

DUNE: The Deep Underground Neutrino Experiment

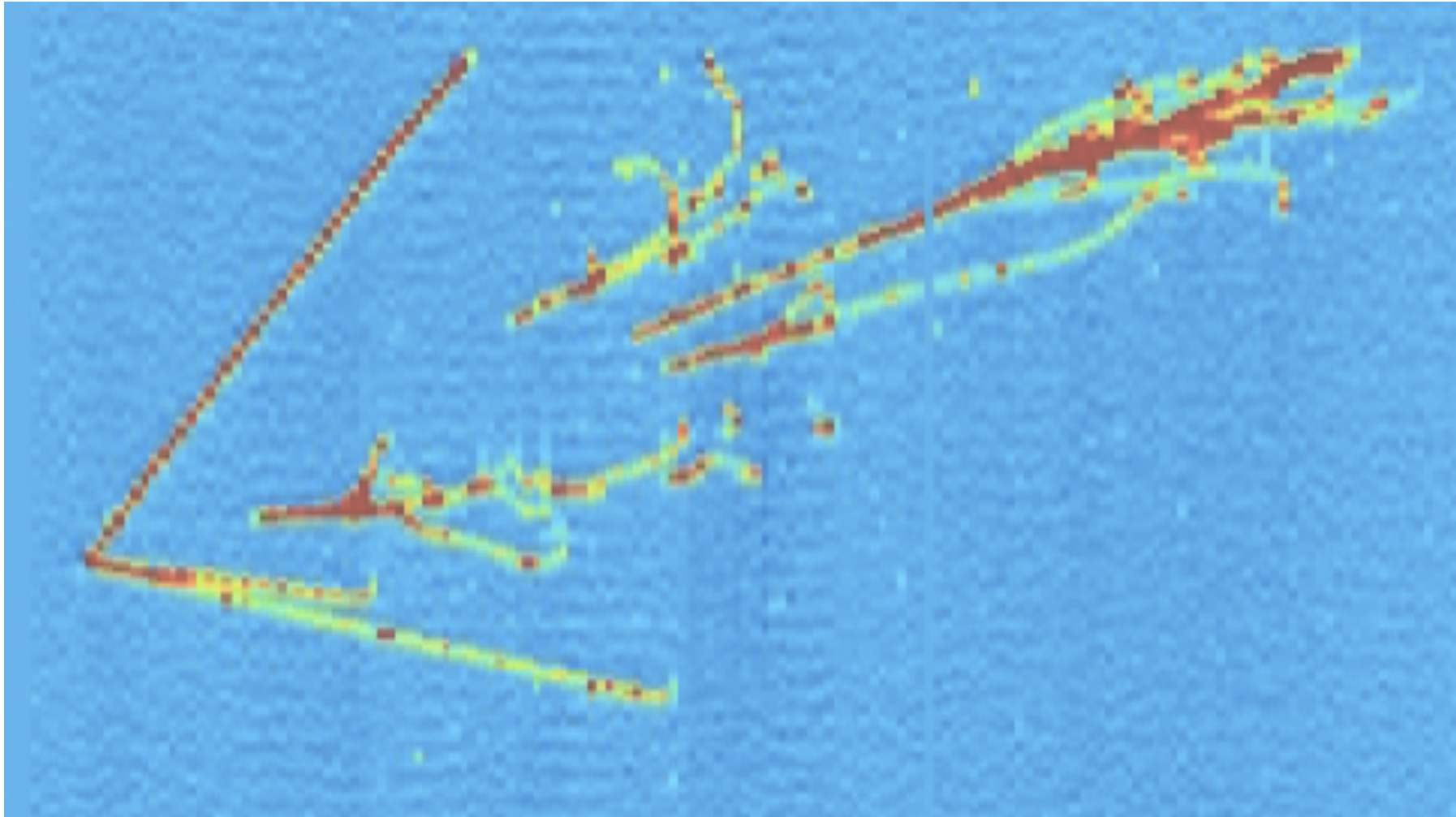
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Birmingham HEP Seminar: 4th May 2016

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1: Context



The 2012 Revolution

★ Two major discoveries in particle physics

- A SM-like Higgs boson (ATLAS, CMS)
 - The key to EWSB and a possible window to the BSM world
- $\theta_{13} \sim 10^\circ$ (T2K, MINOS, Daya Bay, RENO)
 - about as large as it could have been !
 - The door to CP Violation in the leptonic sector

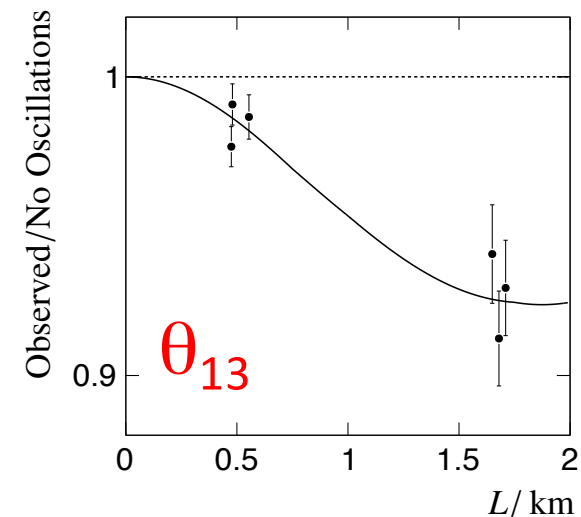
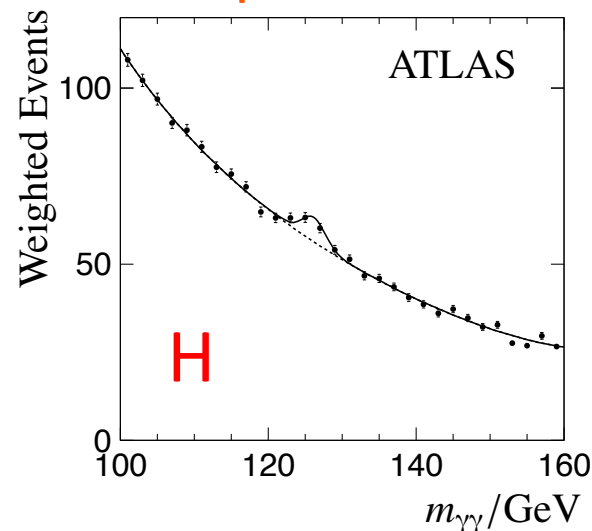
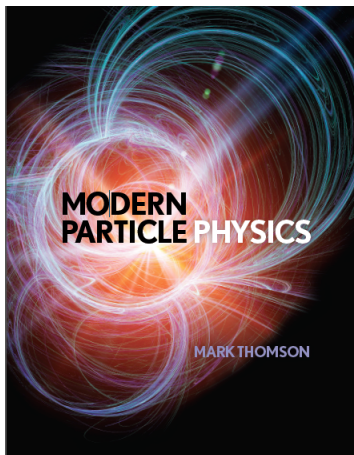
The 2012 Revolution

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 - The key to EWSB and a possible window to the BSM world
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 - about as large as it could have been !
 - The door to CP Violation in the leptonic sector

★ Now standard textbook physics*

- launch the next steps



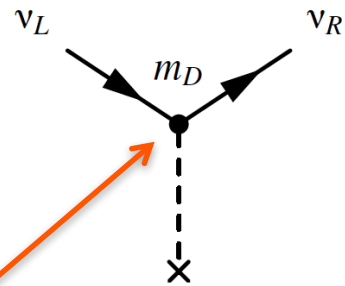
2. Why are Neutrinos so Important?



a connection to BSM physics

★ Neutrino masses are anomalously small

- Why is this the case ... BSM physics !

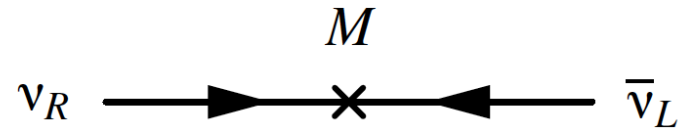


Dirac mass terms, Higgs coupling together L- and R-handed chiral fermionic fields

$$\frac{Y_f}{\sqrt{2}} v (\bar{f}_L f_R + \bar{f}_R f_L)$$

- This could be the origin of neutrino masses
 - ⇒ Existence of RH neutrino – a rather minimal extension to the SM?
- But a RH neutrino is a gauge singlet
 - ⇒ Can now add “by hand” a new Majorana mass term to the SM Lagrangian, involving only the RH field (and conjugate)

$$\sim M \bar{\nu}_R^c \nu_R$$

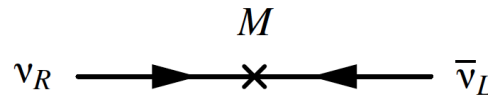
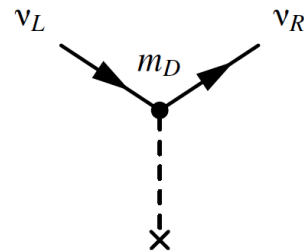


This additional freedom might explain why neutrino masses are “different”

a connection to BSM physics

★ Is there a connection to the GUT scale?

- If both Dirac and Majorana mass terms are present



(nothing to prevent this)
+ implies Lepton # violation

⇒
$$\mathcal{L} \sim -\frac{1}{2} \begin{pmatrix} \bar{\nu}_L & \bar{\nu}_R^c \end{pmatrix} \begin{pmatrix} 0 & m_D \\ m_D & M \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix}$$

- The **seesaw** mechanism: the physical “mass eigenstates” are those in the basis where the mass matrix is diagonal

⇒ Light **LH neutrino** $m_\nu \approx \frac{m_D^2}{M}$ + heavy **RH neutrino** $m_N \approx M$

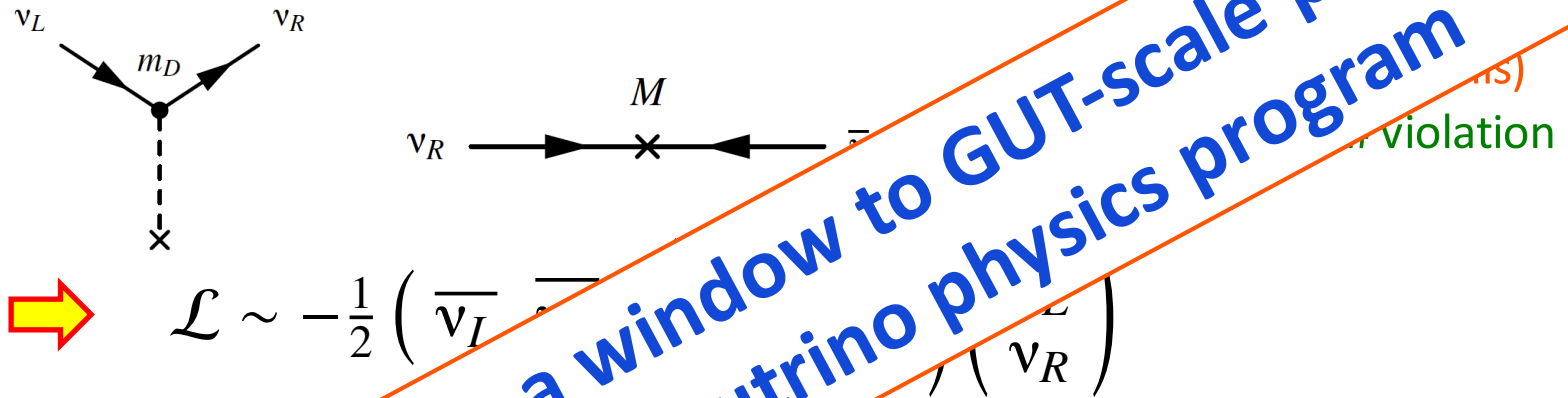
- With $m_D \sim m_\ell$ to get to right range of small neutrino masses:

$$M \sim 10^{12} - 10^{16} \text{ GeV}$$

a connection to BSM physics

★ Is there a connection to the GUT scale?

