

Heavy Neutrinos and (Safe) Jet Vetoes ¹

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elusives
neutrinos, dark matter & dark energy physics



invisiblesPlus

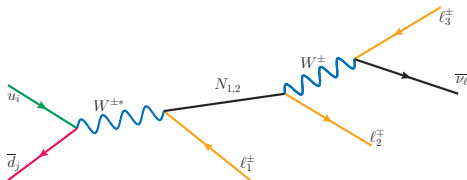
¹with Silvia Pascoli and Cedric Weiland [1805.09335, 180X.YYYYYY]

²IPPP → CP3, Universite Catholique de Louvain, Belgium (Fall '18)

The Challenge

Brief history: 2 years ago asked if possible to improve LHC searches for leptonic decays of heavy neutrinos, $N \rightarrow \ell_1 W \rightarrow \ell_1 \ell_2 \nu$

- “improve” \neq MVA or BDT but a qualitatively new pheno analysis



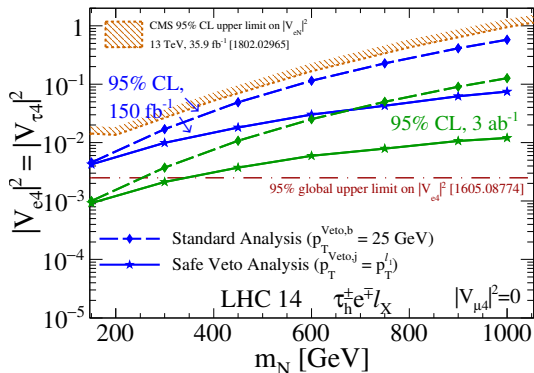
The impetus: new channels ($W\gamma$ fusion), new technology (automated NLO+PS), unclear if lepton number violating $\ell_1^{\pm} \ell_2^{\pm} + n_j$ is observable

An idea: heavy N events typically contain fewer jets than backgrounds

The question: can jet activity be used to improve heavy N searches?

Money Plot: Pushing the reach of the LHC

The result:



[1805.09335]

Plotted: LHC 14 sensitivity to active-sterile neutrino mixing (coupling) vs heavy neutrino mass in the $\tau_h^\pm e^\mp l_X$ ($l_X = e, \mu, \tau_h$) final state

- Dash = standard search with b -jet veto (mirrors 13 TeV CMS for e/μ)
- Solid = “improved” analysis with special type of jet veto

Improved sensitivity up to $10 - 11\times$ with $\mathcal{L} = 3 \text{ ab}^{-1}$. Now for the details!

Heavy Neutrinos and (Safe) Jet Vetoes

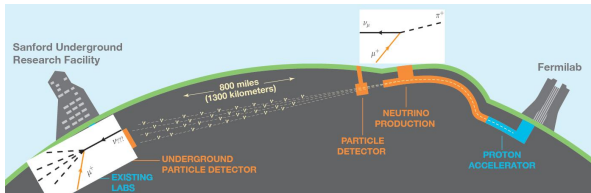
A philosophically new approach to heavy N searches at colliders has increased LHC sensitivity by an order of magnitude (in coupling space)

- New channels, new tools/machinery, new understanding of jets

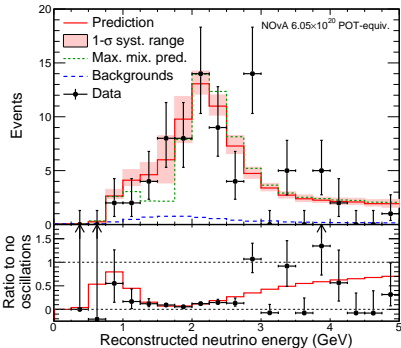
Today:

- 1 Why heavy neutrinos?
- 2 Heavy neutrino production at colliders
- 3 Safe Jet Vetoes
- 4 Monte Carlo Campaign (an ongoing fight!)
- 5 Results✓
- 6 Outlook for future colliders

Motivation for new physics from ν physics



In neutrino fixed-target experiments, ν_μ beams are prepared from π^\pm , then studied at near and far detectors (reminiscent of early SLAC DIS expts)



Deficit/disappearance of expected ν_μ (+appearance of ν_e/ν_τ) interpreted successfully as $\nu_{l_1} \rightarrow \nu_{\text{mass}} \rightarrow \nu_{l_2}$ transitions/oscillations
 [E.g. NO ν A ν_μ disapp., 1701.05891]

$\Rightarrow \nu$ have mass!



