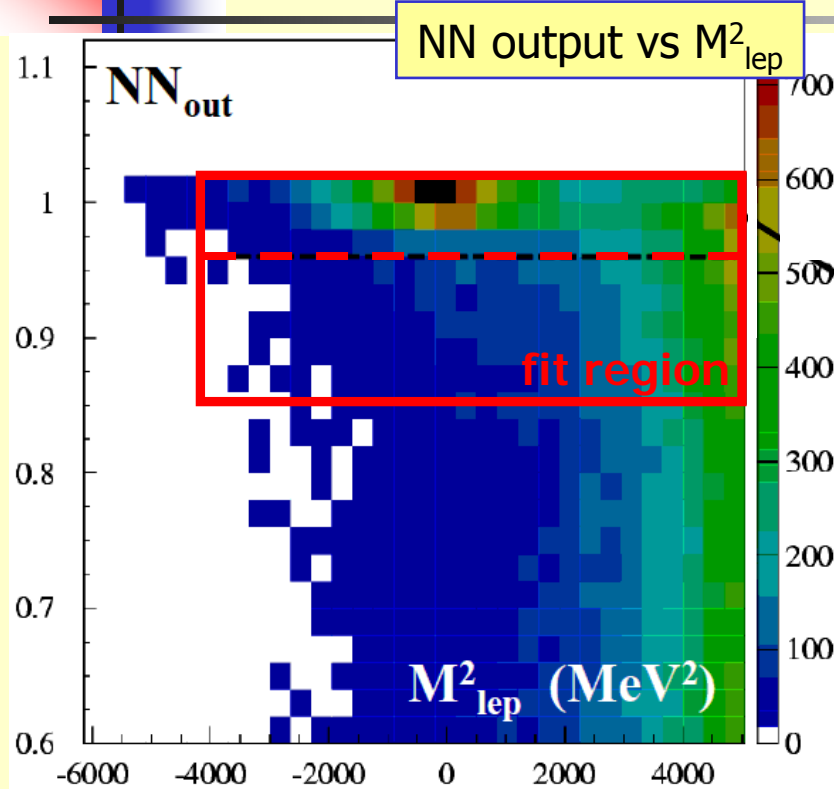
$\Lambda$  hypernuclei experiment



$K_{e2}/K_{\mu 2}$  selection technique (vs NA62):

- Kinematics: by  $M_{\text{lep}}^2$  (equivalent to  $M_{\text{miss}}^2$ );
- PID: neural network with 12 input parameters (vs  $E/p$  for NA62).

# KLOE $K_{e2}$ sample



Uncertainties	$\delta R_K/R_K$ (%)
Statistical	1.0
$K_{\mu 2}$ subtraction	0.3
$K_{e2\gamma}$ ( $SD^+$ )	0.2
Reconstruction efficiency	0.6
Trigger efficiency	0.4
<b>Total</b>	<b>1.3</b>

Full data sample analyzed  
 [EPJ C64 (2009) 627]

