



NEW Ve Appearance Results from the T2K Experiment

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Outline



- Physics motivation: Neutrinos & Oscillations
- Overview of the T2K experiment
- Data taking at T2K
- ve analysis:
 - Selection criteria
 - Expected backgrounds
 - Systematic uncertainty
 - New data set
- New oscillation results
- Summary & conclusions

Neutrino Basics

- Weakly interacting isospin partners of charged leptons



Standard model includes three massless stable neutrinos, but...

As early as fifty years ago, discussions of massive neutrinos and oscillations had begun!

The weak neutrinos must be re-defined by a relation

$$\begin{array}{l} \nu_e = \nu_1 \cos \delta - \nu_2 \sin \delta, \\ \nu_e = \nu_1 \sin \delta + \nu_2 \cos \delta. \end{array} \right\}$$
(2.18)

The leptonic weak current $(2 \cdot 9)$ turns out to be of the same form with $(2 \cdot 1)$. In the present case, however, weak neutrinos are not stable due to the occurrence of a virtual transmutation $\nu_e \rightleftharpoons \nu_\mu$ induced by the interaction (2.10). If the mass difference between ν_2 and ν_1 , i.e. $|m_{\nu_3} - m_{\nu_1}| = m_{\nu_3}^{(*)}$ is assumed to be a few Mev, the transmutation time $T(\nu_e \rightleftharpoons \nu_a)$ becomes ~10⁻¹⁸ sec for fast neutrinos with a momentum of \sim Bev/c. Therefore, a chain of reactions such as10)

$$\pi^+ \to \mu^+ + \nu_\mu, \qquad (2 \cdot 19a)$$

$$\nu_{\mu} + Z$$
(nucleus) $\rightarrow Z' + (\mu^{-} \text{ and/or } e^{-})$ (2.19b)

is useful to check the two-neutrino hypothesis only when $|m_{\nu_2} - m_{\nu_1}| \lesssim 10^{-6}$ MeV

Maki, Nakagawa, Sakata (June 1962)

Early Hints of Oscillation

Solar Neutrinos



Atmospheric Neutrinos



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1998: Neutrino Mass!







Oscillation Basics

• Neutrinos have mass!

Flavour eigenstates: v_e , v_μ , v_τ **Mass eigenstates:** v_1 , v_2 , v_3

$$|v_l\rangle = \sum_{i=1}^3 U_{li} |v_i\rangle$$

• Produced and interact as flavour eigenstates; propagate as mass eigenstates: $|v_l(L)\rangle = \sum_{i=1}^3 U_{li} e^{-im_i^2 L/2E} |v_i(0)\rangle$

where:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



Oscillations Probability



Parameterization of the PMNS matrix Uii :

$$\begin{pmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \times \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \times \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{pmatrix}$$

- Contains a CP violating phase (δ)
- Oscillation probability depends on energy (E), distance travelled (L), the mixing matrix (U), and the difference in the squares of the neutrino masses (Δm²)

$$P_{\alpha \to \beta} = \delta_{\alpha \beta} - 4 \sum_{i>j} \Re \left(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right) \sin^2 \left(\frac{\Delta m_{ij}^2 L}{4 E} \right)$$
$$+ 2 \sum_{i>j} \Im \left(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right) \sin \left(\frac{\Delta m_{ij}^2 L}{2 E} \right)$$

Experimental Probes



• Parameterization of the PMNS matrix Uii :



2010 - 2012: Race For θ₁₃

TZK

Two types of experiments:



1) Long baseline accelerator expts:

Look for ν_e appearance in a ν_μ beam

 \rightarrow MINOS, T2K, NOVA



2) Short baseline reactor expts:

Look for $\overline{\nu \mathrm{e}}$ disappearance

→ Double Chooz, Daya Bay, RENO

Measuring θ₁₃



Long baseline accelerator: Sensitive to θ_{13} , δ , mass hierarchy

$$\begin{split} P(\nu_{\mu} \rightarrow \nu_{e}) &= \begin{array}{c} 4C_{13}^{2}S_{13}^{2}S_{23}^{2} \cdot \sin^{2}\Delta_{31} & \text{CP violating (flips sign for anti-v)} \\ &+8C_{13}^{2}S_{12}S_{13}S_{23}(C_{12}C_{23}\cos\delta - S_{12}S_{13}S_{23}) \cdot \cos\Delta_{32} \cdot \sin\Delta_{31} \cdot \sin\Delta_{21} \\ &+8C_{13}^{2}C_{12}C_{23}S_{12}S_{13}S_{23}\sin\delta & \sin\Delta_{32} \cdot \sin\Delta_{31} \cdot \sin\Delta_{21} \\ &+4S_{12}^{2}C_{13}^{2}(C_{12}^{2}C_{23}^{2} + S_{12}^{2}S_{23}^{2}S_{13}^{2} - 2C_{12}C_{23}S_{12}S_{23}S_{13}\cos\delta) \cdot \sin^{2}\Delta_{21} \\ &C_{ij} = \cos(\theta_{ij}) \mid \\ &S_{ij} = \sin(\theta_{ij}) \mid \\ &\Delta_{ij} = \Delta_{mij} \left(L/4E\right) \mid \\ \end{array}$$

Short baseline reactor: Sensitive only to θ_{13}

$$P_{\rm sur} \approx 1 - \sin^2 2\theta_{13} \sin^2(1.267\Delta m_{31}^2 L/E)$$

Oscillation @Accelerators

Long baseline accelerator: Sensitive to θ_{13} , δ , mass hierarchy



Oscillation @Accelerators

Long baseline accelerator: Sensitive to θ_{13} , δ , mass hierarchy



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Oscillation @Reactors





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θ₁₃ Results: Accelerators



<u>One year ago today</u>: T2K announces first indications of θ_{13}

June 13th 2011 – Six electron neutrino events are observed, with 1.5 ± 0.3 background events expected



P-value (assuming no oscillations) is 0.007, or 2.5σ .

θ₁₃ Results: Accelerators



Significant overlap of T2K and MINOS 90% C.L. allowed regions

θ₁₃ Results: Reactors



<u>March 2012</u>: Daya Bay first to see θ_{13} via disappearance channel



Similar results followed one month later from RENO

Oscillation Parameters



Standard parameterization for Dirac neutrinos has:
 3 mixing angles, 2 mass square differences, 1 CP phase

$$\begin{pmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \times \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \times \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{pmatrix}$$

• What do we know?

 $\sin^{2}(2\theta_{12}) = 0.87 \pm 0.03$ $\Delta m^{2}_{12} = 7.59 \pm 0.20 \times 10^{-5} \text{ eV}^{2}$ SK, SNO, KamLAND $\sin^{2}(2\theta_{23}) > 0.92 (90\% \text{ C.L.}) \Delta m^{2}_{32} = 2.43 \pm 0.13 \times 10^{-3} \text{ eV}^{2}$ SK, K2K, MINOS $\sin^{2}(2\theta_{13}) = 0.103 \pm 0.017$ T2K, MINOS, Daya Bay, RENO

Open Questions

- Q: What do we still need to know?
- <u>A:</u> Two big questions in front of us now:
- 1) What is the CP violating phase δ ? 2) What is the mass hierarchy?



 \rightarrow Electron neutrino appearance can help answer both questions!



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Tokai to Kamioka (T2K)





- Experimental goals:

 - Precision v_{μ} disappearance
 - Other (ν cross sections, sterile ν searches, etc.)

The T2K Collaboration



~500 collaborators, 59 institutes, 12 countries

Canada TRIUMF U. Alberta U.B. Columbia U. Regina U. Toronto U. Victoria York. U.

France

CEA Saclay IPN Lyon **LPNHE** Paris

Germany U. Aachen

Italy IPNF, U. Roma IPNF, U. Napoli IPNF, U. Padova IPNF, U. Bari Japan **ICRR Kamioka ICRR RCCN** KEK. Kobe U.

Kyoto U. LLR E. Poly. Miyagi U. Edu. Osaka City U. U. Tokyo

Poland A. Soltan, Warsaw H. Niewodnicsanki, Cracow T.U. Warsaw U. Silesia, Katowice U. Warsaw U. Wroklaw

Russia INR

S. Korea Chonnam N.U. Dongshin U. Seoul N.U

Spain IFIC, Valencia FAE(Barcelona)

> Switzerland U. Bern U. Geneva **ETH Zurich**

United Kingdom

Imperial C. London Queen Mary U.L. Lancaster U. Liverpool U. Oxford U. Sheffield U. Warwick U.