So, neutrinos have masses $\lesssim \mathcal{O}(0.1)$ eV.

Is this a problem?

Yes.

Neutrinos Masses and the Standard Model (SM)

To generate ν masses similar to other SM fermions, we need N_R

$$\mathcal{L}_{\nu \text{ Yuk.}} = -y_\nu \bar{L} \tilde{\Phi} N_R + H.c. = -y_\nu \begin{pmatrix} \bar{\nu}_L & \bar{\ell}_L \end{pmatrix} \begin{pmatrix} \langle \Phi \rangle + h \\ 0 \end{pmatrix} N_R + H.c.$$

$\implies m_D \bar{\nu}_L N_R$, where $m_D = y_\nu \langle \Phi \rangle$ and y_ν is the neutrino's Higgs Yukawa coupling. However, N_R^i do not exist in the SM, implying $m_D = 0$

Nonzero neutrino masses implies new degrees of freedom exist [Ma'98]:

$m_\nu \neq 0 + \text{LH currents}$



LH Majorana Mass : $m_\nu^L \bar{\nu}_L \nu_L^c$ and/or Dirac Mass : $m_\nu^D \bar{\nu}_L N_R$



$m_\nu^L = y \langle \Delta \rangle$ or strong dynamics



$m_\nu^D = y \langle \Phi_{\text{SM}} \rangle$

Collider Connection to Neutrino Mass Models³

Neutrino mass models (aka Seesaw models) hypothesize new particles of all shapes, spins, charges, and color:

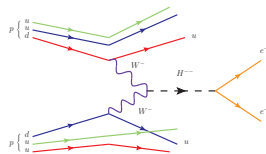
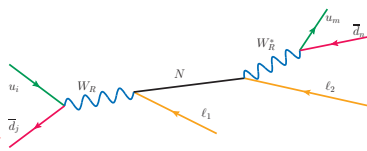
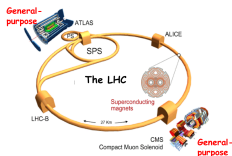
N (Type I), $T^{0,\pm}$ (Type III), Z_{B-L} , $H_R^{\pm,\pm\pm}$ (Type I+II), ...

Through gauge couplings and mixing, production in $ee/ep/pp$ collisions

DY : $q\bar{q} \rightarrow \gamma^*/Z^* \rightarrow T^+T^-$ and $q\bar{q}' \rightarrow W_R^\pm \rightarrow N\ell^\pm$

WBF : $W^\pm W^\pm \rightarrow H^{\pm\pm}$

GF : $gg \rightarrow h^*/Z^* \rightarrow N\nu\ell$



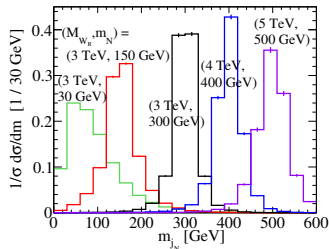
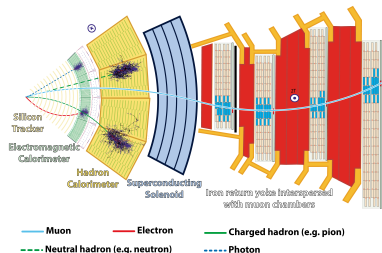
³Review on ν mass models at colliders, Y. Cai, T. Li, T. Han, RR [1711.02180]

Collider Connection to Low-Scale Neutrino Mass Models⁴

Seesaw particles then decay to SM particles that are observed/inferred by detector subsystems

$$T^\pm \rightarrow W^\pm \nu, Z e^\pm, h e^\pm \quad \text{and/or} \quad W_R^\pm \rightarrow N e^\mp \rightarrow e_1^\pm e_2^\pm + n j,$$

Identification of particles and properties through reconstruction of final-state kinematics, e.g., invariant mass peaks and angular distributions



⁴Review on ν mass models at colliders, Y. Cai, T. Li, T. Han, [RR \[1711.02180\]](https://arxiv.org/abs/1711.02180)

II: Heavy Neutrinos and Colliders

(Heavy) Neutrino Mixing for Non-experts

After EWSB, ν_ℓ and N_R are singlets under $SU(3)_c \otimes U(1)_{EM} \implies$ mixing!