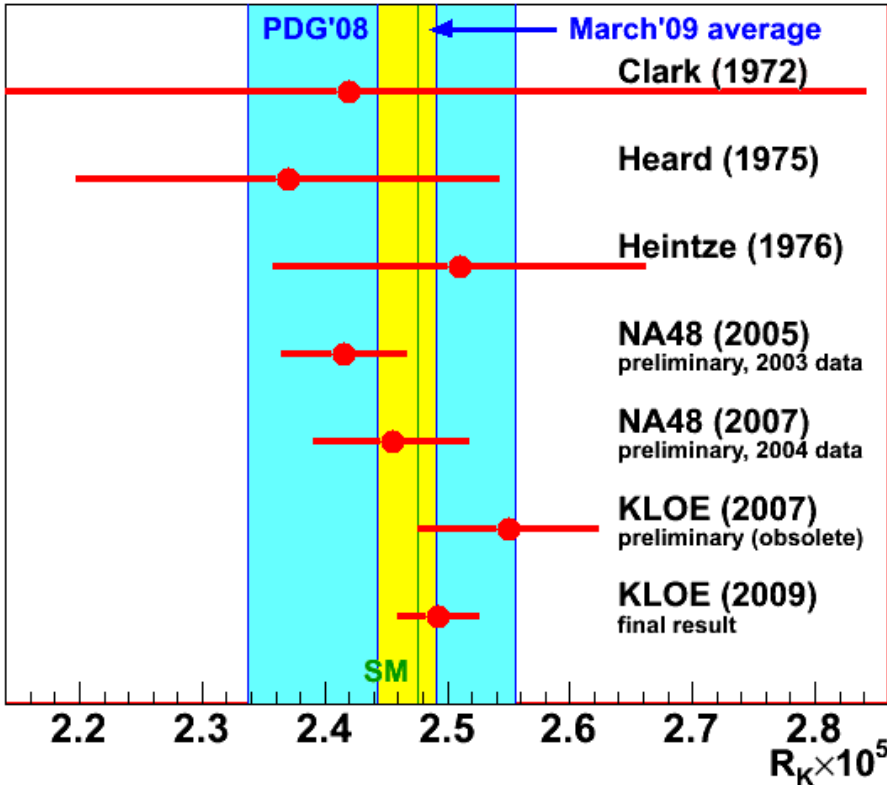
13.8K  $K_{e2}$  candidates, 16% background

KLOE-2: expect to start in 2010,  $\delta R_K/R_K = 0.4\%$ .  
 [arXiv:1003.3862]

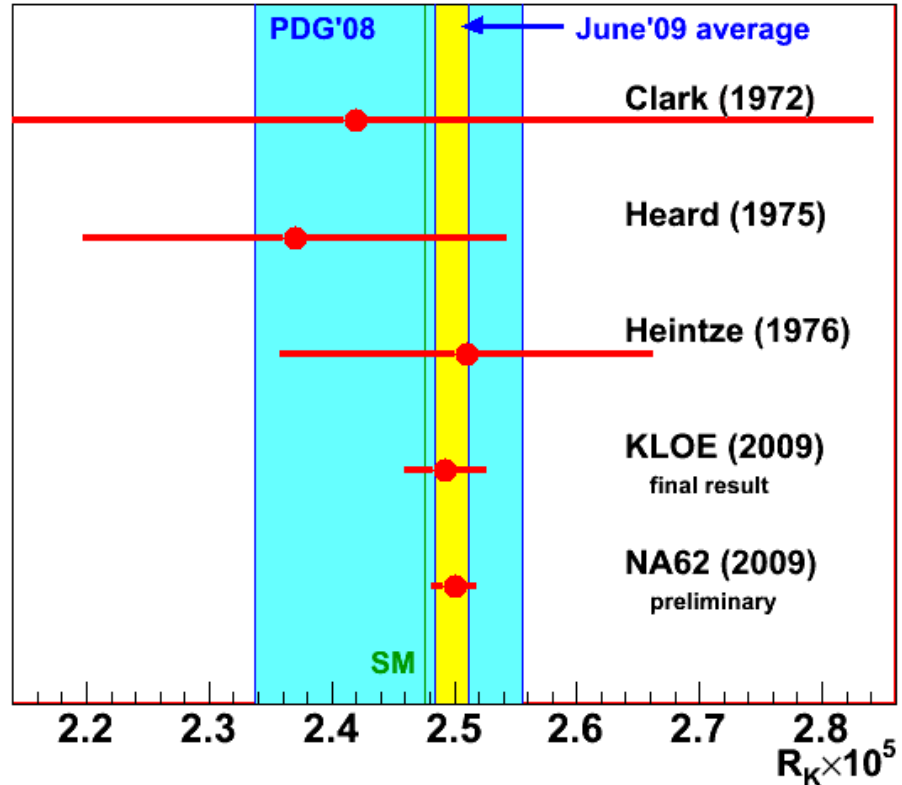
(plots from Barbara Sciascia, KAON 2009)

# $R_K$ world average (2009)

March 2009



June 2009



World average	$\delta R_K \times 10^5$	Precision
March 2009	$2.467 \pm 0.024$	0.97%
June 2009	$2.498 \pm 0.014$	0.56%

Updated NA62 results expected in June 2010

⇒ Active development in recent years.  
New NA62 results are soon to come.