STFC/RAL STFC/Daresbury

USA Boston U. B.N.L. Colorado S. U. Duke U. Louisiana S. U. Stony Brook U. U. C. Irvine **U.** Colorado **U.** Pittsburgh U. Rochester U. Washington

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Experimental Overview





J-PARC Neutrino Beamline



INGRID (on-axis detector)





- 16 modules (14 in cross configuration)
- Modules consist of iron and scintillator layers
- Measures neutrino beam profile and rate

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ND280 (off-axis near detector) **T2**

• 0.2 T UA1 magnet

Used in this analysis

- Fine Grained Detectors (FGD) neutrino target mass and tracking
- Time Projection Chambers (TPC) momentum and dE/dx measurements

Important for future analyses

- POD π^0 detector measures NC π^0 rates
- Electromagnetic calorimeters identify electrons, photon reconstruction
- SMRD muon detector installed in the magnet yoke muon range detector to improve muon ID



Super-Kamiokande (far)





- 50,000 tonne water Chereknov detector
- 22.5 kton fiducial mass
- Inner Detector (ID) has 11,129 inward facing 50cm PMTs for ~40% coverage
- Outer Detector (OD) has 1885 20cm PMTs; OD used as passive shielding and active veto
- Stable operation for many years
- Good reconstruction in energy range of T2K beam

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T2K: We're Back!



After taking data from January 2010, T2K was shut down by the Great Eastern Japan Earthquake and Disaster on March 11th 2011.







On Dec.9, 2011, J-PARC LINAC operation restarted!!! On Dec.24, 2011, Neutrino events observed at T2K-ND280!! On Mar.9, 2012, physics quality data-taking resumed! (Run 3)

09:30 Key was on.

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Instantaneous Luminosity TZK



- Achieved 190 kW of continuous running in Run 3
 - Compared to 145 kW in Run 2 and 50 kW in Run 1
 - Increased pulse repetition rate, bunches per pulse, protons per bunch
 - 10¹⁴ protons per pulse (world record)

Integrated Luminosity



- Run 1 3 data sets = 2.56×10^{20} P.O.T. for SK analysis
 - Run 1: 0.32 x 10²⁰ P.O.T. (2010)
 - Run 2: 1.11 x 10²⁰ P.O.T. (2010 2011)
 - Run 3: 1.12 x 10²⁰ P.O.T. (to May 15th 2012)
- Thus far, ~4% of the total data has been collected (assuming design goal)



Beam Stability: Rate & Direction T2K



Beam is quite stable in space (1 mrad tolerance) and time (within 1%)



integrated day(1 data point / 1day)

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Reconstructing ν Energy





- Only final state lepton is reconstructed
- Neutrino energy can be determined with certain assumptions:
 - Neutrino direction is known (beam direction)
 - Recoil nucleon mass is known (use neutron mass)
 - Target nucleon is at rest (not quite true; introduces smearing)

Neutrino Interactions



 In the region of interest for T2K, large contribution from charge current quasi-elastic scattering:



T2K signal at SK

- Also significant CC contribution with pion in final state
- NC π^0 is a major background mode from electron appearance:

e.µ.7



e,μ,τ

Ve Signal & BG (at SK)



SK V_e Event Selection



Select a single ring e-like sample, minimize beam and NC π^0 backgrounds Optimized for current statistics SK cross section view Cuts fixed before looking at data OD 1. Event falls in beam timing window, is fully contained FV in the inner detector (ID) (no activity in the OD) ID **Atmospheric FC v Events** 800 Number of Events / 762.5 days 2. Event vertex is >200 cm from the ID 700 Data wall (fiducial volume cut) 600

- If particle direction is towards nearest wall: ring size ~ PMT spacing
- Rejects events originating in OD
- 22.5 kton within fiducial volume



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500 E

400

300

200

100

400

600

Super-K 762.5 days

30MeV < visible energy < 1330MeV

1000

FCFV Sub-GeV

Distance to Wall (cm)

1600

SK V_e Event Selection





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3000

SK Ve Event Selection

- 5. No decay electrons
 - Reject based on delayed activity in SK
- Rejects events with μ or π below threshold or misidentified as electron

- 6. π^0 mass cut, M_{inv} < 105 MeV/c²
 - Calculate invariant mass with 2-ring hypothesis for each event
 - Rejects NCπ⁰ background