- Neutrino oscillations already tell us mass states \neq flavor states

Example: In a two-state system, mixing between chiral eigenstates and mass eigenstates is given by unitary transformation/rotation

$$\underbrace{\begin{pmatrix} \nu_L \\ N_R^c \end{pmatrix}}_{\text{chiral basis}} = \begin{pmatrix} \cos \varphi & \sin \varphi \\ -\sin \varphi & \cos \varphi \end{pmatrix} \underbrace{\begin{pmatrix} \nu_1 \\ N_2 \end{pmatrix}}_{\text{mass basis}}$$

Decompose chiral states in an interaction theory into mass states by making the replacement:

$$|\nu_L\rangle = \cos \varphi |\nu_1\rangle + \sin \varphi |N_2\rangle \stackrel{\varphi \ll 1}{\approx} \left(1 - \frac{1}{2}\varphi^2\right) |\nu_1\rangle + \varphi |N_2\rangle$$

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Simplify: Like CKM, messy for $n > 1$ gen., so parameterize [0901.3589]:

- Large active-light as $|U_{\ell\nu_m}|^2 \sim 1 - (m_\nu/m_N)$
- Small active-heavy/active-sterile as $|V_{\ell N_{m'}}|^2 \sim (m_\nu/m_N)$

High-Scale vs Low-Scale Type I Seesaw

Realistic mixing is complicated⁵:

- ≥ 2 light mass eigenstates \implies multiple singlet neutrinos needed
- Mass matrix sensitive to number of states and Dirac vs Majorana
- Size of Dirac/Majorana masses \implies size of m_ν
- Off-diagonal entries \implies lepton flavor violation
- Majorana mass (μ_M) \Leftrightarrow lepton number violation

Nonetheless, two limits:

- High-scale seesaw: $\mu_M \gg \langle \Phi_{SM} \rangle$ and $m_\nu \sim m_D(m_D/\mu_M)$, $m_N \sim \mu_M$
- Low-scale seesaw: $\mu_M \ll \langle \Phi_{SM} \rangle$ and $m_\nu \sim \mu_M(m_D/m_R)^2$, $m_N \sim m_R$

For **discovery purposes**, no need to complicate life. Take agnostic/pheno. approach with generic $V_{\ell N}$ parametrization and one N state

⁵See for example, C. Weiland's thesis, [1311.5860]

Heavy Neutrino Charged Currents

Consider left-handed (LH) $SU(2)_L$ doublets (**gauge basis**):

$$L_{aL} = \begin{pmatrix} \nu_a \\ l_a \end{pmatrix}_L, \quad a = 1, 2, 3.$$

The SM W chiral coupling to **leptons** in **flavor basis** is given by

$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} W_\mu^+ \sum_{\ell=e}^{\tau} [\bar{\nu}_{\ell L} \gamma^\mu P_L \ell^-] + H.c.$$

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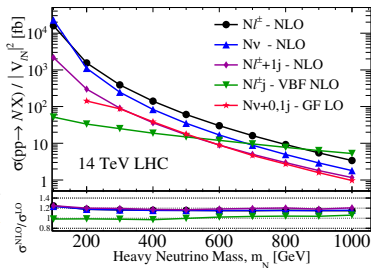
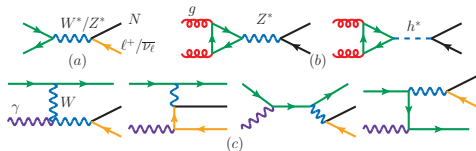
The SM W chiral coupling to **leptons** in the **mass basis**

$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} W_\mu^+ \sum_{\ell=e}^{\tau} \left[\sum_{m=1}^3 \bar{\nu}_m U_{m\ell}^* + \bar{N}^c V_{N\ell}^* \right] \gamma^\mu P_L \ell^- + H.c.$$