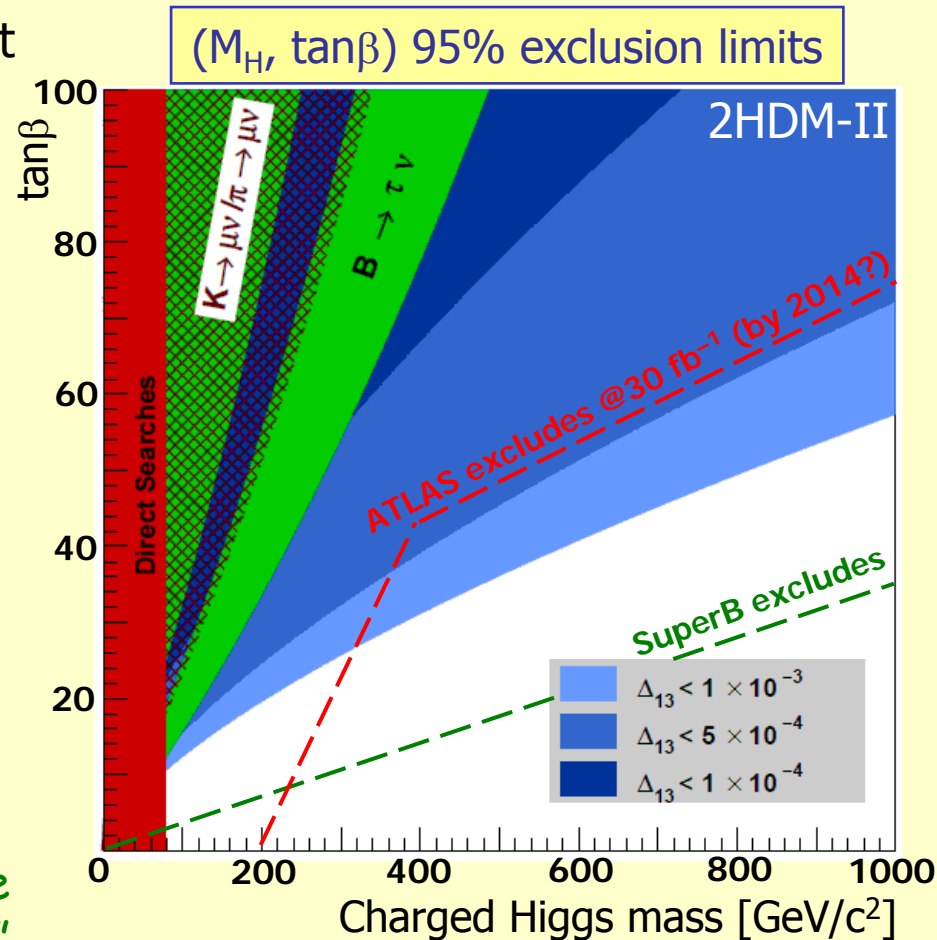
(NA48/2 preliminary results are excluded from the June 2009 fit: they are superseded by NA62)

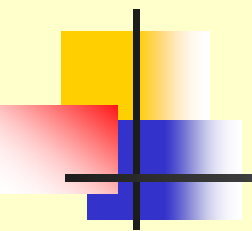
# $R_K$ : sensitivity to new physics

$R_K$  measurements are currently in agreement with the SM expectation at  $\sim 1.5\sigma$ . Any significant enhancement with respect to the SM value would be an evidence of new physics.

For non-tiny values of the LFV slepton mixing  $\Delta_{13}$ ,  $R_K$  sensitivity to  $H^\pm$  is competitive to the B factories and the LHC

"Maybe NA62 will find the first evidence for a charged Higgs exchange?"  
-- John Ellis (arXiv:0901.1120)





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# Future kaon physics at CERN: NA62 phase II