- If both Dirac and Majorana mass terms are present



- The seesaw mechanism provides physical “mass eigenstates” are those where the mass matrix is diagonal

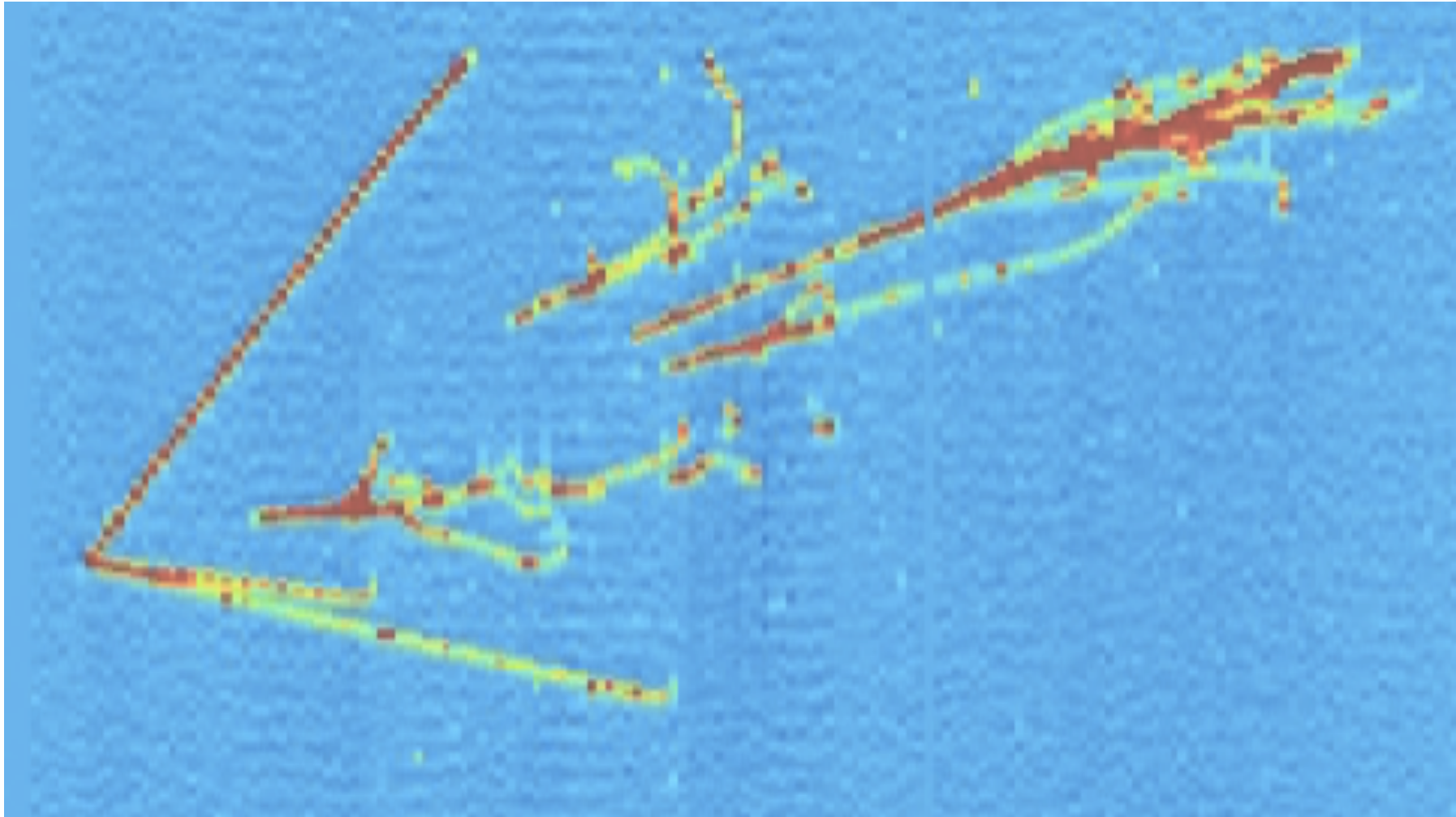
Neutrinos may provide a window to GUT-scale physics
 argues for a precision neutrino physics program

light neutrino $m_\nu \approx \frac{m_D^2}{M}$ + heavy RH neutrino $m_N \approx M$

$m_D \sim m_\ell$ to get to right range of small neutrino masses:

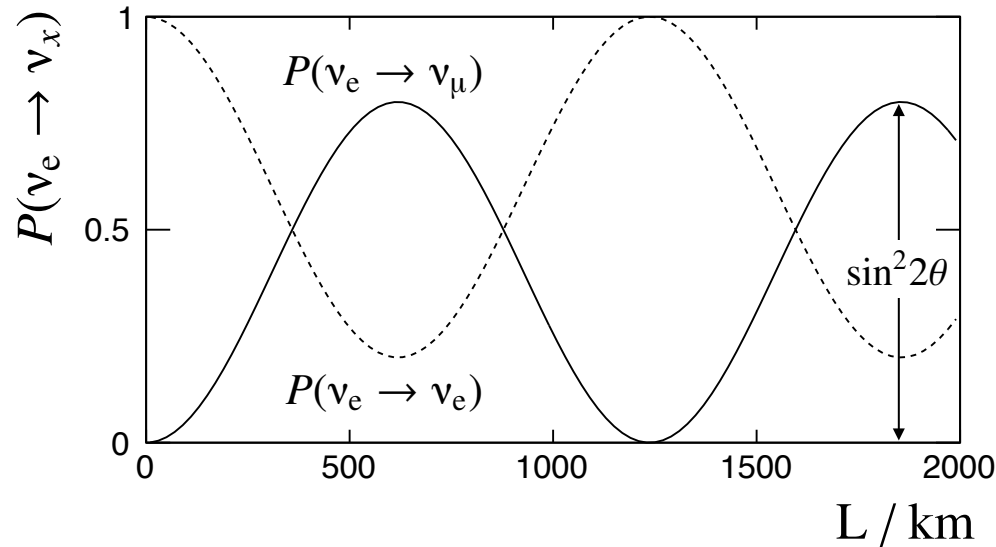
$$M \sim 10^{12} - 10^{16} \text{ GeV}$$

3: Neutrinos – known unknowns



The Standard 3-Flavour Paradigm

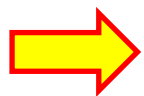
★ Neutrino flavor oscillations now a well established physical phenomenon



L/E_ν
dependence

Two-flavour limit:

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2(2\theta) \sin^2 \left(1.27 \frac{\Delta m^2 [\text{eV}^2] L [\text{km}]}{E_\nu [\text{GeV}]} \right)$$



- Neutrinos have non-zero mass
- Neutrino mass eigenstates (ν_1, ν_2, ν_3) \neq weak eigenstates (ν_e, ν_μ, ν_τ)

The Standard 3-Flavour Paradigm

★ Unitary PMNS matrix \Rightarrow mixing described by:

- three “Euler angles”: $(\theta_{12}, \theta_{13}, \theta_{23})$
- and one complex phase: δ

$$U_{\text{PMNS}} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

with $s_{ij} = \sin \theta_{ij}$; $c_{ij} = \cos \theta_{ij}$

★ If $\delta \neq \{0, \pi\}$ then SM leptonic sector \Rightarrow CP violation (CPV)

- CPV effects $\propto \sin \theta_{13}$
- now know that θ_{13} is relatively large

\Rightarrow CPV is observable with conventional ν beams

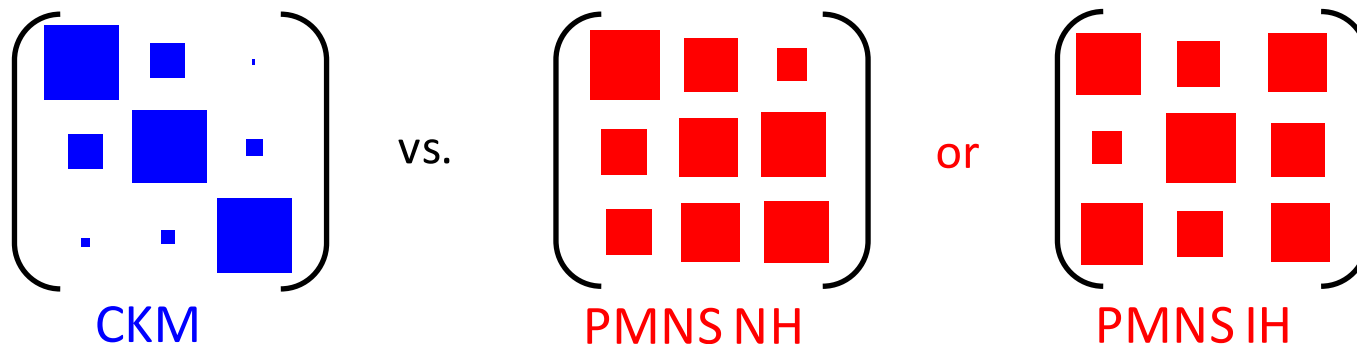
\Rightarrow LBNF/DUNE
Hyper-Kamiokande

The Known Unknowns

★ We **now** know a lot about the neutrino sector

★ But still many profound questions

- Why are neutrino masses so small ?
 - Is there a connection to the GUT scale?
- Are there **light** sterile neutrino states ?
 - No clear theoretical guidance on mass scale, M, ...
- What is the neutrino mass hierarchy ?
 - An important question in flavor physics, e.g. CKM vs. PNMS

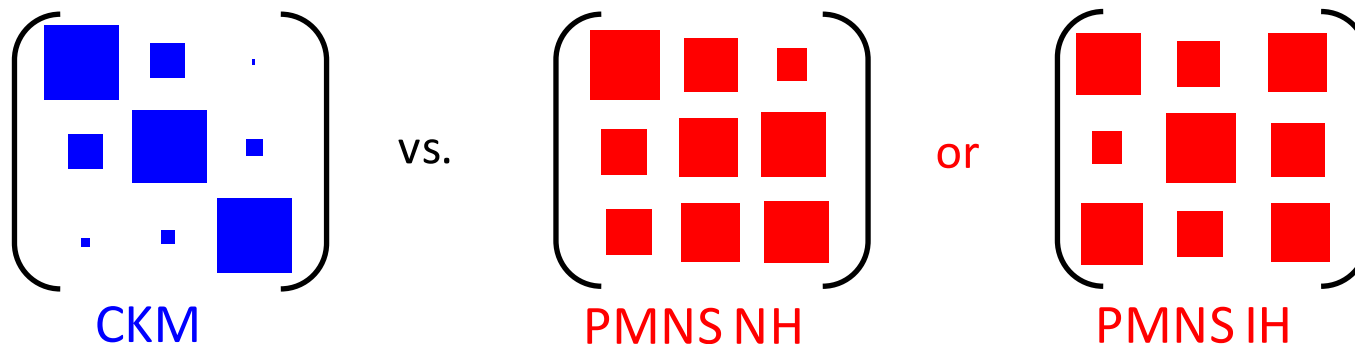


- Is CP violated in the leptonic sector ?
 - Are ν_s key to understanding the matter-antimatter asymmetry?

The Known Unknowns

★ Next generation Long-Baseline experiments (such as **DUNE**) can address three of these questions:

- Why are neutrino masses so small ?
 - Is there a connection to the GUT scale?
- **Are there light sterile neutrino states ?** → Breaks 3-flavor paradigm
 - No clear theoretical guidance on mass scale, M , ...
- **What is the neutrino mass hierarchy ?**
 - An important question in flavor physics, e.g. CKM vs. PNMS



- **Is CP violated in the leptonic sector ?**
 - Are ν s key to understanding the matter-antimatter asymmetry?

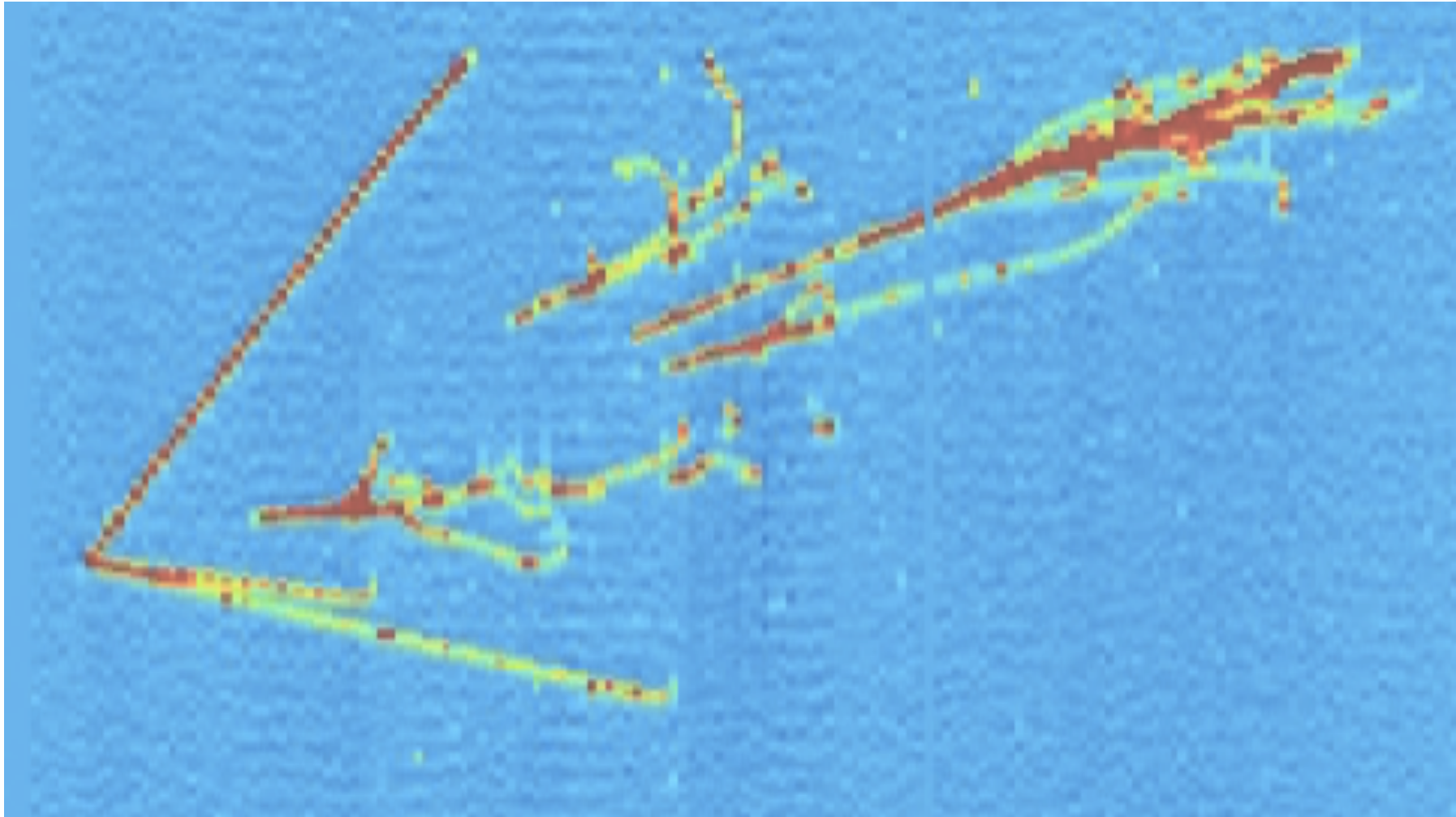
The Key Question (my personal bias)

Is CP violated in the neutrino sector ?