SK Ve Event Selection



7. Reconstructed neutrino energy < 1250 MeV

- Reject higher energy intrinsic beam background from kaon decays

Signal Efficiency = 66% Background Rejection: 77% for beam v_e 99% for NC



Modelling Neutrino Flux



Flux Simulation:

- Proton beam monitor measurements as inputs
- In Target Hadron Production:
 - NA61 experimental (at CERN) data to model π^{\pm} production
 - Kaon production, other hadron interactions model with FLUKA
- · Out of target interactions, horn focusing, particle decays
 - GEANT3 simulation
 - · Interaction cross sections are tuned to existing external data

Neutrino Flux Predictions



- Muon neutrino flux around oscillation maximum predominantly from pion decays
- Intrinsic electron neutrino flux in beam from muon and kaon decays ~1% of total flux below 1 GeV
 - Dominant source around oscillation maximum is from muon decays

$$\pi^{+} \rightarrow \mu^{+} + \nu_{\mu}$$
Flux depends on pion
production

$$\mu^{+} \rightarrow e^{+} + \nu_{e} + \overline{\nu}_{\mu}$$

ND280 Inclusive V_{μ} **Sample**

Measurements using Run 1+2 data (1.08 x 10²⁰ P.O.T.):



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SK Expectation



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SK Data Sample: Timing



 ΔT_0 (nsec)

FC Events RUN1+RUN2+RUN3



In total, there are 209 events in the current data sample that are in time with the beam and fully contained within the SK inner detector (Step 1 of the data reduction)

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SK Data: Spatial Distrib.





- Vertex distributions shown in horizontal (XY) and vertical (Z vs. R²) planes
- Beam direction marked by arrow
- No anomalous behaviour in distribution
- After fiducial volume cut applied, 151 events remain in the data



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SK Ve Data Reduction





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SK Ve Candidate Sample





After Ve selection is applied \rightarrow 10 candidate events remain! (Recall, background expectation is 2.73 ± 0.37 events)

Evidence of appearance!



Number of v_e candidate events

- The p-value to observe 10 or more events with 2.73 ± 0.37 BG is 0.0008 (equivalent to 3.2σ)
- This confirms the T2K 2011 result [PRL 107, 041801 (2011)]
- We now have first evidence of Ve appearance!

Allowed Regions: θ_{13} and δ

For $sin^2(2\theta_{13}) = 0$, probability to observe ≥ 10 events = 0.0008



 $sin^{2}(2\theta_{13}) = 0.104^{+0.060}_{-0.045}$

 $sin^{2}(2\theta_{13}) = 0.128^{+0.070}_{-0.055}$

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Future Plans

• Short term:

- Results from data collected up to summer shutdown ready soon
- Update on ν_{μ} disappearance results coming shortly
 - Increased precision on θ_{23} necessary to probe CP violation
- Precision measurements of neutrino cross sections
- <u>Medium term:</u>
 - Continue running at higher beam power:
 - ~8e20 POT (2013) → ~1.2e21 POT (2014) → ~1.8e21 POT (2015)
 - Sterile neutrino searches
 - More precise measurements of ve appearance to evaluate sub-leading effects, such as CP violation and the mass hierarchy!
- Long term:
 - Anti-neutrino running?
 - Hyper-Kamiokande?



Summary & Conclusions



- In 2011, 6 electron neutrino appearance candidate events were observed (p-value = 0.007), which indicated $\theta_{13} \neq 0$
- This year, J-PARC resumed operation after recovering from the Great East Japan Earthquake of March 2011. T2K resumed taking physics data with a beam power of ~190 kW
- Based on 2.56 x 10²⁰ POT collected at SK by May 2012, a total of 10 electron neutrino appearance candidate events were observed:
 - p-value = 0.0008 (3.2σ)
 - $sin^2(2\theta_{13}) = 0.104 \begin{array}{c} +0.060 \\ -0.045 \end{array}$ (for normal hierarchy assumption)
 - The systematic uncertainty is greatly reduced! (~10%)
- First evidence for electron neutrino appearance!
- This result opens the possibility of probing CP violation in the lepton sector, as well as determining the neutrino mass hierarchy



Back Up Slides

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SK Particle Identification





- Reliable PID particularly crucial to electron appearance analysis
- PID well-established at KEK beam test (1kton tank) in 1990s

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A Typical Ve Candidate





Vertex Distributions





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2011 and 2012 Results



• The result with only 2012 data is also consistent with the 2011 result