# NA62 phase II: $K_{\pi\nu\nu}$

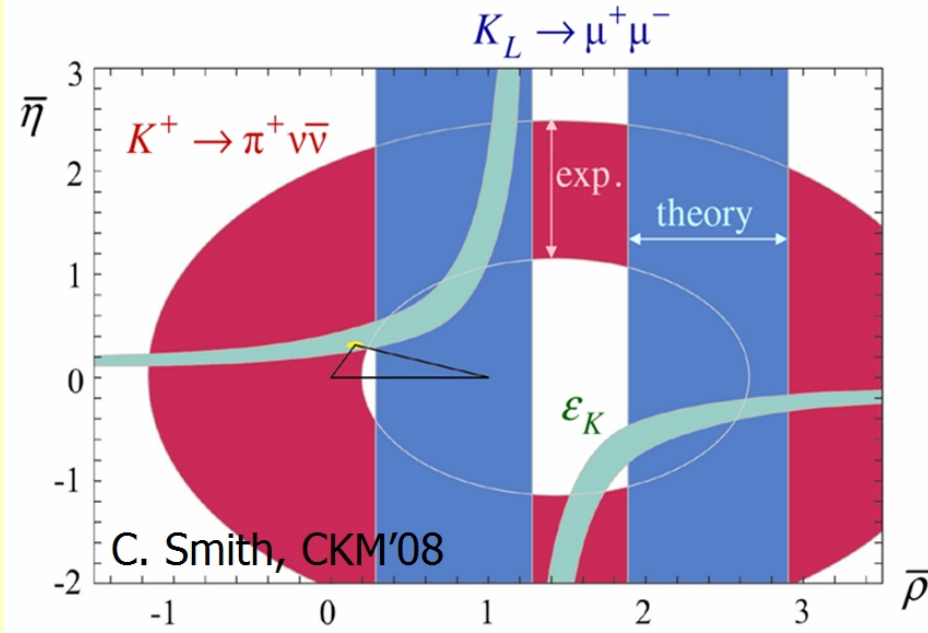
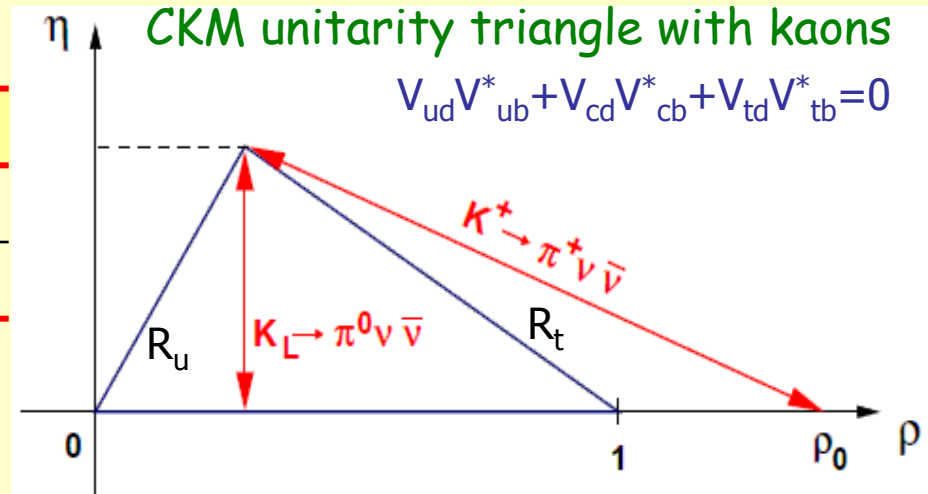
$K \rightarrow \pi\nu\nu$ : theoretically clean, sensitive to NP, almost unexplored

