KM3NeT/ORCA

status & perspectives for ν oscillation and mass hierarchy measurements

Piotr Kalaczyński

Birmingham group particle physics seminar 16.12.2020



Outline

Neutrinos

2 KM3NeT

3 ORCA status

- Measurements with ORCA4 (with 4 DUs)
- Sensitivity studies for ORCA115 (with 115 DUs)
- Potential detector upgrades

4 Summary

Neutrino sources



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Neutrino interactions

Possible interactions:

- gravitational
- weak:
 - charged current (CC): $v_l + N \xrightarrow{W^{\pm}} l + X$
 - neutral current (NC) : $v_l + N \xrightarrow{Z^0} v_l + X$
 - elastic scattering (ES): $v_l + l \xrightarrow{W^{\pm}/Z^0} v_l + l$
- ν oscillations

Electrically charged interaction products may produce Cherenkov light:



Neutrino oscillations in vacuum

Mixing of neutrino mass and flavour states:

$$\begin{bmatrix} \mathbf{v}_e \\ \mathbf{v}_\mu \\ \mathbf{v}_\tau \end{bmatrix} = U_{\mathsf{PMNS}} \begin{bmatrix} \mathbf{v}_1 \\ \mathbf{v}_2 \\ \mathbf{v}_3 \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix} \begin{bmatrix} \mathbf{v}_1 \\ \mathbf{v}_2 \\ \mathbf{v}_3 \end{bmatrix}$$

 U_{PMNS} - Pontecorvo-Maki-Nakagawa-Sakata matrix



- not measured as precisely as CKM
- CKM = Cabibbo–Kobayashi–Maskawa



U_{PMNS} parametrization

The usual PMNS parametrization:



where $c_{ij} \equiv \cos\theta_{ij}$, $s_{ij} \equiv \sin\theta_{ij}$, δ – CP-violating phase (charge-parity) and α_1 , α_2 – Majorana phases.

Oscillation probability for 2v case:

$$P_{\alpha \to \beta, \alpha \neq \beta} = \sin^2 2\theta_{ij} \sin^2 \left(\frac{\Delta m_{ij}^2 L}{4E}\right) \tag{1}$$

Water Cherenkov neutrino telescopes



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Principle of detection (upgoing v_{μ} example)



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Light sensors



DOM: Digital Optical Module (31 3" PMTs + electronics etc.)

PMT: Photomultiplier Tube

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DOM arrangement



DU: Detection Unit (string with 18 DOMs)

https://youtu.be/omlFkdCkbYk



 $ORCA6 \iff ORCA with 6 DUs$

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Detector comparison

ORCA – Oscillation Research with Cosmics in the Abyss (main goal: m_v ordering)



ARCA – Astroparticle Research with Cosmics in the Abyss (main goal: v_{astro})

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KM3NeT-ORCA:

- Iocation:
 - 40km offshore Toulon (France)
 - coords: 42°48' N 06°02' E
- optimized for $E_{\rm V}$ range: few 100GeV
- full config: ORCA115



ORCA6 (with 6 DUs):



https://youtu.be/AjQx8NpQJ8Y

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Event topologies (ORCA115 simulation)





showers (NC: $v_{e,\mu,\tau}$, CC: v_e , v_τ ($\tau \not\rightarrow \mu$))



Ball size \rightarrow # hit PMTs on a DOM

 $color \rightarrow time$

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The KM3NeT Collaboration



 KM3NeT – The Cubic Kilometre (km³) Neutrino Telescope

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KM3NeT-PL Group

- Piotr Mijakowski (coordinator)
 - Conference and Outreach Commitee member
 - Institute Board and Review & Resources Board representative
- Rafał Wojaczyński (post-doc)
 - GC WIMP search sensitivity for ORCA
 - self-veto studies
- Piotr Kalaczyński (PhD student)
 - atm. v/μ CORSIKA simulations & data comparisons
 - prompt µ analysis







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Current status of ORCA detector

KM3NeT-ORCA:

onfiguration:

- 6 DUs since January 2020
- new DU planned in Dec
- full detector: 115 DUs (in 2025)
- remote operation \rightarrow COVID-proof
- First v candidates (already shown in https://youtu.be/AjQx8NpQJ8Y)
- 6 DUs opertional for 6 months celebration:

Route 66: https://youtu.be/nkXg8g31SdU 6 strings, 6 months: https://youtu.be/gxToAs6lQ68

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Extensive Air Showers (EAS)



EAS simulations in KM3NeT

MUPAGE – atmospheric MUons from PArametric formulas: a fast GEnerator for neutrino telescopes

- developed for ANTARES
- fast muon MC generator
- based on parametric formulas and MACRO measurements
- parameters can be freely tuned
- CORSIKA COsmic Ray SImulations for KAscade
 - developed for KASCADE experiment (Karlsruhe)
 - full simulation of air showers
 - customizable (models, primaries, etc.)