$\implies N$ is **accessible through** $W/Z/h$ currents

Heavy Neutrino Production At Hadron Colliders

Heavy N can be produced through a variety of mechanisms in pp collisions

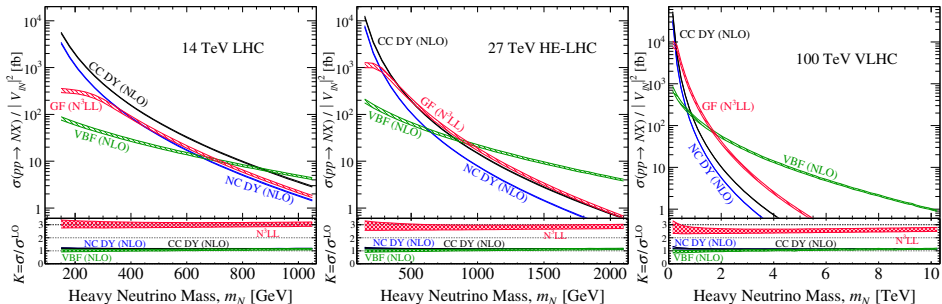


In fact, a resurgence of calculations in recent years⁶

- Clarity needed on (i) conflicting claims and (ii) m_N, \sqrt{s} dependence
 \implies more physical collider definitions + public tools [1602.06957]

⁶DY@NLO [*1509.06375,]; VBF [1308.2209, *1411.7305, *1602.06957]; GF [1408.0983, *1602.06957] @NNLL [*1706.02298]; DY,VBF Automation@NLO [*1602.06957]; Review: [*1711.02180]; (*) = Pittsburgh/IPPP

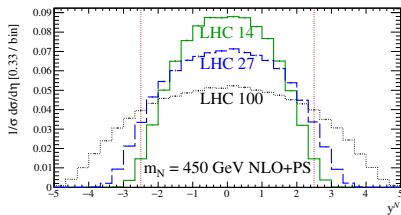
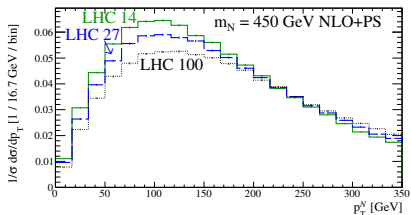
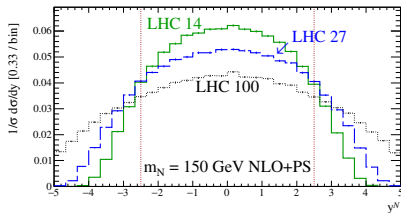
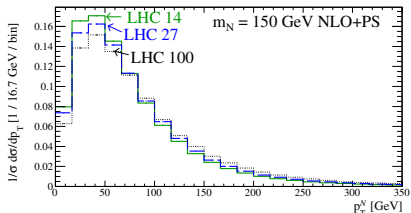
Across different colliders, wild interplay of PDF and matrix elements



Plotted: Normalized production rate ($\sigma/|V|^2$) vs heavy N mass (m_N)

- For $\sqrt{s} \gtrsim 25 - 27$ TeV GF greater than DY due to gg luminosity
- For $m_N \gtrsim 1 - 2$ TeV, VBF dominant due to large Yukawa couplings
- A 100 TeV, for $|V_{\ell N}|^2 \sim 10^{-3}$ and $\mathcal{L} = 30 \text{ ab}^{-1}$, one has $\mathcal{O}(30)$ events if $m_N = 10$ TeV! If $\text{BR} \times \varepsilon \sim \times \mathcal{A}_{\frac{1}{3}}$, then $\sqrt{N_{\text{Obs.}}} > 3\sigma$

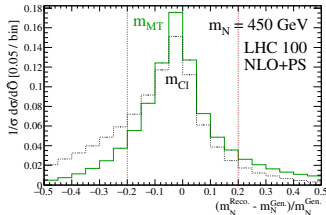
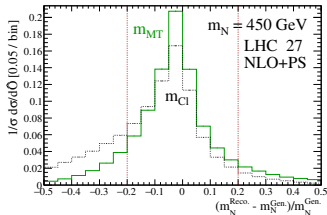
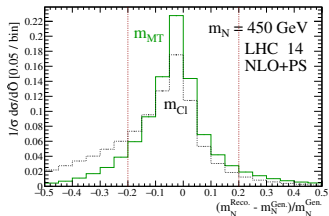
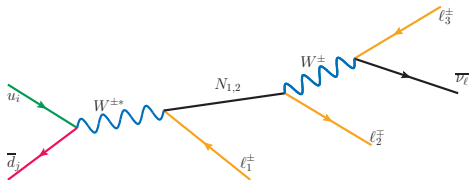
Heavy N Kinematics vs \sqrt{s} (1/2)



Interestingly, p_T -based observables retain shape across \sqrt{s}

- **Important:** for $DY \text{ } pp \rightarrow N\ell$, one has $p_T^\ell \sim m_N/3$