Branching ratio  $\times 10^{10}$

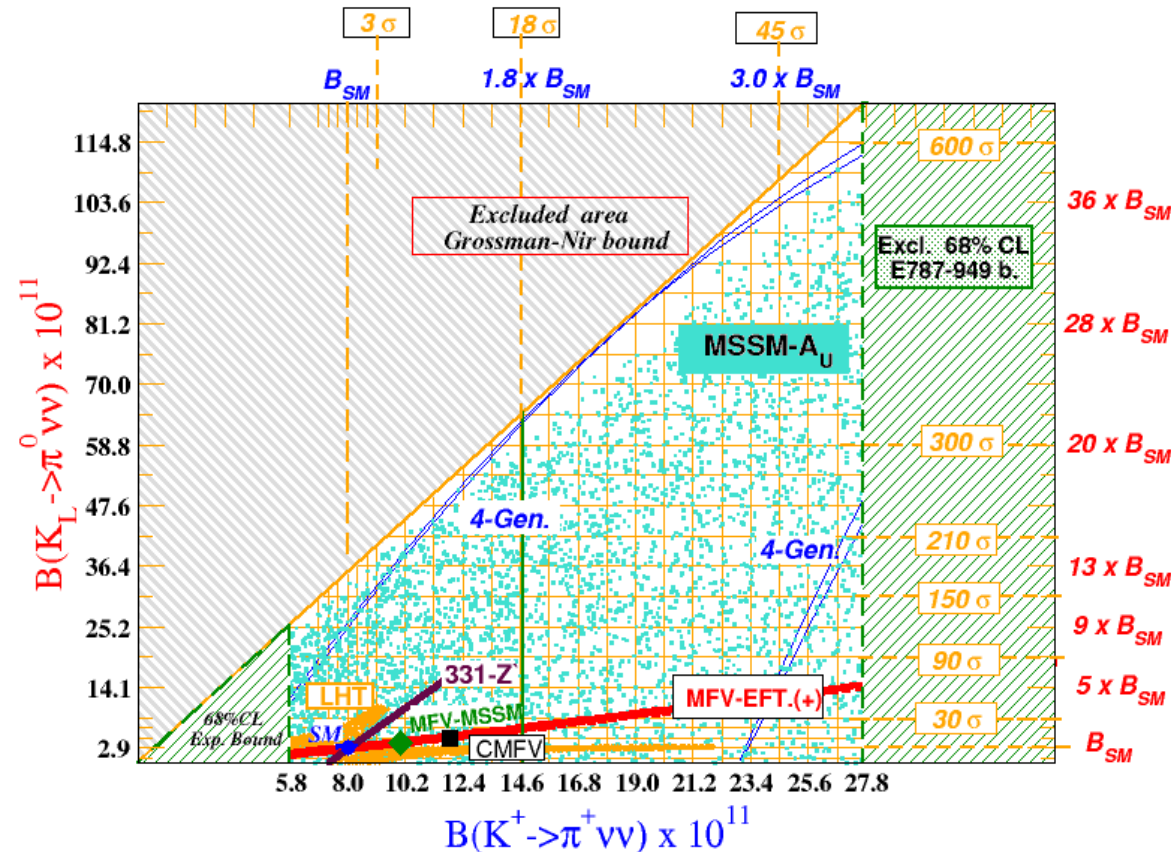
	Theory (SM)	Experiment
$K^+ \rightarrow \pi^+ \nu\nu(\gamma)$	$0.82 \pm 0.08$	$1.73^{+1.15}_{-1.05}$
$K_L \rightarrow \pi^0 \nu\nu$	$0.28 \pm 0.04$	$< 670$ (90% CL)

$$\text{BR}(K^+ \rightarrow \pi^+ \nu\nu) \sim |V_{ts}^* V_{td}|^2$$

- Ultra-rare FCNC processes, proceed via Z-penguin and W-box diagrams.
- Hadronic matrix element extracted from precise  $K \rightarrow \pi e \nu$  measurements.
- Exceptional SM precision not matched by any other loop-induced meson decay.
- Uncertainties mainly come from charm contributions.



# Sensitivity of new physics



BR( $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ )  $\times 10^{10}$ : selected models

SM	$0.82 \pm 0.08$
MFV (hep-ph/0310208)	1.91
EEWP (NPB697 (2004) 133, hep-ph/0402112)	$0.75 \pm 0.21$
EDSQ (PRD70 (2004) 093003, hep-ph/0407021)	up to 1.5
MSSM (NPB713 (2005) 103, hep-ph/0408142)	up to 4.0

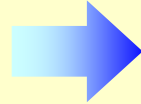
- Large variations in predictions for new physics.
- A **10% precision** measurement will provide a **stringent SM test**.