Atmospheric muon rate measurement

author: Piotr Kalaczyński (me ©)



- from poster #316 @Neutrino2020
- livetime: 35d (10.-11.2019) with 4 DUs
- obs. rate: $455k \frac{\mu}{day} (\sim 0.03 \cdot rate @sea level)$
- errors only stat. (syst. in progress)



Atmospheric neutrino flux measurement



authors: Luigi Antonio Fusco, Jannik Hofestädt, Dimitris Stavropoulos

- from poster #363 @Neutrino2020
- livetime: 4.5m (07.2019-01.2020) with 4 DUs
- purity: 99 %
- observed rate: $3\frac{v}{day}$
- oscillations hypothesis favoured (p = 0.17)!

| Observation of the atmospheric neutrino flux with the Research Control of KM3HeT/ORCA | | |
|--|--|---|
| Luigi Antonio Fosori", Januik Haleskick', Dinikris Stamspeolen' un behalf af the Kitcher Collisboration | | CPPM |
| 1 CPVH, Harsellie 12CAR, Srlangen 11KSR Denektron, Advers Highhooldopningsth | | Pirst sestring oscillation results |
| The RHUNET Detector | Data sample and Neutrino selection | A refined event selection [2] has been used in shalp subtine coefficient, 043944(2006) A data/arrows the |
| EXCELT [1] is the send generation large volume analysis detention in the bindlew server large | 6.5 months of high-quality XM29+COBCA data amplitud with 4 active Dick between July 2019 and | hypethesis of includence at a applicance level of moduly 2x by measuring the 2welth-dependent off-correct in track-like event rates. |
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Neutrino Mass Ordering (NMO)



credit: JUNO Collaboration / JGU-Mainz

Analysis idea:

- traversing the Earth enhances $P_{\nu_{\mu} \leftarrow \rightarrow \nu_{e}}$ for NO and $P_{\bar{\nu}_{\mu} \leftarrow \rightarrow \bar{\nu}_{e}}$ for IO at $E \lesssim 15 \,\text{GeV}$
- KM3NeT does not distinguish ν and $\bar{\nu}$ events
- $\sigma_{interaction}$ and atm. flux are bigger for ν than for $\bar{\nu} \hookrightarrow$ net effect on oscillation patterns

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Event classes in NMO analysis



Oscillation patterns in NMO analysis



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Neutrino Mass Ordering (NMO) sensitivity

author: Mathieu Perrin-Terrin



- parameters: NuFit 4.1
- MC: 3y of full ORCA (115 DUs)

- for NO: 5σ after 4y
- paper in preparation

JUNO experiment



- Jiangmen Underground Neutrino Observatory (JUNO)
- reactor experiment in China
- main goal: precision θ_{13} measurement
- sensitive to: atmospheric, geo- and supernova ν 's
- scheduled to start taking data in 2021



NMO sensitivity for ORCA + JUNO



- from poster #480 @Neutrino2020
- tension between the best-fit Δm²₃₁ with a wrong ordering assumption enhances the sensitivity
- method: χ^2 minimization of an Asimov dataset
- parameters: NuFit 4.0
- for NO: 5σ after 1y (7.5 σ after 4y)



Atmospheric mixing parameters (reminder)



where $c_{ij} \equiv \cos\theta_{ij}$, $s_{ij} \equiv \sin\theta_{ij}$, δ – CP-violating phase (charge-parity) and α_1 , α_2 – Majorana phases.