- ★ If $\delta \neq \{0, \pi\}$ the answer is YES
 - If yes, would provide support* for the hypothesis of **Leptogenesis** as the mechanism for generating the matter-antimatter asymmetry in the universe
- ★ Strong motivation to aim for a **definitive** observation for **CPV** in the ν sector
 - Ideally want “precise” measurement of CP phase

*not proof, since still need to connect low-scale ν CPV physics to the high-scale **N** CPV physics

4: How to Detect CPV with ν_s



In principle, it is straightforward

★ CPV \Rightarrow different oscillation rates for ν s and $\bar{\nu}$ s

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = 4s_{12}s_{13}c_{13}^2s_{23}c_{23} \sin \delta \quad \leftarrow \boxed{\text{vacuum osc.}}$$
$$\times \left[\sin \left(\frac{\Delta m_{21}^2}{2E} \right) + \sin \left(\frac{\Delta m_{23}^2}{2E} \right) + \sin \left(\frac{\Delta m_{31}^2}{2E} \right) \right]$$

★ Requires $\{\theta_{12}, \theta_{13}, \theta_{23}\} \neq \{0, \pi\}$

- now know that this is true, $\theta_{13} \approx 9^\circ$

- but, despite hints, don't yet know "much" about δ

★ So "just" measure $P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$?

★ Not quite, there is a complication...

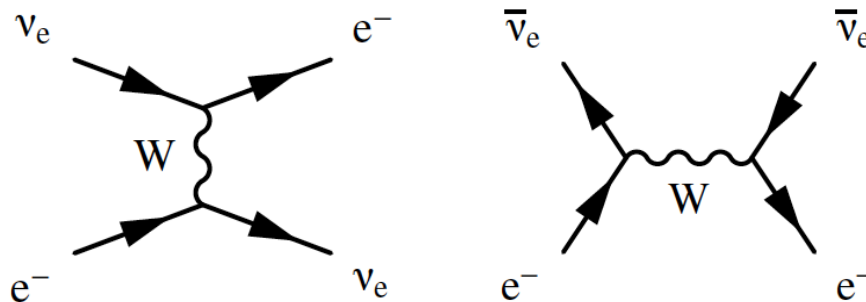
Matter Effects

- ★ Even in the absence of CPV

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = 0$$

Neutrinos travel through material that is not CP symmetric, *i.e.* matter not antimatter

- ★ In vacuum, the mass eigenstates ν_1, ν_2, ν_3 correspond to the eigenstates of the Hamiltonian:
 - they propagate independently (with appropriate phases)
- ★ In matter, there is an effective potential due to the forward weak scattering processes:



$$V = \pm \sqrt{2} G_F n_e$$

Different sign for ν_e vs $\bar{\nu}_e$

Neutrino Oscillations in Matter

- ★ Accounting for this potential term, gives a Hamiltonian that is **not diagonal** in the basis of the mass eigenstates

$$\mathcal{H} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix} = i \frac{d}{dt} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix} = \begin{pmatrix} E_1 & 0 & 0 \\ 0 & E_2 & 0 \\ 0 & 0 & E_3 \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix} + V|\nu_e\rangle \leftarrow \boxed{\text{ME}}$$

- ★ Complicates the simple picture !!!!

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) =$$

ME $\frac{16A}{\Delta m_{31}^2} \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2)$

ME $-\frac{2AL}{E} \sin \left(\frac{\Delta m_{31}^2 L}{4E} \right) c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2)$

CPV $-8 \frac{\Delta m_{21}^2 L}{2E} \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) \sin \delta \cdot s_{13} c_{13}^2 c_{23} s_{23} c_{12} s_{12}$

with $A = 2 \sqrt{2} G_F n_e E = 7.6 \times 10^{-5} \text{eV}^2 \cdot \frac{\rho}{\text{g cm}^{-3}} \cdot \frac{E}{\text{GeV}}$

Neutrino Oscillations in Matter

- ★ Accounting for this potential term, gives a Hamiltonian that is **not diagonal** in the basis of the mass eigenstates

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- ★ Complicates the simple picture !!!!

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \leftarrow \boxed{\text{What we measure}}$$

$$\boxed{\text{ME}} \quad \frac{16A}{\Delta m_{31}^2} \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2) \leftarrow \boxed{\text{Small}}$$

$$\boxed{\text{ME}} \quad - \frac{2AL}{E} \sin \left(\frac{\Delta m_{31}^2 L}{4E} \right) c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2) \leftarrow \boxed{\text{Proportional to L}}$$

$$\boxed{\text{CPV}} \quad - 8 \frac{\Delta m_{21}^2 L}{2E} \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) \sin \delta \cdot s_{13} c_{13}^2 c_{23} s_{23} c_{12} s_{12} \leftarrow \boxed{\text{What we want}}$$

$$\text{with } A = 2 \sqrt{2} G_F n_e E = 7.6 \times 10^{-5} \text{eV}^2 \cdot \frac{\rho}{\text{g cm}^{-3}} \cdot \frac{E}{\text{GeV}}$$

Experimental Strategy

EITHER:

- ★ Keep L small (~200 km): so that matter effects are insignificant

- First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \quad \Rightarrow \quad E_\nu < 1 \text{ GeV}$$

- Want high flux at oscillation maximum

⇒ **Off-axis beam:** narrow range of neutrino energies

OR:

- ★ Make L large (>1000 km): measure the matter effects (i.e. MH)

- First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \quad \Rightarrow \quad E_\nu > 2 \text{ GeV}$$

- **Unfold CPV from Matter Effects through E dependence**

⇒ **On-axis beam:** wide range of neutrino energies

Experimental Strategy

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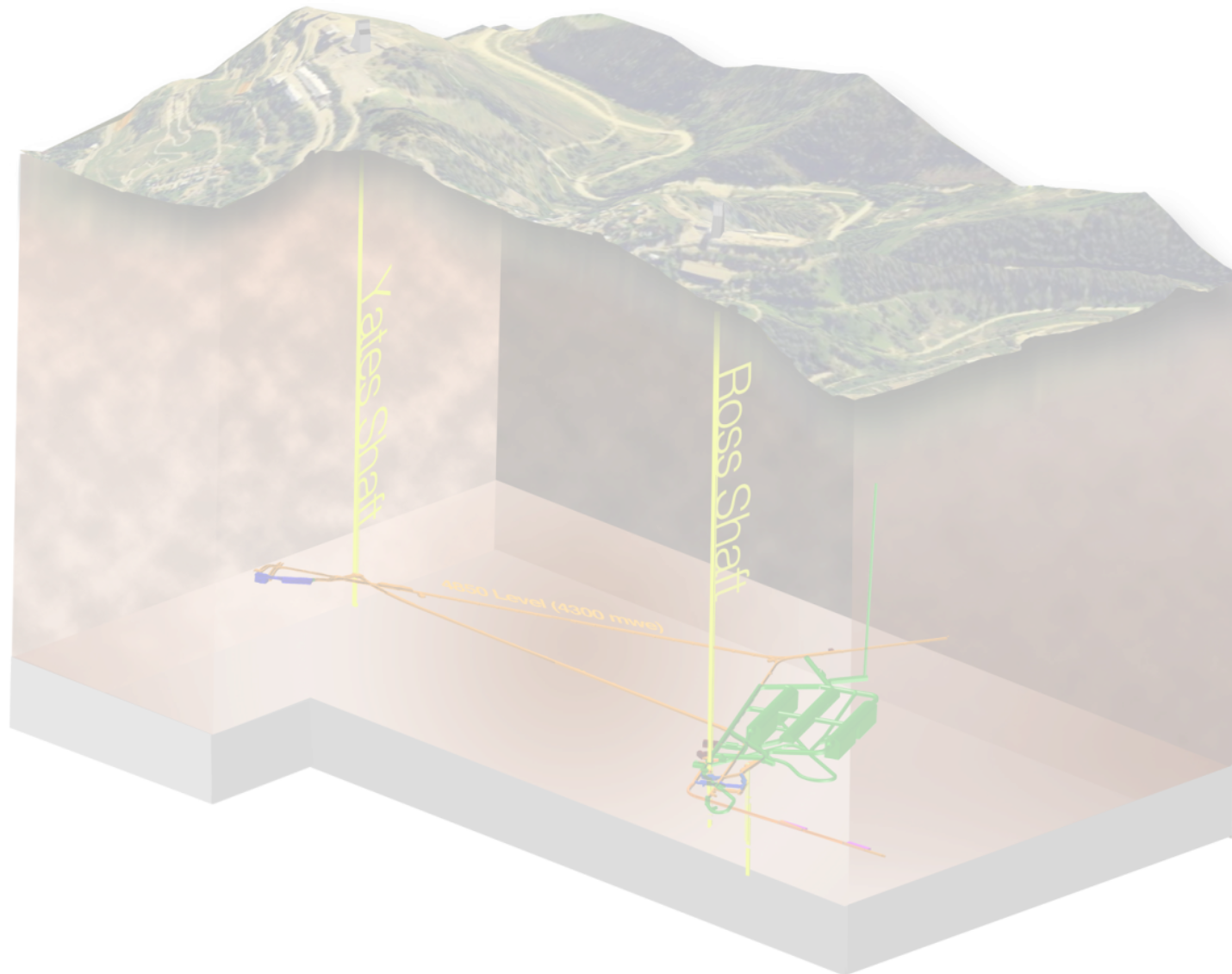
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➔ **On-axis beam:** wide range of neutrino energies

Hyper-Kamiokande

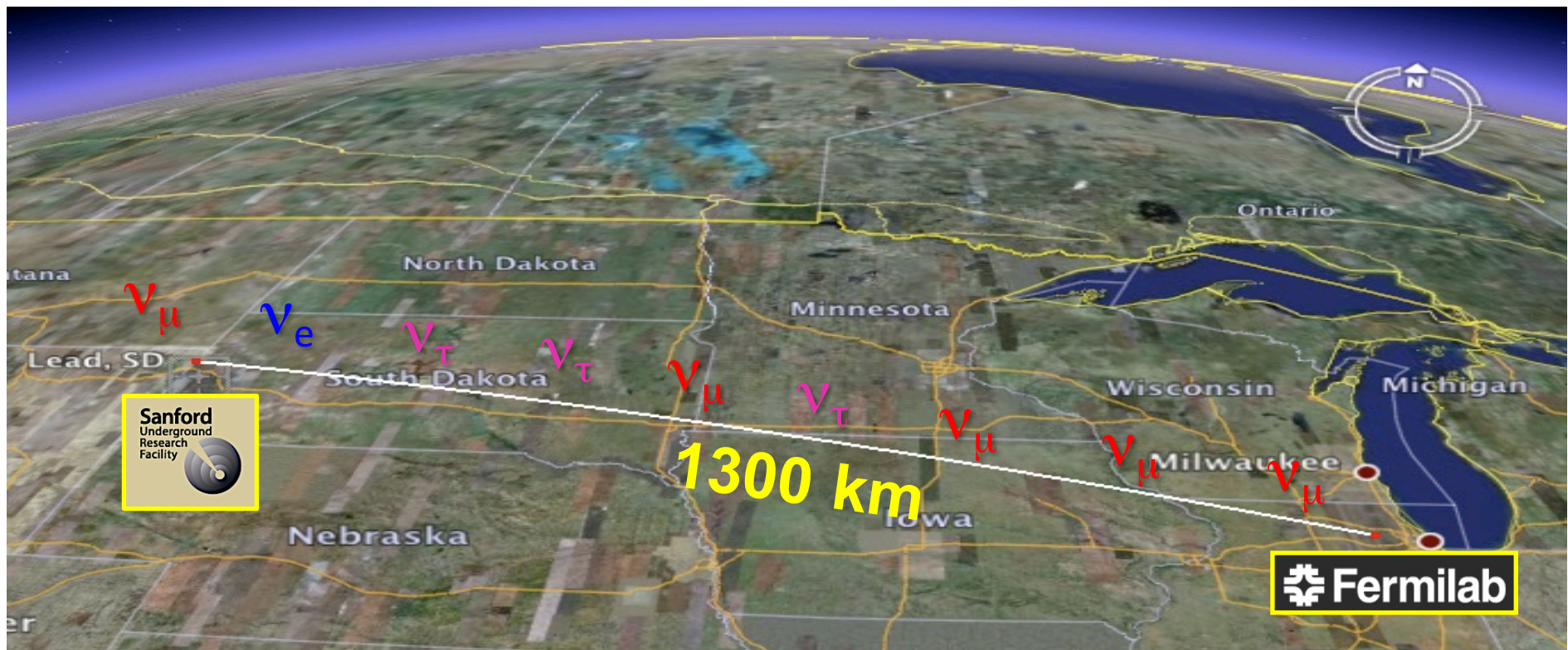
DUNE

5. DUNE



DUNE in a Nutshell

- ★ Intense beam of ν_μ or $\bar{\nu}_\mu$ fired 1300 km at a large detector
- ★ Compare $\nu_\mu \rightarrow \nu_e$ and $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillations
- ★ Probe fundamental differences between matter & antimatter