The NA62 collaboration aims to measure  $O(100)$   $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  candidates with  $\sim 10\%$  background in 2-3 years of data taking

# NA62 guidance principles

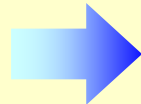
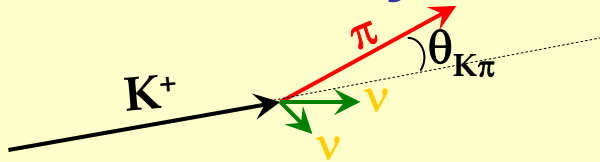
O(100)  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  events,  $\sim 10\%$  background @BR(SM) =  $8 \times 10^{-11}$

N(K decays)  $\sim 10^{13}$   
Acceptance = 10%



- Kaon decay in flight technique;
- 400 GeV proton beam from SPS;
- Unseparated high energy  $K^+$  beam ( $P_K = 75 \text{ GeV}/c$ );

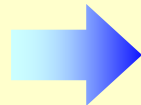
Kinematical rejection



- Kaon momentum: beam tracker;
- Pion momentum: spectrometer;

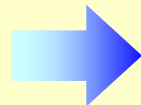
Single track signature:  $m_{\text{miss}}^2 = (P_K - P_\pi)^2$

Particle ID and veto  
in addition to kinematical rejection



- Charged track veto: spectrometer;
- Photon veto: calorimeters;
- Beam kaon identification: CEDAR;
- $\pi/\mu/e$  separation: RICH;