Oscillation probability for 2v case:

$$P_{\alpha \to \beta, \alpha \neq \beta} = \sin^2 2\theta_{ij} \sin^2 \left(\frac{\Delta m_{ij}^2 L}{4E}\right)$$
(2)

Sensitivity to Δm^2_{32} and θ_{23}

author: Mathieu Perrin-Terrin



motivation:

- improve the precision on Δm_{32}^2 , θ_{23}
- determine the octant of θ_{23}
- method: max. likelihood

- parameters: NuFit 4.1
- MC: 3y of full ORCA (115 DUs)
- paper in preparation

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ν_τ appearance concept

credit: the IceCube Collaboration



 v_{τ} appearance:

- confirmation of oscillations (no other way to produce v_{τ})
- first measured by OPERA (Phys. Rev. Lett. 115, 121802 (2015))
- observed statistically by SK and IceCube

 τ normalization¹: measure of the unitarity² of U_{PMNS} (τ norm \neq 1 means new physics)

 ${}^{1}\tau \text{ normalization} = \frac{\# \text{detected} v_{\tau}}{\# \text{expected} v_{\tau} \text{ from standard oscillations}}$ ${}^{2}U^{*}U = \mathbb{I}$

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 ν_τ signal



1st "bang": $v_{\tau} + N \rightarrow \tau + X$ 2nd "bang": $\tau \rightarrow v_{\tau} + X'$ or $\tau \rightarrow v_{\tau} + e + \bar{v_e}$ problems:

- rare events
- "bangs" hard to separate

There are other signatures, but generally hard to extract the ν_{τ} 's.

 \implies Solution: look at statistical excess due to taus!
ν_{τ} appearance results

authors: Michael Moser and Thomas Eberl



- from poster #202 @Neutrino2020
- fit robust against θ_{23} and mass ordering
- CNN's outperform max *L* by >10% in constraining deviations from expected τ normalization (=1)
- confirmation of τ appearance possible within few months of operation with full ORCA



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Sterile v simplest scenario (3+1):

$$\begin{bmatrix} \mathbf{v}_{e} \\ \mathbf{v}_{\mu} \\ \mathbf{v}_{\tau} \\ \mathbf{v}_{s} \end{bmatrix} = U_{3+1} \begin{bmatrix} \mathbf{v}_{1} \\ \mathbf{v}_{2} \\ \mathbf{v}_{3} \\ \mathbf{v}_{4} \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{bmatrix} \begin{bmatrix} \mathbf{v}_{1} \\ \mathbf{v}_{2} \\ \mathbf{v}_{3} \\ \mathbf{v}_{4} \end{bmatrix}$$

 \hookrightarrow new mixing parameters: θ_{i4} , Δm_{i4}^2 (*i* = 1,2,3), δ_{i4} (*i* = 1,2,3)

- standard U_{PMNS}
- 🛛 sterile

Exclusion limits on sterile mixing parameters



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Core-collapse Supernovae (CCSN)



CCSN:

- 99% of $E_{grav} \rightarrow v$ when γ cannot escape
- Explosion mechanism not fully understood
- $\bullet\,$ First and only observation: 24 ν from SN1987A $\rightarrow\,$



Detecting a CCSN with neutrinos

There are 2 ways to detect:

- measure the v
- Iook at the PMT background rate

CCSN produce MeV $\nu {\rm 's}$

KM3NeT has few GeV threshold \rightarrow 2nd approach

authors: Marta Colomer, Massimiliano Lincetto, Vladimir Kulikovskiy, Damien Dornic and Alexis Coleiro



CCSN detection sensitivity

authors: Marta Colomer, Massimiliano Lincetto, Vladimir Kulikovskiy, Damien Dornic and Alexis Coleiro 1 building block full ORCA&ARCA, multiplicity 7-11, 500ms window



- from poster #245 @Neutrino2020
- multiplicity number of hit PMTs on a DOM
- >95% of galactic CCSN progenitors at 5σ (20kpc)
- ORCA6 can trigger up to 5.4 (9.5) kpc for 11 (27) M_{\odot} progenitors



Dark matter (DM)



Illustration by Sandbox Studio, Chicago with Ana Kova

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DM from the Sun



DM from the Sun sensitivity

authors: Daniel Lopez-Coto, Sergio Navas and Juande Zornoza spin-dependent (coupling to splin; mainly for odd A)



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Potential upgrades

Goal: measure δ_{CP}

Super-ORCA:

- ~10x denser
- improved E and θ resolution
- more details in PoS(ICRC2019)911 (arXiv:1907.12983)

Protvino to ORCA (P2O):

- neutrino beam from Protvino (near Moscow)
- 2595km baseline
- Lol: Eur. Phys. J. C (2019) 79: 758 (arXiv:1902.06083)

Both: Protvino to Super-ORCA Timeline: undefined Piotr Kalaczyński KM3NeT/ORCA



P2O sensitivity to the NMO



improved overall performance

P2O sensitivity to $\delta_{CP}\colon$ ORCA vs Super-ORCA



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④ Summary

Summary

- Detector:
 - ORCA6 running stably
 - new DU expected in December 2020
- Data analyses:
 - ORCA4:
 - ★ first measurements
 - ★ we see the oscillations!
 - ORCA6: analyses ongoing
- Sensitivity studies for ORCA115
 - promising results
 - world-first NMO measurement possible!
 - not all shown!