DUNE in a Larger Nutshell

★ LBNF/DUNE

- Muon neutrinos/anti-antineutrinos from high-power proton beam
 - **1.2 MW** from day one
 - upgradable to **2.4 MW**
- Large underground LAr detector at Sanford Underground Research Facility (SURF) in South Dakota
 - 4 Cavern(s) for ≥ 40 kt total fiducial far detector mass
 - **10 - 20 kt** fiducial LAr Far Detector (from day one)
 - **40 kt** as early as possible
- Highly-capable Near Detector system
 - Using one or more technologies

LBNF/DUNE – Fermilab in 2025



LBNF/DUNE – Fermilab in 2025



Origins of DUNE

P5 strategic review of US HEP

- Called for the formation of “**LBNF**”:
 - as a **international** collaboration bringing together the international neutrino community
 - ambitious scientific goals with discovery potential for:
 - Leptonic CP violation
 - Proton decay
 - Supernova burst neutrinos

Resulted in the formation of the DUNE collaboration with strong representation from:

- **LBNE** (mostly US)
- **LBNO** (mostly Europe)
- Other interested institutes



DUNE: rapid progress

Things are moving very fast...

- First formal collaboration meeting April 16th-18th 2015
 - Over 200 people attended in person
- Conceptual Design Report in June (foundations from LBNE/LBNO)
- Passed DOE CD-1 Review in July
- Second collaboration meeting September 2nd-5th 2015
- Successful CD-3a Review in December 2015
 - paves the way to approval of excavation in FY17



DUNE

has strong support from:

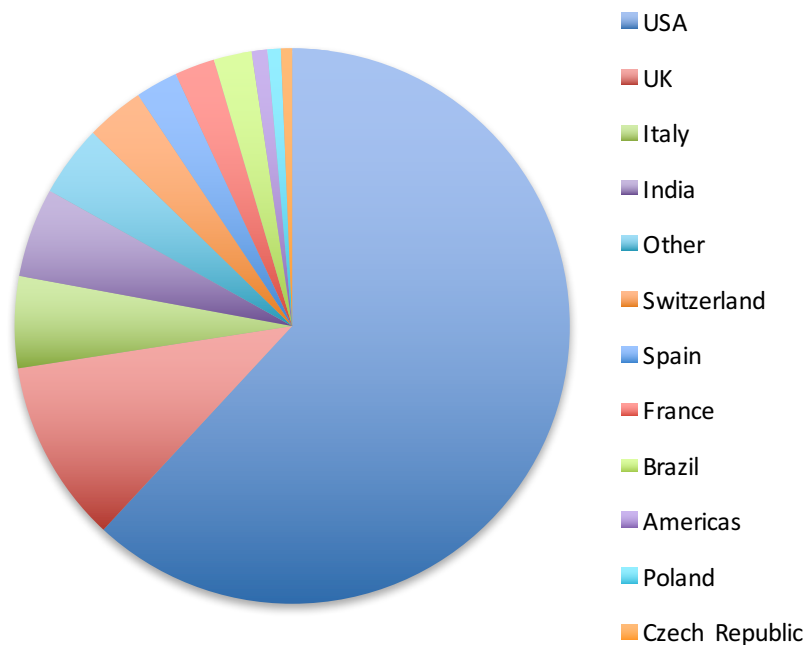
- **Fermilab and US DOE:**
 - This is *the* future flagship project for Fermilab – “no plan B”
- **CERN**
 - Very significant agreements on CERN – US collaboration
- + **Strong international interest:** Brazil, India, Italy, Switzerland, UK, ...



The DUNE Collaboration

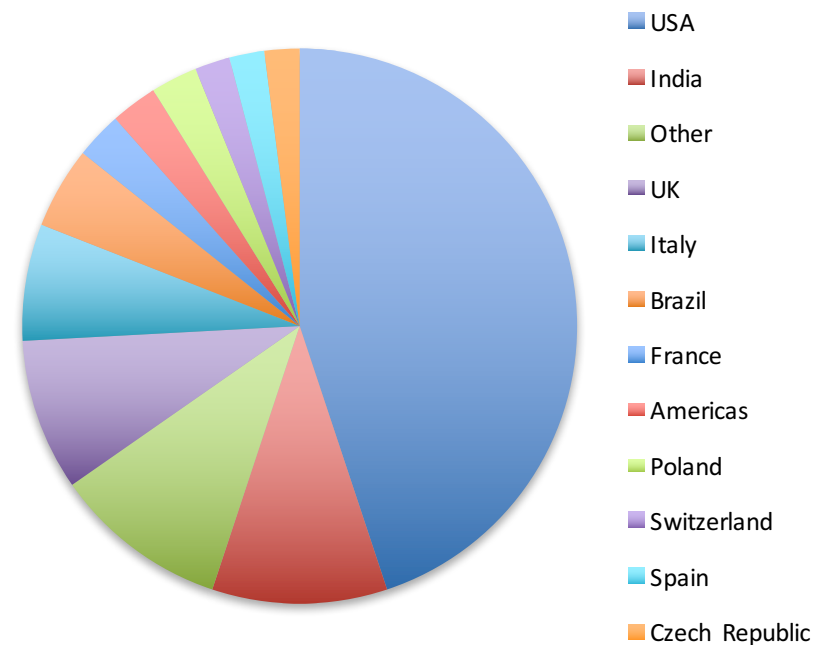
As of today:

856 Collaborators



from

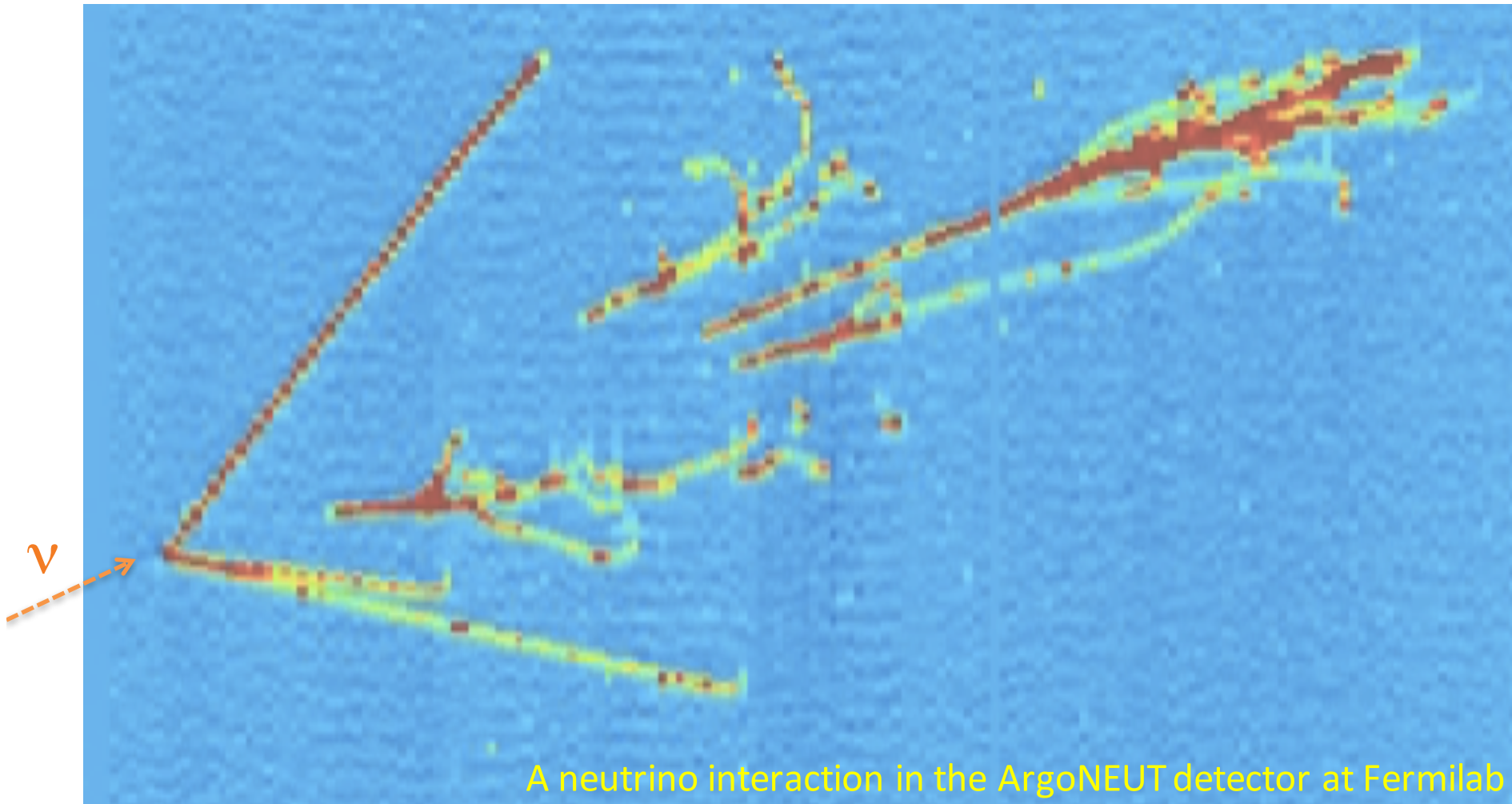
149 Institutes



DUNE has broad international support

5.1 DUNE Science Strategy

Unprecedented precision utilizing a massive Liquid Argon TPC



A neutrino interaction in the Argonne detector at Fermilab

DUNE Primary Science Program

Focus on fundamental open questions in particle physics and astroparticle physics:

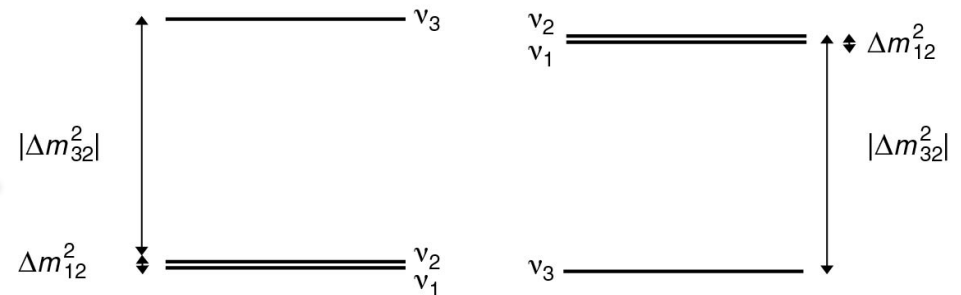
• 1) Neutrino Oscillation Physics

– Discover CP Violation in the leptonic sector

– Mass Hierarchy

– Precision Oscillation Physics:

- e.g. parameter measurement, θ_{23} octant, testing the 3-flavor paradigm



• 2) Nucleon Decay

– e.g. targeting SUSY-favored modes, $p \rightarrow K^+ \bar{\nu}$

• 3) Supernova burst physics & astrophysics

– Galactic core collapse supernova, sensitivity to ν_e

DUNE Primary Science Program

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1) Neutrino Oscillation Physics

- Discover CP Violation in the leptonic sector
- Mass Hierarchy
- Precision Oscillation