Heavy N Kinematics vs \sqrt{s} (2/2)

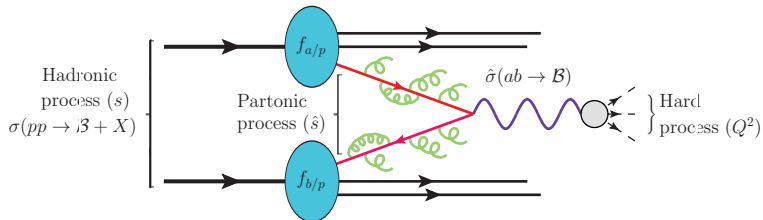


Shape retention across \sqrt{s} also holds for more complex variables

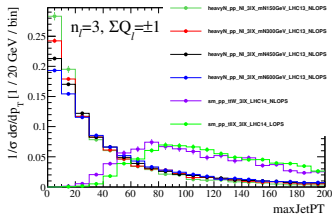
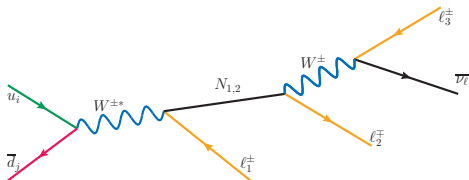
- Multi-body and cluster mass is a proxy for inv. mass of $N \rightarrow 2\ell\nu$

III: Heavy Neutrinos and Jet Vetoes

Jets in Heavy Neutrino Production

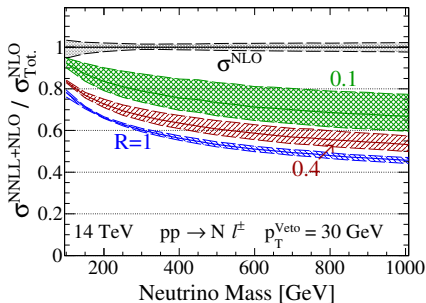


Well-known that QCD radiation (jets!) in Drell-Yan and color-singlet processes are typically forward (high η) or soft (low p_T), unlike QCD ($t\bar{t}$)



Heavy Neutrinos and Jet Vetoes

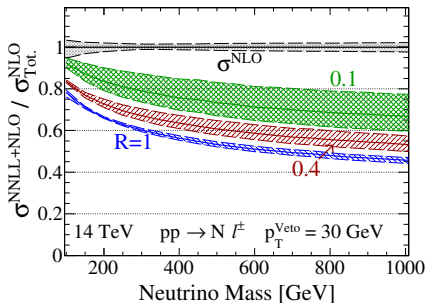
Unfortunately, also known that for Drell-Yan and color-singlet processes, there is more/harder QCD radiations (jets!) as the system gets heavier



⁷Disclosure: discovered basis of idea in an unrelated CMS paper on $WW + 0j$

Heavy Neutrinos and Jet Vetoes

Unfortunately, also known that for Drell-Yan and color-singlet processes, there is more/harder QCD radiations (jets!) as the system gets heavier



Then a thought⁷: What if we relaxed p_T^{Veto} with increasing m_N ?

- No-go due to top quark background

New thought: What if we relaxed p_T^{Veto} with increasing m_{jet} ?

- For $t\bar{t}W \rightarrow 3lX$, $m_{\text{jet}} \sim 3M_W/2$ and no change for increasing m_N
- For $pp \rightarrow Nl \rightarrow 3lX$, $m_{\text{jet}} \sim m_N$ and changes for increasing m_N

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Heavy Neutrinos and Safe Jet Vetoes

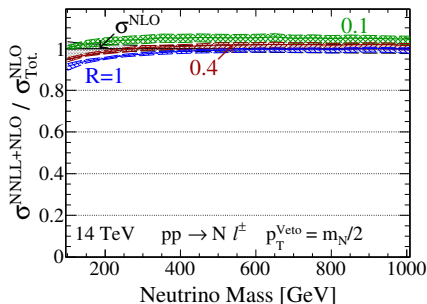
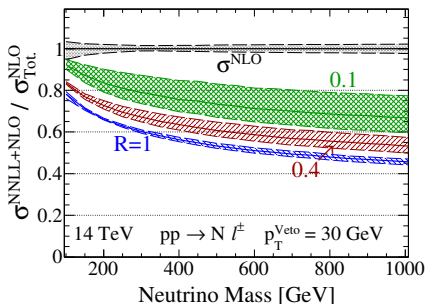
New thought: how about p_T of the leading charged lepton in the event?