Take-home message: ORCA lives and bites hard. Exciting physics ahead!





Thank you for your attention. Any questions?



- sensitivity to galactic sources is not reduced for 2 building blocks, provided they are large enough (at least 0.5km³ each)
- more optimal for regional funding and human resources
- complies with the technical specifications for the construction and operation

- $\Delta t \simeq 10 20$ ms @10kpc, depending on the progenitor
- dedicated CCSN MC for the signal of a single DOM
- for bgd we use data directly
- No significant excess found for GCN #26751(retracted) and #26249 alerts

Event classes in NMO analysis



Oscillation patterns in NMO analysis



$$\mathcal{L}_{0}^{2} = \sum_{i \in [\text{Erec, } \cos\theta_{z}^{\text{rec}}]} \mathcal{L}_{0,i}^{2} = \sum_{i \in [\text{Erec, } \cos\theta_{z}^{\text{rec}}]} -2.0 \times (n_{i}^{\text{alt}} - n_{i}^{\text{null}} - n_{i}^{\text{alt}} \ln \frac{n_{i}^{\text{alt}}}{n_{i}^{\text{null}}}), \quad (3)$$
$$\mathsf{TS} : \mathcal{L}_{eff}^{2} = \mathcal{L}_{0}^{2} + \sum_{i \in parameters} \frac{(p_{i}^{exp} - p_{i}^{obs})^{2}}{\sigma_{i}^{2}} \qquad (4)$$

NMO separation power (tracks vs showers)



NMO E reconstruction



NMO E resolution



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NMO E reconstruction



NMO angular resolution



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NMO muon and noise suppression



| Parameter | Null Hypothesis ValuesNOIO | | Constraints |
|------------------------|---|---|--------------------|
| Δm_{32}^2 | $2.528 \times 10^{-3} \text{ eV}^2$ | $2.436 \times 10^{-3} \text{ eV}^2$ | free |
| δ_{CP} | $221.0^{\circ}, 0^{\circ}, 180.0^{\circ}$ | $282.0^{\circ}, 0^{\circ}, 180.0^{\circ}$ | free |
| θ_{13} | 8.60° | 8.64° | $\pm 0.13^{\circ}$ |
| Δm^2_{21} | $7.39 \times 10^{-5} \ \mathrm{eV^2}$ | | fixed |
| $	heta_{12}$ | 33.82° | | fixed |
| θ_{23} | $[40^\circ - 50^\circ]$ | | free |

| Parameter | Null NO | Hypothesis Values IO | Constraints |
|------------------------|---|-------------------------|--------------------|
| δ_{CP} | 221.0° | 282.0° | free |
| $	heta_{13}$ | 8.60° | 8.64° | $\pm 0.13^{\circ}$ |
| Δm_{21}^2 | $7.39 \times 10^{-5} \text{ eV}^2$ | | fixed |
| θ_{12} | 33.82° | | fixed |
| θ_{23} | $[40^{\circ}-50^{\circ}]$ | | fixed |
| Δm^2_{32} | $[2.2 \times 10^{-3}; 2.8 \times 10^{-3}] \text{ eV}^2$ | | fixed |

Sensitivity to Δm^2_{32} and θ_{23} comparison for IO



References:

- M. G. Aartsen et al. (IceCube Collaboration), 'Measurement of Atmospheric Neutrino Oscillations at 6–56 GeV with IceCube DeepCore', Phys. Rev. Lett. 120 (2018), p. 071801, doi:10.1103/PhysRevLett.120.071801
- K. Abe et al., 'Atmospheric neutrino oscillation analysis with external constraints in Super-Kamiokande I-IV', Phys. Rev. D 97.7 (2018), p. 072001, doi: 10.1103/PhysRevD.97.072001, arXiv: 1710.09126 [hep-ex]
- K. Abe et al., 'Constraint on the matter-antimatter symmetry-violating phase in neutrino oscillations', Nature 580.7803 (2020), pp. 339-344, doi: 10.1038/s41586-020-2177-0, arXiv: 1910.03887 [hep-ex]
- Adam Aurisano, 'Recent Results from MINOS and MINOS+', June 2018. doi: 10.5281/zenodo.1286760, url: https://doi.org/10.5281/zenodo.1286760
- M.A. Acero et al., 'First Measurement of Neutrino Oscillation Parameters 543 using Neutrinos and Antineutrinos by NOvA', Phys. Rev. Lett. 123.15 544 (2019), p. 151803, doi: 10.1103/PhysRevLett.123.151803, arXiv: 545 1906.04907 [hep-ex]