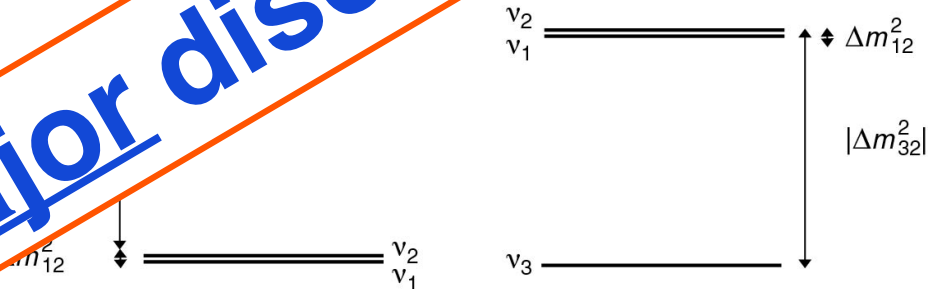
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- Targeting SUSY-favored modes, $p \rightarrow K^+ \bar{\nu}$

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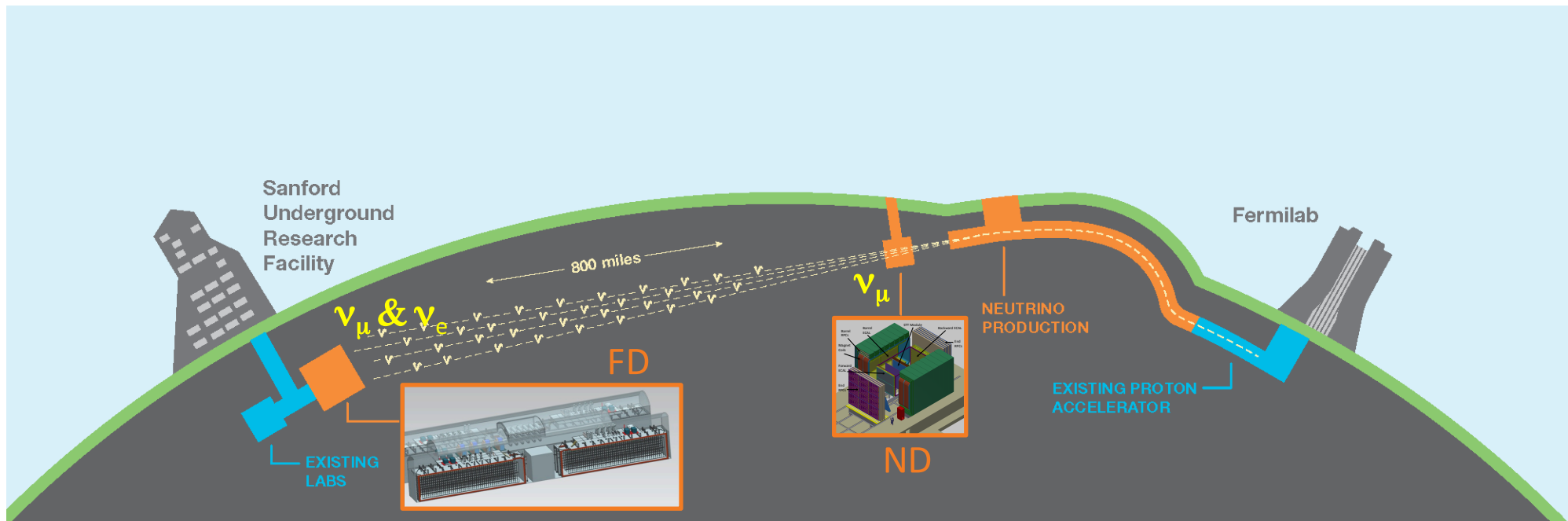
- Galactic core collapse supernova, sensitivity to ν_e



All would be major discoveries

Long Baseline (LBL) Oscillations

Measure **neutrino** spectra at 1300 km in a wide-band beam

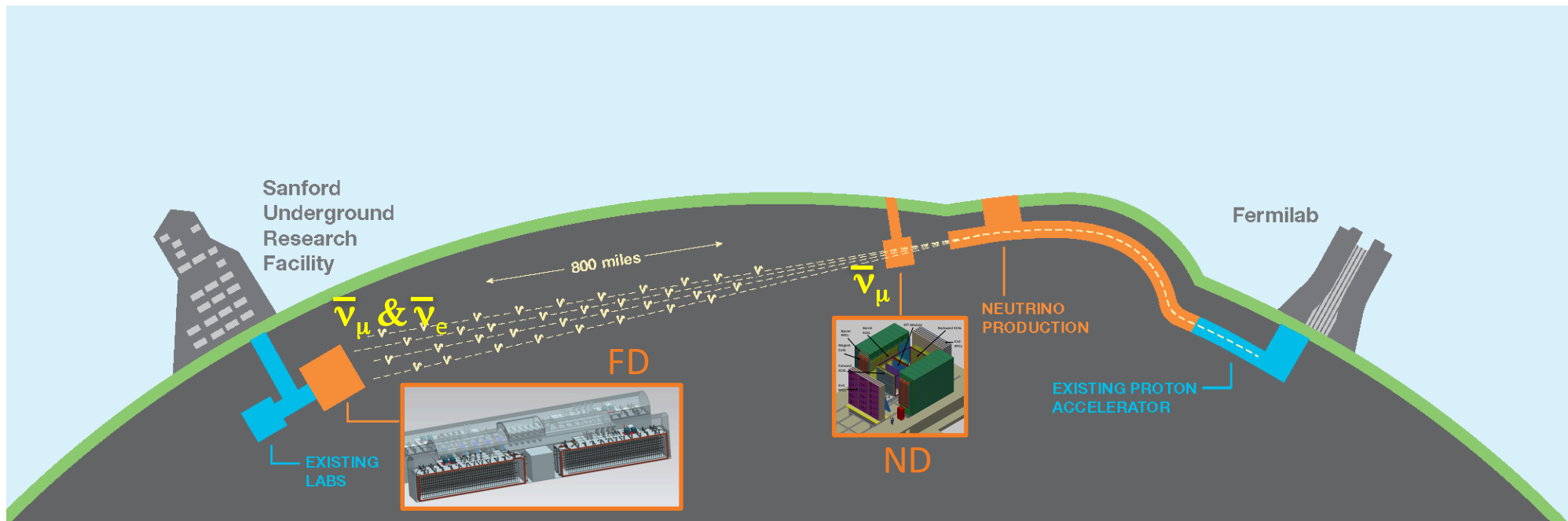


- **Near Detector at Fermilab:** measurements of ν_μ unoscillated beam
- **Far Detector at SURF:** measure oscillated ν_μ & ν_e neutrino spectra

Long Baseline (LBL) Oscillations

... then repeat for **antineutrinos**

- Compare oscillations of **neutrinos** and **antineutrinos**
- Direct probe of **CPV** in the neutrino sector



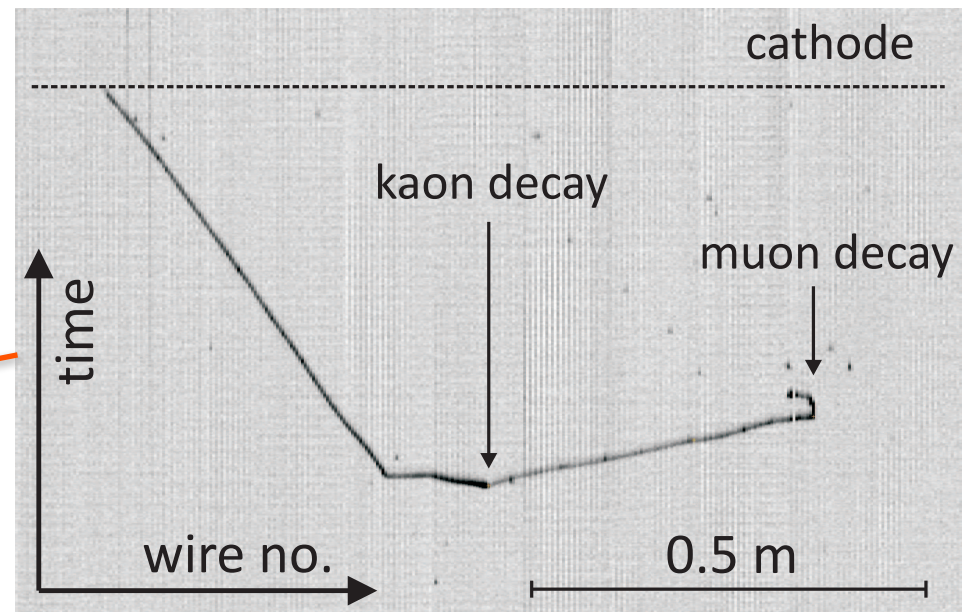
- **Near Detector at Fermilab:** measurements of $\bar{\nu}_\mu$ unoscillated beam
- **Far Detector at SURF:** measure oscillated $\bar{\nu}_\mu$ & $\bar{\nu}_e$ neutrino spectra

3.2 Proton Decay

Proton decay is expected in most new physics models

- But lifetime is very long, experimentally $\tau > 10^{33}$ years
- Watch many protons with the capability to see a single decay
- Can do this in a liquid argon TPC
 - For example, look for kaons from SUSY-inspired GUT p-decay modes such as $p \rightarrow K^+ \bar{\nu}$

E ~ O(200 MeV)



Proton Decay

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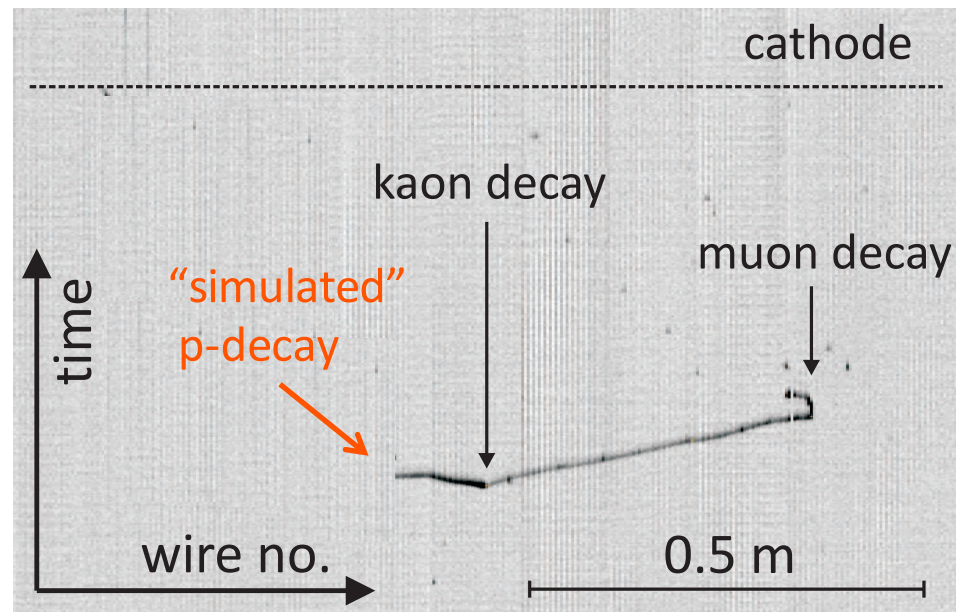
■ Clean signature

➔ very low backgrounds

Decay Mode	Water Cherenkov		Liquid Argon TPC	
	Efficiency	Background	Efficiency	Background
$p \rightarrow K^+ \bar{\nu}$	19%	4	97%	1
$p \rightarrow K^0 \mu^+$	10%	8	47%	< 2
$p \rightarrow K^+ \mu^- \pi^+$			97%	1
$n \rightarrow K^+ e^-$	10%	3	96%	< 2
$n \rightarrow e^+ \pi^-$	19%	2	44%	0.8

1 Mt.yr

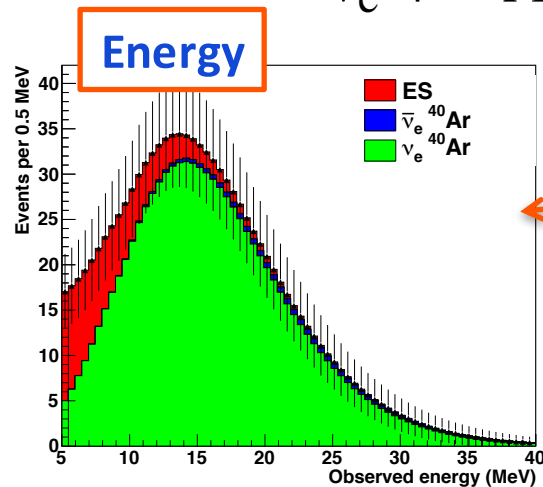
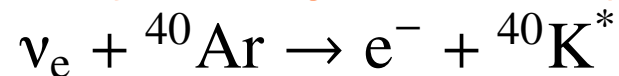
Remove incoming particle