Budget limitations

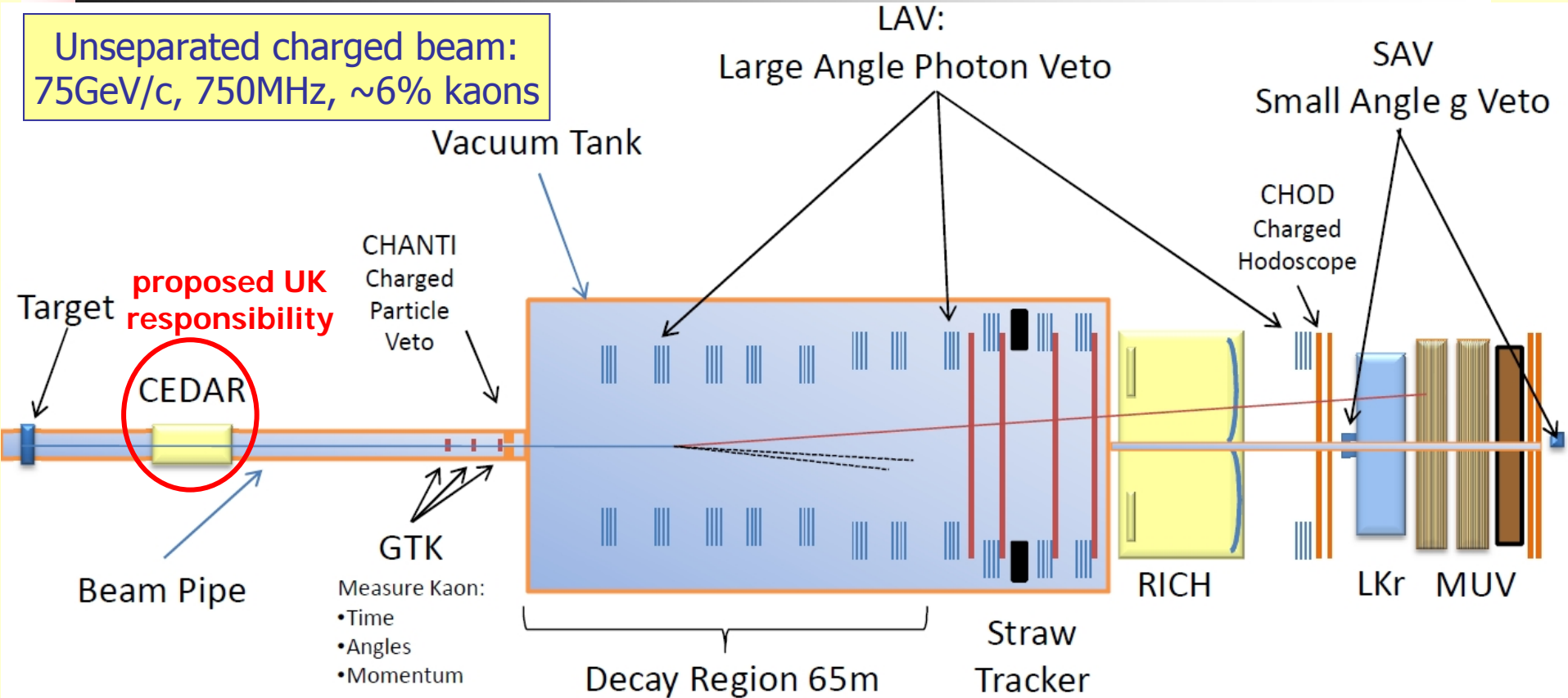


- Use of existing NA48 infrastructure: beam line, LKr calorimeter, ...



# NA62 (phase II) layout

Unseparated charged beam:  
75GeV/c, 750MHz, ~6% kaons



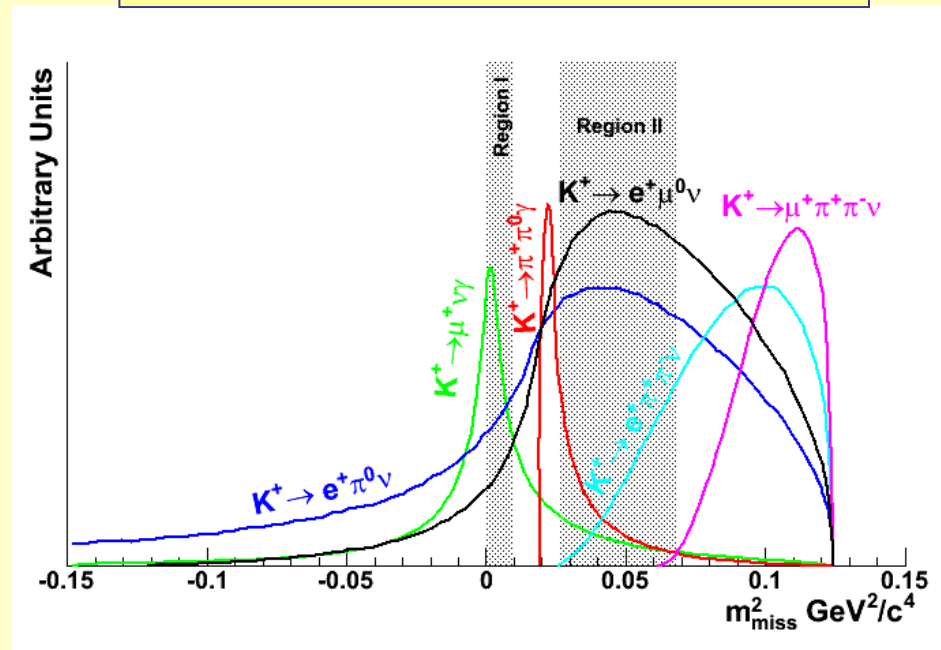
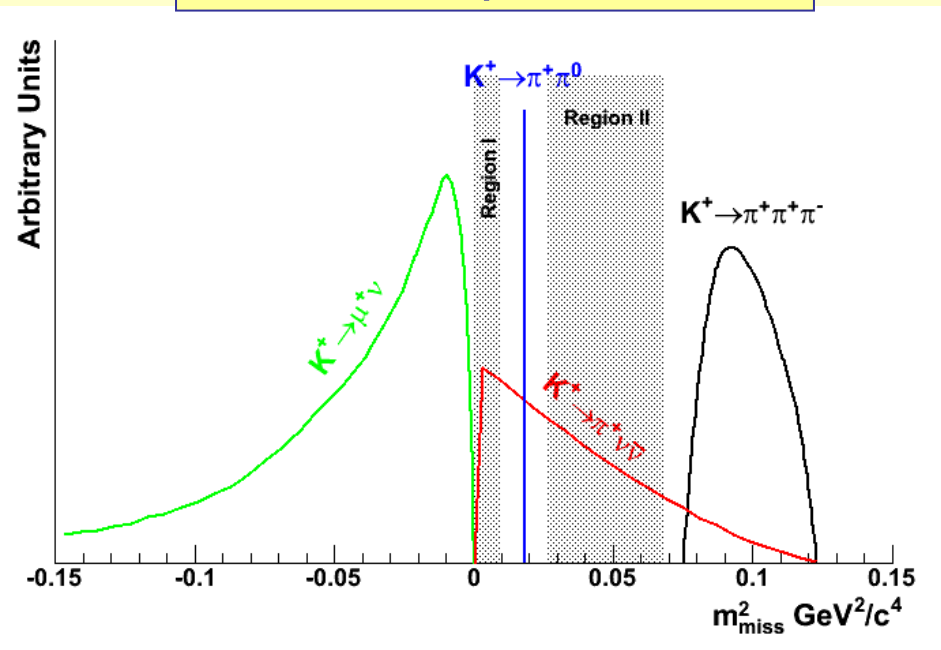
- Record  $K^+$  decay SES of  $\sim 10^{-12}$ ;
- Hermetic veto & redundant measurements;
- R&D finishing, subdetector construction has started.