- For $t\bar{t}W \rightarrow 3lX$, $p_T^l \sim M_W/2$ and no change for increasing m_N
- For $pp \rightarrow Nl \rightarrow 3lX$, $p_T^l \sim m_N/2$ and increases for increasing m_N

Heavy Neutrinos and Safe Jet Vetoes

New thought: how about p_T of the leading charged lepton in the event?

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- For $pp \rightarrow Nl \rightarrow 3lX$, $p_T^\ell \sim m_N/2$ and increases for increasing m_N



A final thought (I think a lot): does this actually work? Such a veto cannot just be applied in tandem with “standard” cuts due to correlations.

IV: The Monte Carlo (MC) Campaign

Jet vetoes are nonstandard selection cuts and make MC generation tricky

- Need reliable description of *leading* jet at high and low p_T for both (color-singlet) signal and (color-singlet) background processes
- Veto requires resummation/parton shower and jet definition
 \implies cannot apply veto at same time as other cuts
- Major bkg have add'l ℓ^\pm outside fid. volume \implies inclusive samples

⁸C. Degrande, O. Mattelaer, **RR**, Jessica Turner [1602.06957]

⁹See W' +jet veto analysis, Fuks, **RR** [1701.05263]

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Moto: “We start at NLO”

- Event Generation: HeavyN@NLO UFO⁸ + MadGraph5_aMC@NLO
 - ▶ Bare-bones gen-level cuts on leptons + MadSpin for decay
- Shower: Pythia8.2 (w/ QED shower + recoil + Monash* Tune)
- Particle-level Reco (the output): MadAnalysis5 + anti- k_T with⁹ $R = 1$
- Smearing + offline analysis: private ROOT code

⁸C. Degrande, O. Mattelaer, **RR**, Jessica Turner [1602.06957]

⁹See W' +jet veto analysis, Fuks, **RR** [1701.05263]

The Monte Carlo Campaign: Modeling Heavy N

Dirac vs Majorana nature has major impact on spin correlation¹⁰

Avoid this outright and drop Narrow Width Approximation for N

- DY: $q\bar{q}' \rightarrow \ell_1\ell_2 W$ at NLO in QCD, then decay $W \rightarrow \ell_3\nu$
- VBF: $q\gamma \rightarrow \ell_1\ell_2 Wq'$ at NLO in QCD, then decay $W \rightarrow \ell_3\nu$

¹⁰“Confusion Theorem,” B.~Keyser [**PRD26**, 1662 ('82); Moriond 2018];
T. Han, I. Lewis, **RR**, ZG Si [1211.6447]