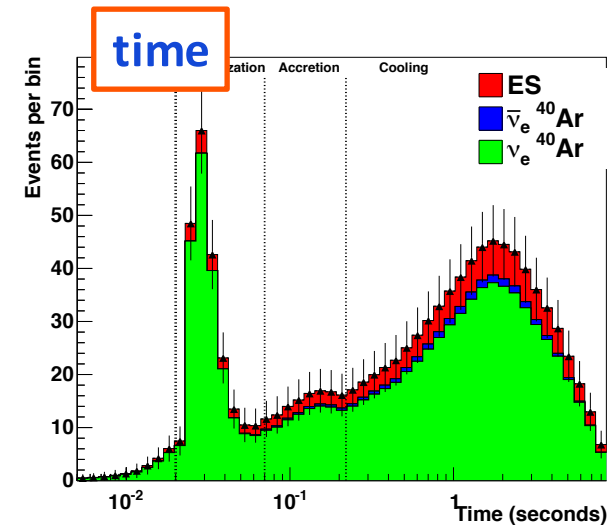
Supernova ν s

A core collapse supernova produces an incredibly intense burst of neutrinos

- Measure energies and times of neutrinos from galactic supernova bursts
 - In argon (uniquely) the largest sensitivity is to ν_e



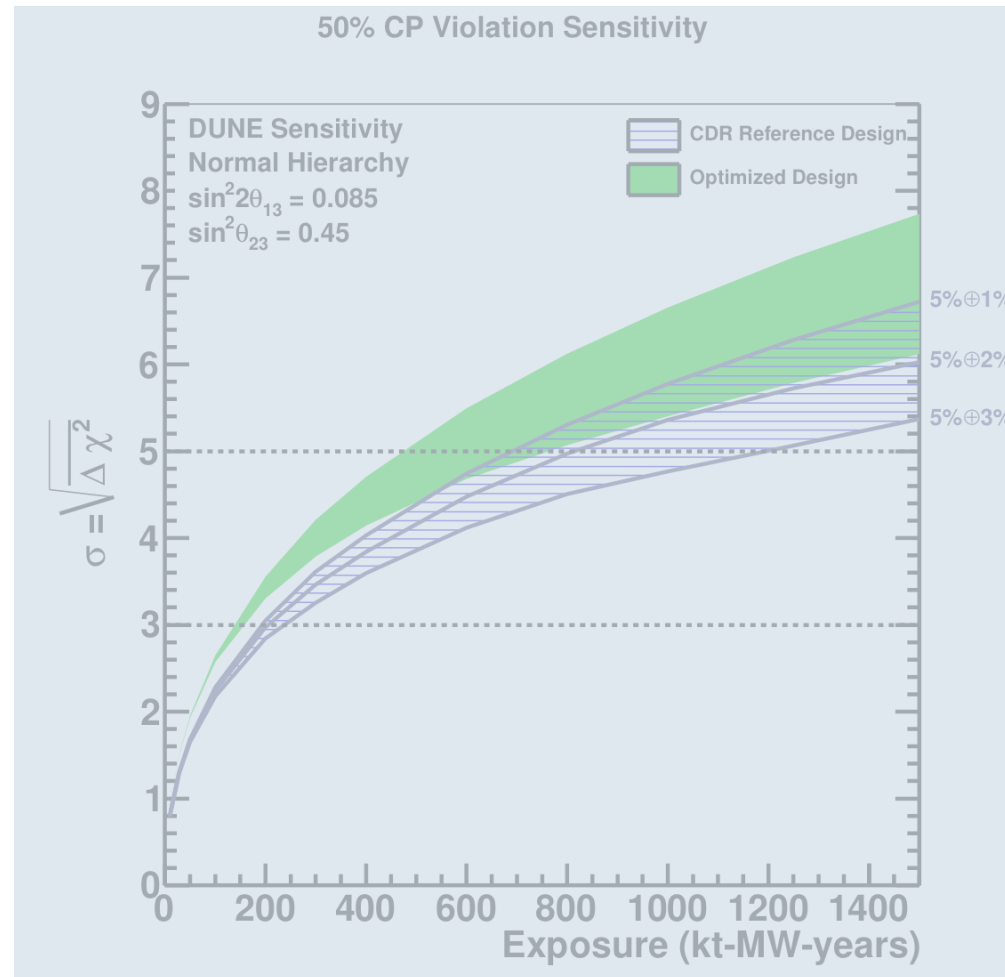
$E \sim O(10 \text{ MeV})$



Physics Highlights include:

- Possibility to “see” neutron star formation stage
- Even the potential to see black hole formation !

6: DUNE Neutrino Physics

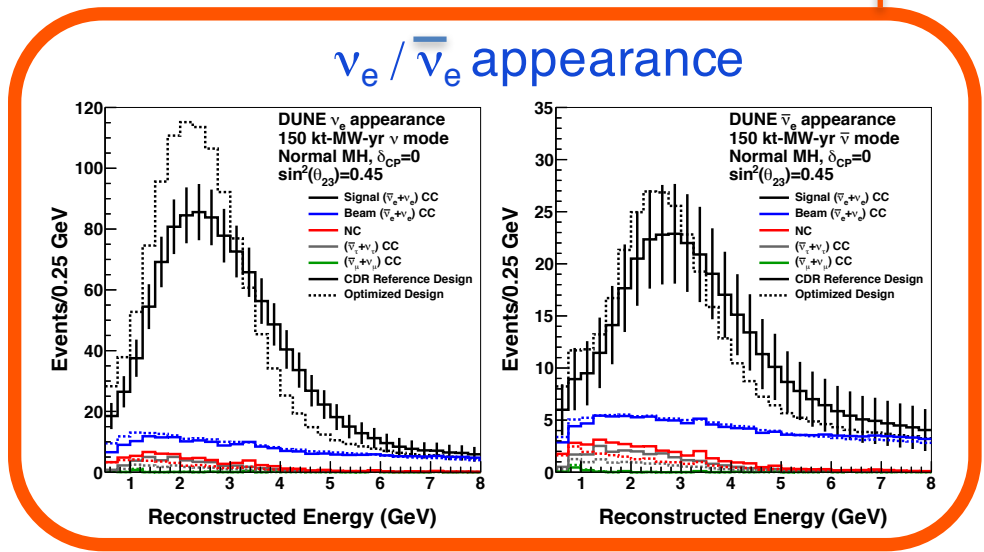
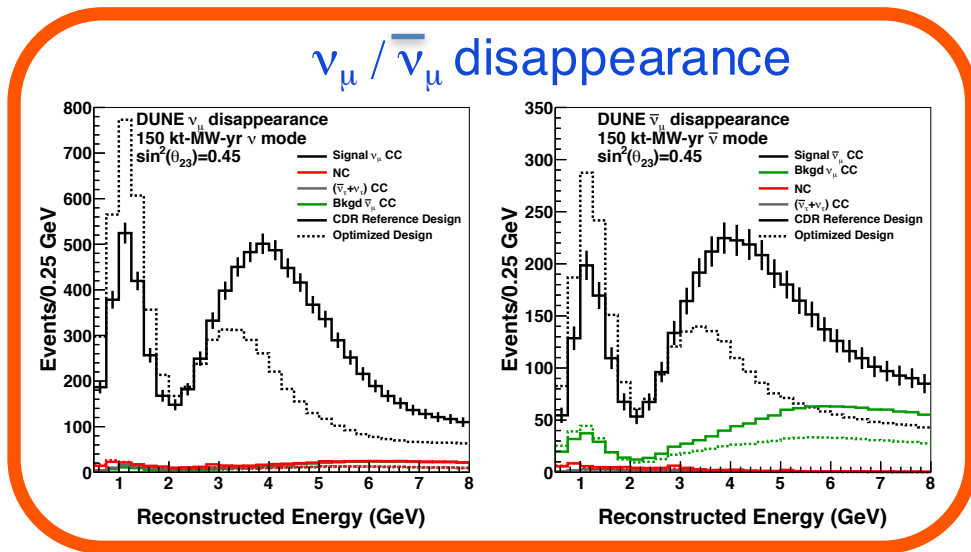


DUNE Oscillation Strategy

Measure neutrino spectra at 1300 km in a wide-band beam

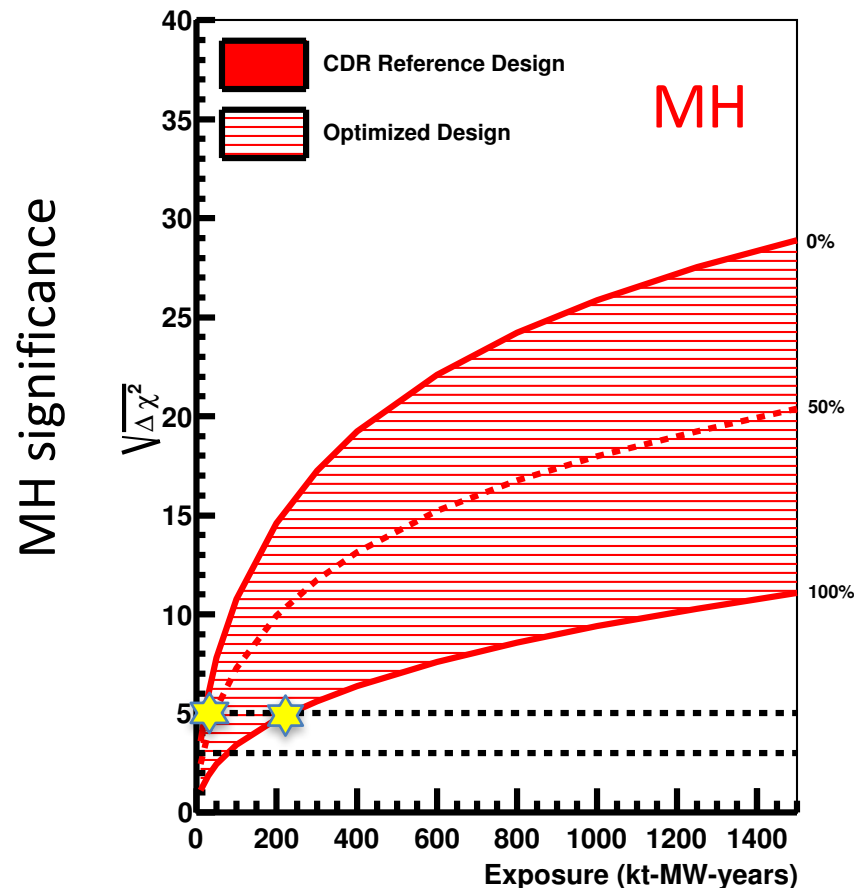
- Determine MH and θ_{23} octant, probe CPV, test 3-flavor paradigm and search for BSM effects (e.g. NSI) in a single experiment
 - Long baseline:
 - Matter effects are large $\sim 40\%$
 - Wide-band beam:
 - Measure ν_e appearance and ν_μ disappearance over range of energies
 - MH & CPV effects are separable

E ~ few GeV



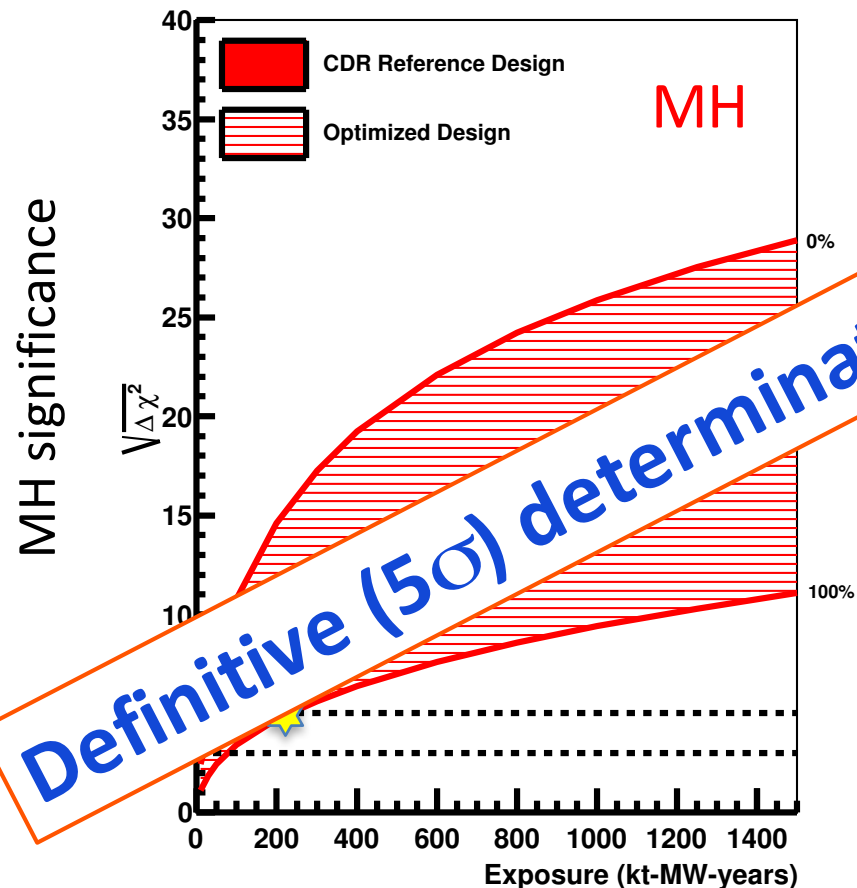
MH Sensitivity

- ★ Sensitivities depend on multiple factors:
 - Other parameters, e.g. δ
 - Beam spectrum, ...