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Neutrino oscillations in matter

Presence of electrons affects the Hamiltonian:

$$H_{\rm eff} = H_{\rm vacuum} + \underbrace{\sqrt{2}G_{\rm F}n_e}_{\rm MSW}$$

Modified 2v oscillation probability:

$$P_{\alpha \to \beta} = \sin^2 2\theta_{\rm M} \sin^2 \left(\frac{\Delta m_{\rm M}^2 L}{4E}\right), \tag{5}$$

where $\sin^2 2\theta_{\rm M} = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - x)^2}, \ \Delta m_{\rm M}^2 = \Delta m^2 \sqrt{\sin^2 2\theta + (\cos 2\theta - x)^2}$
with $x = \frac{2\sqrt{2}G_{\rm F}n_e E}{\Delta m^2}$ ($G_{\rm F}$ – Fermi constant, n_e – electron number density).
 $\theta_{\rm M}$ and $\Delta m_{\rm M}^2$ are the effective angle and mass square difference respectively.

with

Exclusion limits on sterile mixing parameters



- from poster #179 @Neutrino2020
- method: χ^2 minimization of an Asimov dataset
- MC: 3y with full ORCA (115 DUs)
- model: 3+1
- assumptions: NO, $\Delta m_{41}^2 > 0$, NuFit 4.1 (Δm_{21}^2 , θ_{12})



Non-Standard Interactions (NSI) (mini-intro)

NC NSI of v_{α} with matter fermions (*e*, *u*, *d*) distort the standard ($\varepsilon_{\alpha\beta} = 0$) MSW effect: (arXiv:1907.00991v2)

$$H_{\text{eff}} = \frac{1}{2E} U_{\text{PMNS}} \begin{bmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{bmatrix} U_{\text{PMNS}}^{\dagger} + \sqrt{2}G_{\text{F}}N_e \begin{bmatrix} 1 + \varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ \varepsilon_{e\mu}^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{e\tau}^* & \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{bmatrix}$$
neutrinos
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antineutrinos
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neutrinos
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Non-Standard Interactions (NSI)



author: Nafis Rezwan Khan Chowdhury, Tarak Thakore



- from poster #178 @Neutrino2020
- method: χ^2 minimization of an Asimov dataset
- MC: 3y with full ORCA (115 DUs)



Dark matter (DM) from the Sun



used topology: only tracks

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The actual ORCA footprint



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Super-ORCA expected detector performance



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P2O spectra for NO and IO



Plots for 3y with the 90kW beam

P2(S-)O expected performance



E distribution at Super-ORCA

Plots for 3y with the 450kW beam



CP symmetry



Settings used for simulations:

- hadronic interaction models:
 - HE : SIBYLL 2.3
 - LE: GHEISHA 2002d
- Charmed particles handled explicitly
- 5 primaries: p, He, C, O, Fe
- statistics: 5.10⁶ showers per primary
- $10^3 < \frac{E_{\rm primary}}{{\rm GeV}} < 10^9$

Other v_{τ} signatures (in IceCube)

Tau Neutrino Signatures in IceCube: Overview

| | Signature | Cartoon | Description |
|--|--|-------------------------------|--|
| Decreasing IceCube Acceptance Energy → | Lollipop | V _t O _t | Tau created outside (un- detected), decays→cascade |
| | Inverted Lollipop | - ¥t - t | Tau created inside→cascade, decays outside (undetected) |
| | Sugardaddy (see talk by T. DeYoung) | ν _τ γ | Tau created outside (un- detected), decays \rightarrow muon, see Δ in light level along track |
| | Double Bang | - <u>Y</u> _T | Tau created and decays inside, cascades well-separated |
| | Double Pulse | | Double bang, w/cascades un- resolvable, but nearby DOM(s) see double pulsed waveform |
| | Low $E_{\tau}\;\mu$ Lollipop | | Inverted lollipop but low-E tau decays quickly to μ ; Study ratio E_{sh}/E_{tr} |
| Tau Neutrinos in IceCube D. Cowen/Penn State | | | |

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Atmospheric spectrum at the sea [MCEq]



https://mceq.readthedocs.io/en/latest/index.html

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