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```
r Ruiz@d19:~/Scripts/MA5slave/MCData_Output_ISSVeto$ ls
ISSVeto_Nx1_3lX_ExcEMU_Kin_NLOPS_LHC100    ISSVeto_Nx1_3lX_IncELL_NLOPS_LHC14_FDiag
ISSVeto_Nx1_3lX_ExcEMU_Kin_NLOPS_LHC14    ISSVeto_Nx1_3lX_IncELL_NLOPS_LHC27
ISSVeto_Nx1_3lX_ExcEMU_Kin_NLOPS_LHC27    ISSVeto_Nx1_3lX_IncELL_NLOPS_LHC27_Dirac
ISSVeto_Nx1_3lX_IncELL_NLOPS_LHC100       ISSVeto_Nx1_3lX_IncELL_NLOPS_LHC27_FDiag
ISSVeto_Nx1_3lX_IncELL_NLOPS_LHC100_Dirac ISSVeto_pp_Nx1_3lX_EMU_LO_LHCMulti
ISSVeto_Nx1_3lX_IncELL_NLOPS_LHC100_FDiag ISSVeto_SMBkg_pp_3lX_XLOPS_LHC100
ISSVeto_Nx1_3lX_IncELL_NLOPS_LHC14       ISSVeto_SMBkg_pp_3lX_XLOPS_LHC14
ISSVeto_Nx1_3lX_IncELL_NLOPS_LHC14_Dirac ISSVeto_SMBkg_pp_3lX_XLOPS_LHC27
r Ruiz@d19:~/Scripts/MA5slave/MCData_Output_ISSVeto$ du -hs
364G .
r Ruiz@d19:~/Scripts/MA5slave/MCData_Output_ISSVeto$
```

Campaign will reach $\sim 500+$ GB since for each collider:

- 100-200K evts per signal hypothesis and 1-10M evts per process
- Will be made public via Zenodo (CERN-supported platform)

¹⁰“Confusion Theorem,” B.~Keyser [**PRD26**, 1662 ('82); Moriond 2018];

T. Han, I. Lewis, **RR**, ZG Si [1211.6447]

V: Results

Flavor Hypothesis and Signal Definition

As a benchmark flavor mixing scenario we set:

$$|V_{e4}| = |V_{\tau4}| \neq 0 \quad \text{and} \quad |V_{\mu4}| = 0$$

Predicting two complementary¹¹ signal processes ($\ell_X = e, \mu, \tau_h$):

Signal I: $pp \rightarrow \tau_h^+ \tau_h^- \ell_X + \text{MET}$ and **Signal II:** $pp \rightarrow \tau_h^\pm e^\mp \ell_X + \text{MET}$

Selection Cuts: Standard ID requirements and $m_{2\ell,3\ell}$ cuts

Nonstandard Cuts:

- Require $p_T^{j1} < p_T^{\ell1}$ (jet veto) and $S_T^\ell > 120$ GeV
- Given m_N hypothesis, cut on closest multi-body transverse mass \tilde{M}

$$\begin{aligned} \tilde{M}_{T,i}^2 &= \left[\sqrt{p_T^2(\ell^{\text{OS}}) + m_{\ell^{\text{OS}}}^2} + \sqrt{p_T^2(\ell_i^{\text{SS}}, \vec{p}_T) + M_W^2} \right]^2 \\ &\quad - \left[\vec{p}_T(\ell^{\text{OS}}, \ell_i^{\text{SS}}) + \vec{p}_T \right]^2, \quad i = 1, 2. \end{aligned}$$

¹¹BR($\tau/W \rightarrow eX$) are well-measured \implies can falsify no-LFV hypothesis if measured

Backgrounds

Associated Top Quark Production: $pp \rightarrow t\bar{t}l\bar{l}, t\bar{t}l\nu, tq\bar{l}l$ (LO+PS)

- Typical p_T of lepton from t : $p_T^\ell \sim \frac{m_t}{4} \left(1 + \frac{M_W^2}{m_t^2}\right) \sim 50$ GeV
- Typical p_T of b from t : $p_T^b \sim \frac{m_t}{2} \left(1 - \frac{M_W^2}{m_t^2}\right) \sim 65$ GeV
- $p_T^\ell < p_T^b \implies$ top events vetoed without need of b -tagging

Backgrounds

Associated Top Quark Production: $pp \rightarrow t\bar{t}l\bar{l}, t\bar{t}l\nu, tq\bar{l}l$ (LO+PS)

- Typical p_T of lepton from t : $p_T^\ell \sim \frac{m_t}{4} \left(1 + \frac{M_W^2}{m_t^2}\right) \sim 50$ GeV
- Typical p_T of b from t : $p_T^b \sim \frac{m_t}{2} \left(1 - \frac{M_W^2}{m_t^2}\right) \sim 65$ GeV
- $p_T^\ell < p_T^b \implies$ top events vetoed without need of b -tagging

Electroweak Production: $pp \rightarrow 4\ell, 3\ell\nu, WWW, WWl\bar{l}$

- Jet veto + multi-boson production \implies EW bosons at rest