MH Sensitivity

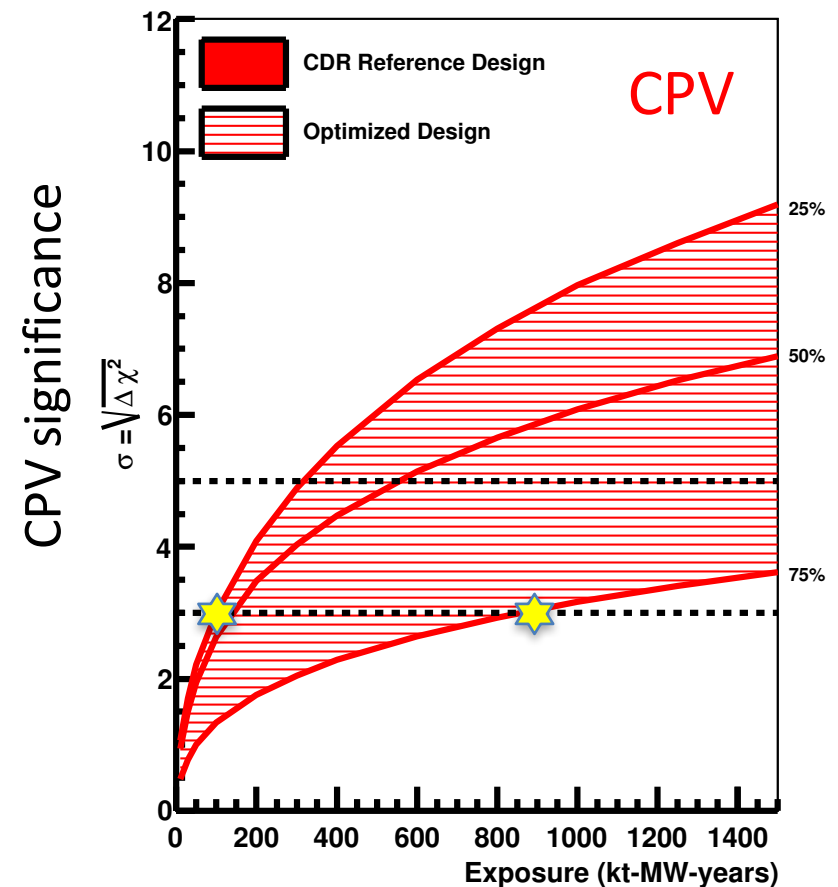
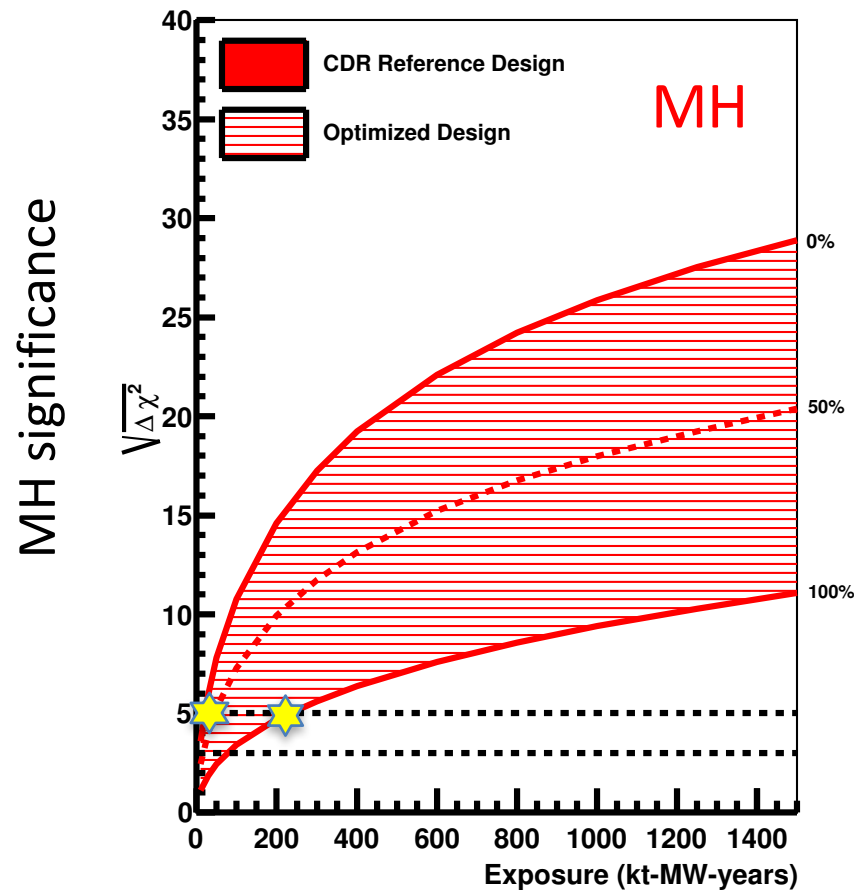
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MH and CPV Sensitivities

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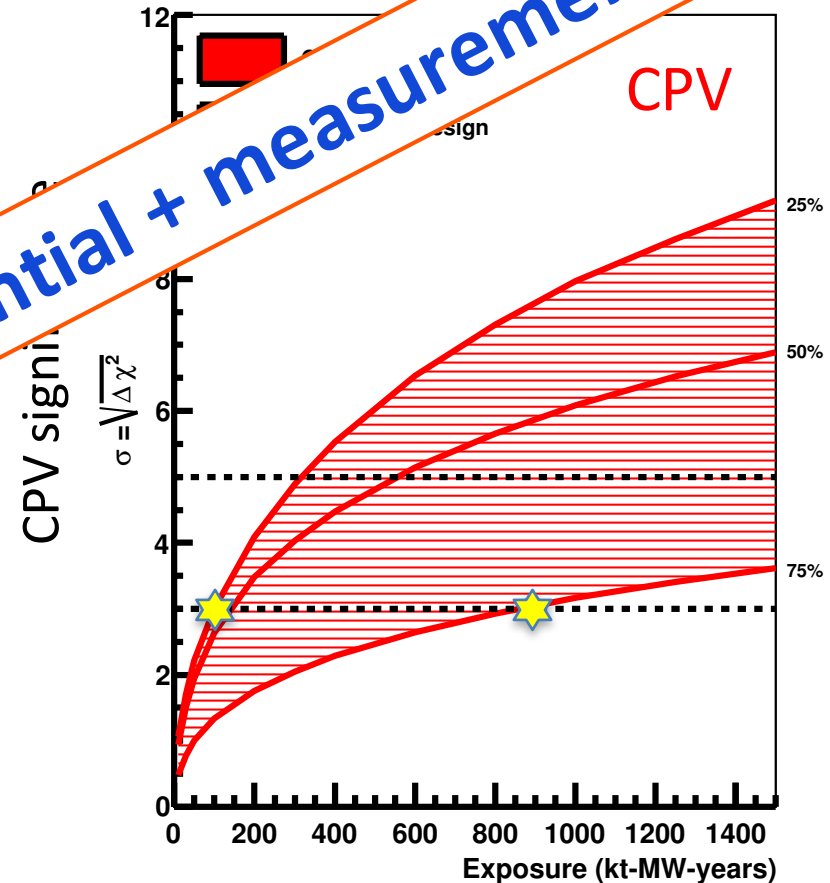
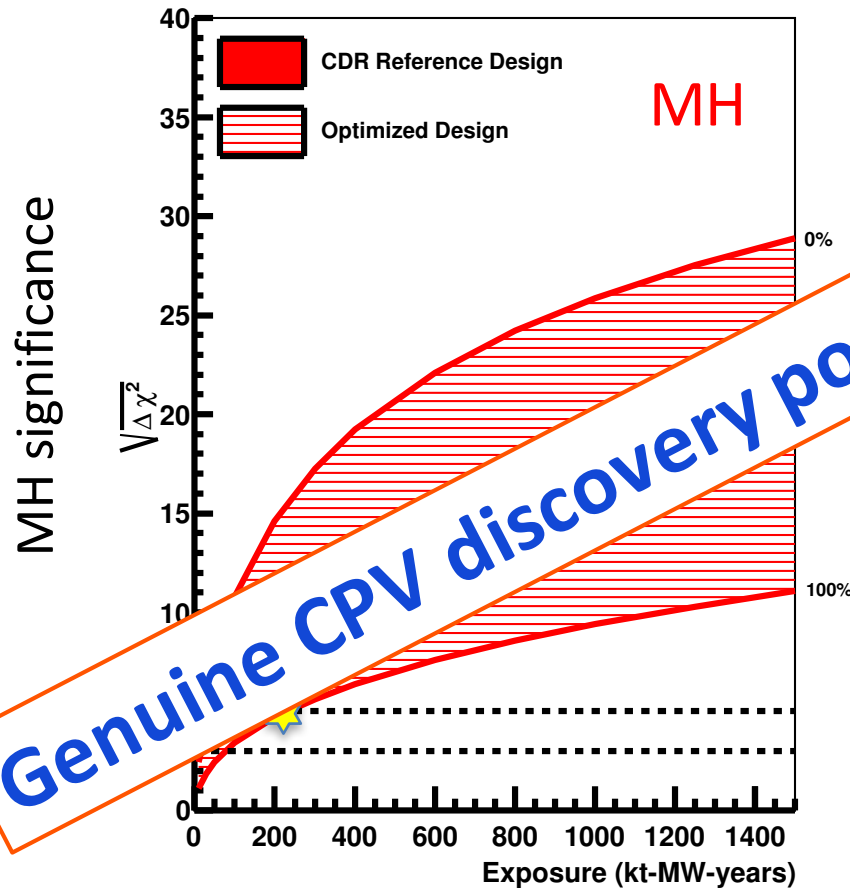
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MH and CPV Sensitivities

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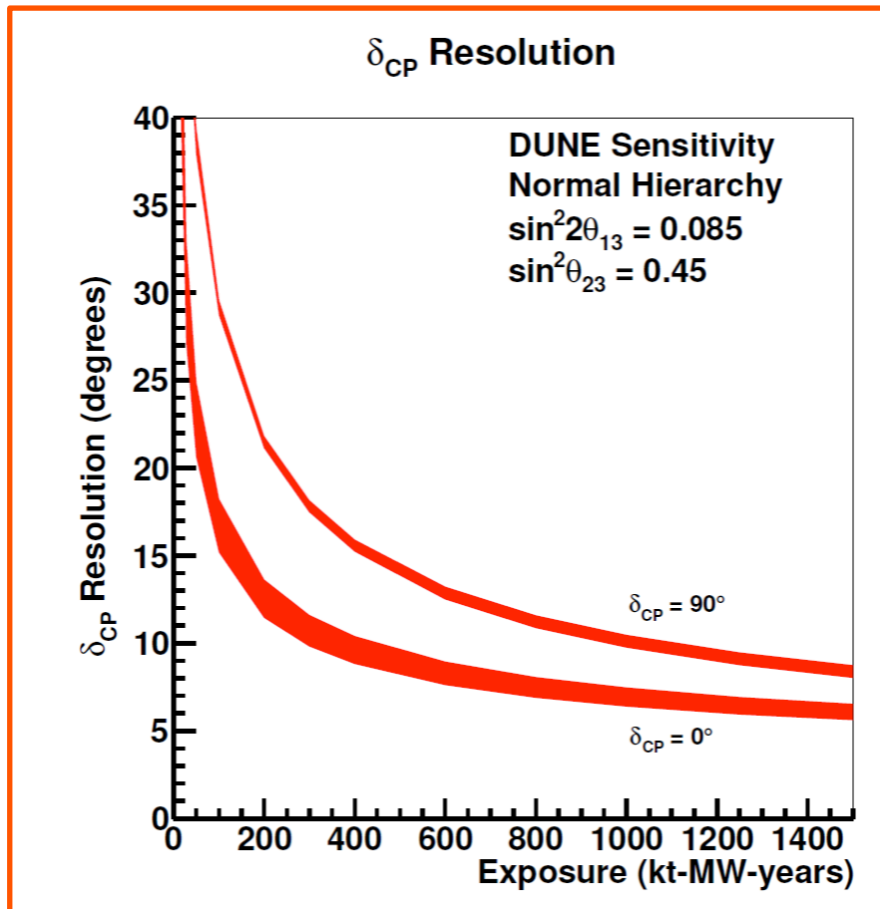
- Other parameters, e.g. δ
- Beam spectrum, ...