- Approved by the CERN research board in December 2008.
- Reviewed by PPAP in July 2009.
- SoI submitted to PPAN in November 2009;  
signed by **Birmingham, Bristol, Glasgow, Liverpool.**

# Kinematics and backgrounds

Kinematically constrained

NOT kinematically constrained



92% of total background

8% of total background

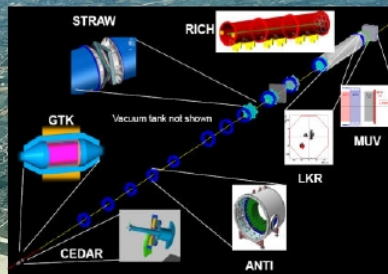
- ▶ Allows us to define a signal region
- ▶  $K^+ \rightarrow \pi^+ \pi^0$  forces us to split it into two parts (Region I and Region II)

- ▶ Span across the signal region
- ▶ Rejection relies on vetoes/PID

# Other NA62 (phase II) goals

## The First NA62 Physics Handbook

2009



### Other physics goals

- Lepton Flavour Violation: measurement of  $R_K$  to  $\sim 0.2\%$  precision.
- LFV in forbidden decays: searches for  $K^+ \rightarrow \pi^- l^+ l^+$ ,  $K^+ \rightarrow \pi^+ l_1 l_2$ .
- Heavy neutrinos ( $\sim 100\text{MeV}$ ), light sgoldstinos ( $K^+ \rightarrow \pi^+ S$ ,  $K^+ \rightarrow \pi^+ \pi^0 P$ ).
- Hadronic  $K$  decays and final-state  $\pi\pi$  interactions in  $K_{3\pi}$  and  $K_{e4}$  decays.
- ChPT tests with rare kaon/pion decays.

1<sup>st</sup> Physics Handbook workshop:  
CERN, 10-11 December 2009

[http://indico.cern.ch/  
conferenceDisplay.py?confId=65927](http://indico.cern.ch/conferenceDisplay.py?confId=65927)

- Due to the suppression of the  $K_{e2}$  decay in the SM, the measurement of  $R_K$  is well-suited for a **stringent SM test**.
- $P^+ \rightarrow l^+ \nu$ : active developments of experiment and theory. After recent precise  $R_K$  measurements, the  $R_K$  world average has a **0.6% precision** (and compatible with the SM prediction). Timely result: direct searches for new physics at the LHC are approaching.
- NA62 is a key player: the 2007/08 data taking was **optimised for  $R_K$  measurement**, and increased the world  $K_{e2}$  sample by an order of magnitude. Excellent  $K_{e2}/K_{\mu 2}$  separation ( $>99\%$  electron ID efficiency and  $\sim 10^6$   $\mu$  suppression) leads to a low  $\sim 8\%$  background.
- NA62 phase II: stringent SM test by measurement of the ultra rare decay  $K^+ \rightarrow \pi^\pm \nu \nu$  with **10% precision**,  $R_K$  measurement with  **$\sim 0.1\%$  precision**, and much more.