- Typical $S_T \equiv \sum_\ell |\vec{p}_T^\ell|$ for $3W$ or WZ : $S_T \sim \frac{3M_W}{2} \sim 120 - 130$ GeV
- Typical S_T for heavy N : $S_T \sim \frac{m_N}{3} + \frac{m_N}{2} + \frac{m_N}{4} = \frac{13m_N}{12}$

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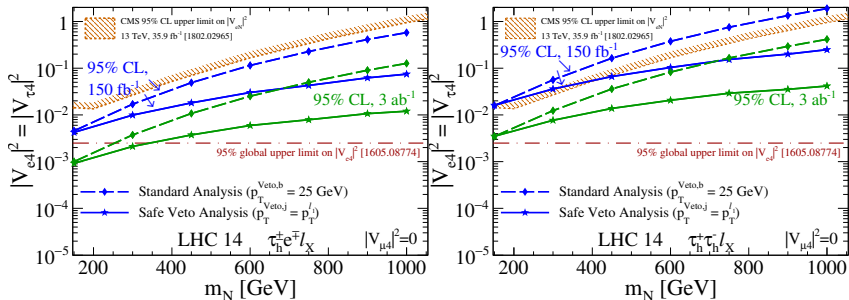
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Fake Leptons:

- Fake e^\pm : Random j in $t\bar{t}$ reassigned; evts weighted using [1611.05032]
- Fake τ^\pm : (mis)tagging rates from 13 TeV Det. Performance studies
- Color conservation \implies second jet with comparable p_T likely exist

Results for 14 TeV LHC: $e\tau$ Scenario



Plotted: LHC 14 sensitivity to active-sterile neutrino mixing (coupling) vs heavy neutrino mass

- Dash = standard search¹² with b -jet veto (13 TeV CMS for e/μ)
- Solid = “improved” analysis with special type of jet veto

Improved sensitivity up to 10 – 11 \times with $\mathcal{L} = 3 \text{ ab}^{-1}$.

¹²More aggressive cuts on charged leptons: e.g., $p_T^{\ell_1} > 55 \text{ GeV}$, $m_{3\ell} \geq 80 \text{ GeV}$

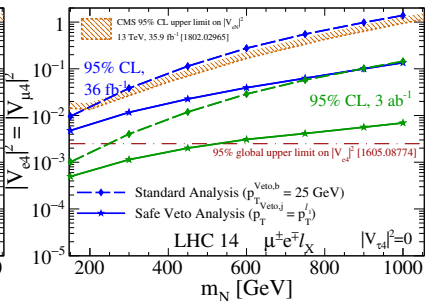
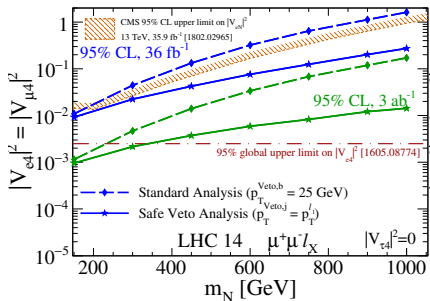
More Results at 14 TeV LHC: $e\mu$ Scenario

Benchmark flavor mixing scenario II:

$$|V_{e4}| = |V_{\mu 4}| \neq 0 \quad \text{and} \quad |V_{\tau 4}| = 0$$

Predicting two complementary signal processes ($\ell_X = e, \mu, \tau_h$):

Signal I: $pp \rightarrow \mu^+ \mu^- \ell_X + \text{MET}$ and **Signal II:** $pp \rightarrow \mu^\pm e^\mp \ell_X + \text{MET}$



Again, improved sensitivity $> 10\times$ with $\mathcal{L} = 3 \text{ ab}^{-1}$.

Preliminary Results at 27 TeV LHC: $e\tau$ Scenario

Benchmark flavor mixing scenario I with $e - \tau$ mixing:

Signal: $pp \rightarrow \tau^\pm e^\mp \ell_X + \text{MET}$

SURPRISE

(L) 14 TeV vs (R) 27 TeV with $\mathcal{L} = 3, 15, 30 \text{ ab}^{-1}$

WARNING VERY PRELIMINARY: Missing stats and uses 14 TeV cuts

Summary

Heavy neutrinos remain one of the best (but not the only!) explanations for tiny neutrino masses

We have investigated a new approach to searches for heavy N in pp collisions based on a dynamical jet veto ($p_T^{\text{Veto}} = p_T^{\ell_1}$)

- New veto scheme reveals $> 90 - 95\%$ signal acceptance with little-to-no dependence on m_N (contrary to previous methods)
- Substantial reduction in QCD theory uncertainty at NLO+NNLL(Veto) \implies less need for high-precision resummation
- Redesigned search analysis with better reduction of background \implies Improved LHC sensitivity by up to $10\times$ over LHC's lifetime

Remember: “The LHC is planned to run over the next 20 years, with several stops scheduled for upgrades and maintenance work” [press.cern]

- High-Luminosity LHC and Belle II goals: $3\text{-}5 \text{ ab}^{-1}$ and 50 ab^{-1}
- Premature to claim “nightmare scenario” (SM Higgs + nothing else)

The logo features the letters 'IP' in a large, blue, serif font, with a '3' to its right. The text is centered within a light blue oval. A decorative wavy line extends horizontally from the left and right sides of the oval.

Thank you.