Genuine CPV discovery potential + measurement of δ

Beyond discovery: measurement of δ

- ★ CPV “coverage” is just one way of looking at sensitivity...
- ★ Can also express in terms of the uncertainty on δ



Start to ~approach current level of precision on quark-sector CPV phase (although takes time)

Timescales: year zero = 2025

Rapidly reach scientifically interesting sensitivities:

- e.g. in best-case scenario for Mass Hierarchy :
 - Reach 5σ MH sensitivity with 20 – 30 kt.MW.year

Discovery

~2 years

- e.g. in best-case scenario for CPV ($\delta_{CP} = +\pi/2$) :
 - Reach 3σ CPV sensitivity with 60 – 70 kt.MW.year

Strong evidence

~3-4 years

- e.g. in best-case scenario for CPV ($\delta_{CP} = +\pi/2$) :
 - Reach 5σ CPV sensitivity with 210 – 280 kt.MW.year

Discovery

~6-7 years

★ **Genuine potential for early physics discovery**

DUNE Science Summary

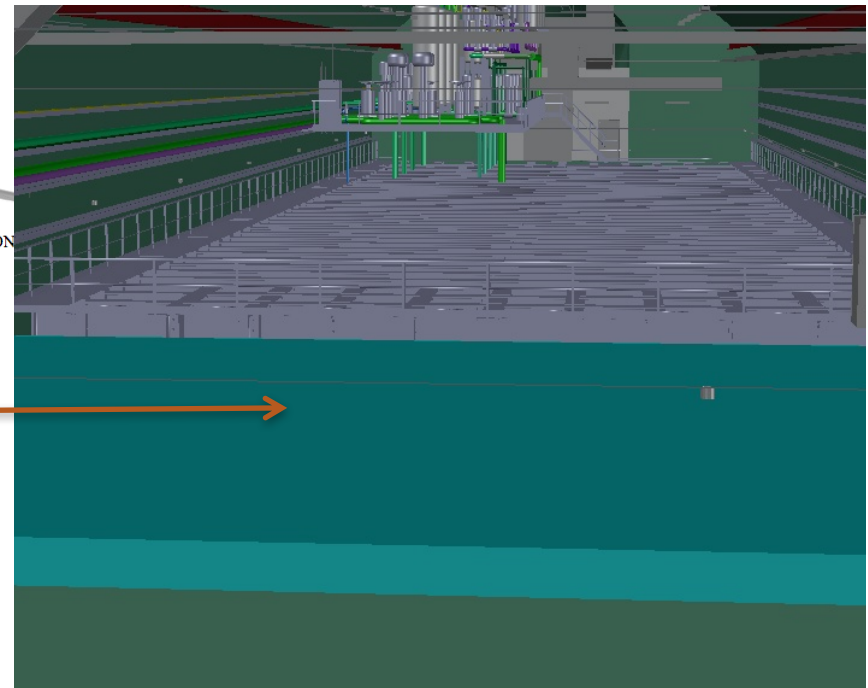
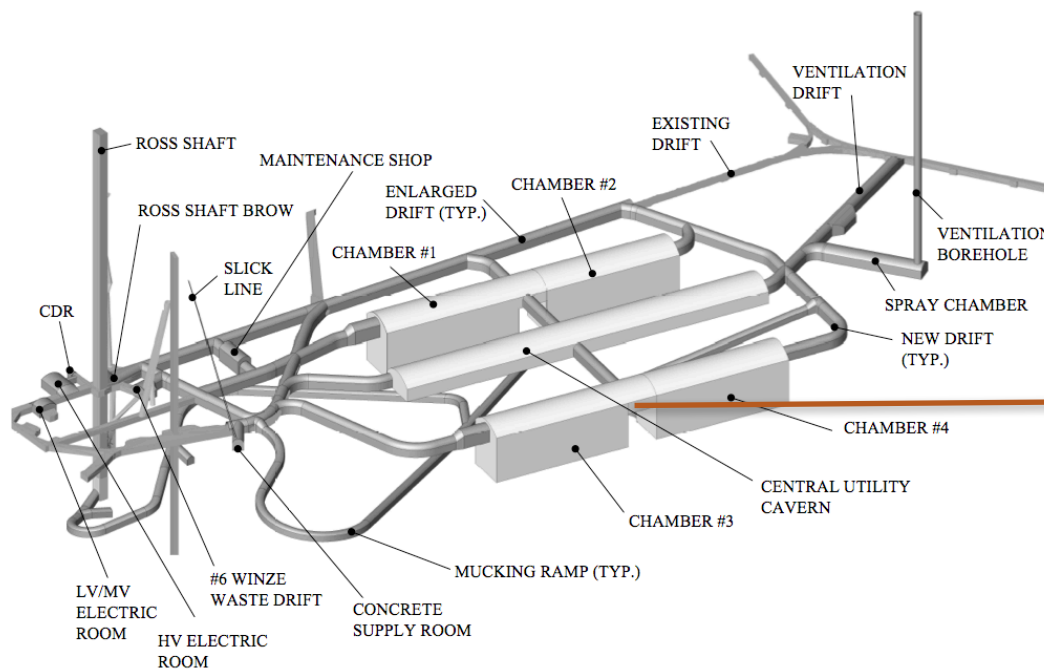
DUNE physics:

- **Game-changing program in Neutrino Physics**
 - Definitive 5σ determination of MH
 - Probe leptonic CPV
 - Precisely test 3-flavor oscillation paradigm
- **Potential for major discoveries in astroparticle physics**
 - Extend sensitivity to nucleon decay
 - Unique measurements of supernova neutrinos (if one should occur in lifetime of experiment)

7. LBNF – a MW-scale facility

8. The DUNE Far Detector

9. The DUNE Near Detector

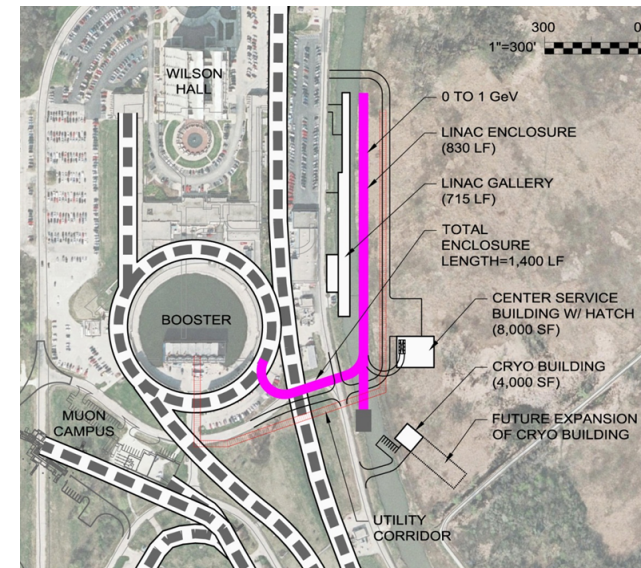


7. LBNF – a MW-scale facility



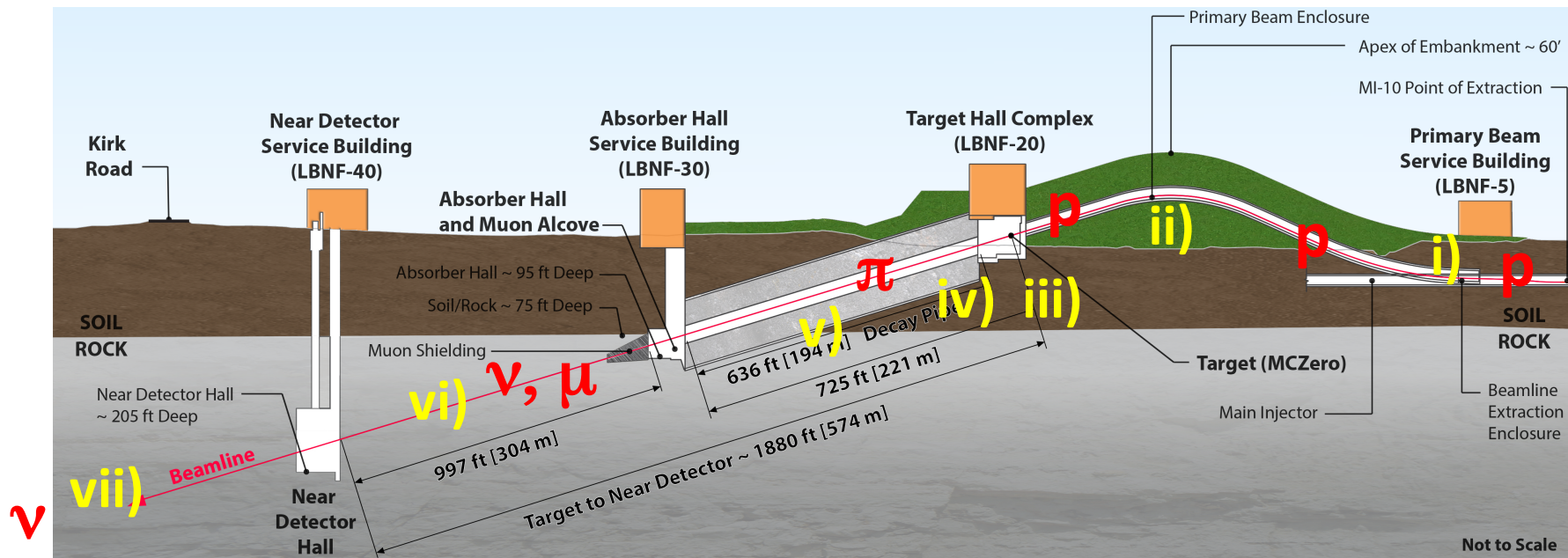
LBNF and PIP-II

- ★ In beam-based long-baseline neutrino physics:
 - beam power drives the sensitivity
- ★ LBNF: the world's most intense high-energy ν beam
 - **1.2 MW from day one**
 - NuMI (MINOS) <400 kW
 - NuMI (NOVA) 600 - 700 kW
 - **upgradable to 2.4 MW**
- ★ **Requires PIP-II** (proton-improvement plan)
 - **\$0.5B** upgrade of FNAL accelerator infrastructure
 - Replace existing 400 MeV LINAC with 800 MeV SC LINAC



The LBNF Neutrino Beam

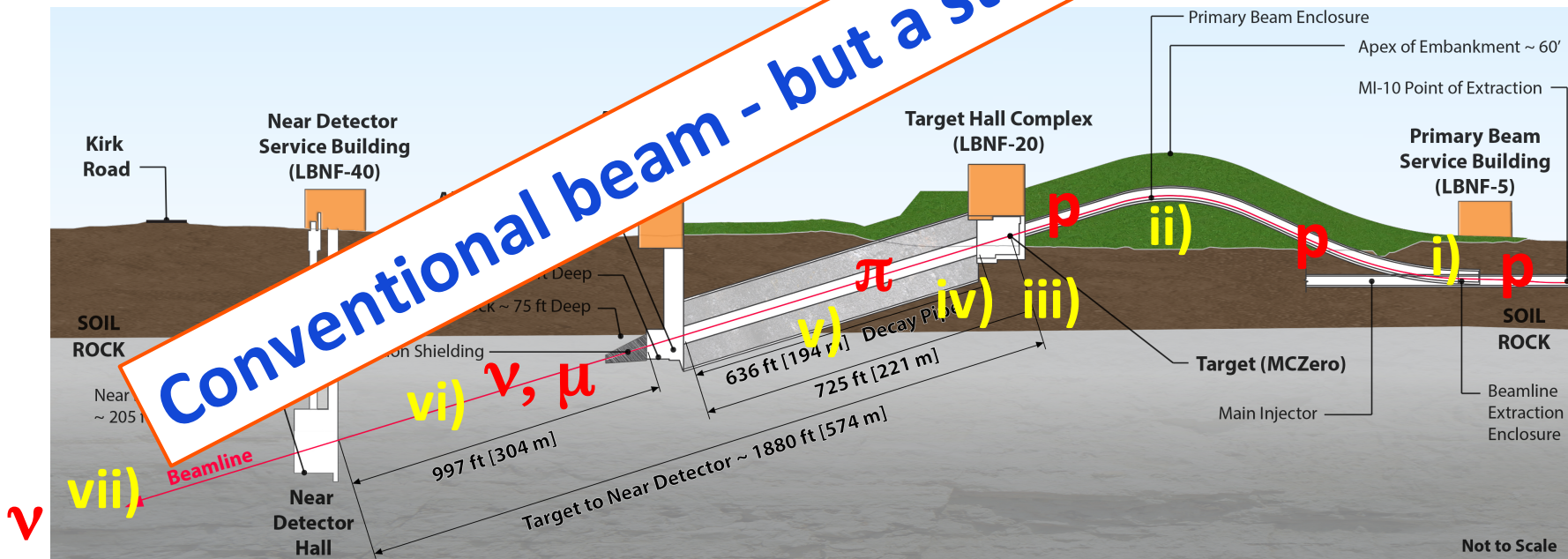
- i) Start with an intense (MW) proton beam from PIP-II
- ii) Point towards South Dakota
- iii) Smash high-energy (~ 80 GeV) protons into a target \Rightarrow hadrons
- iv) Focus positive pions/kaons
- v) Allow them to decay $\pi^+ \rightarrow \mu^+ \nu_\mu$
- vi) Absorb remaining charged particles in rock
- vii) Left with a “collimated” ν_μ beam



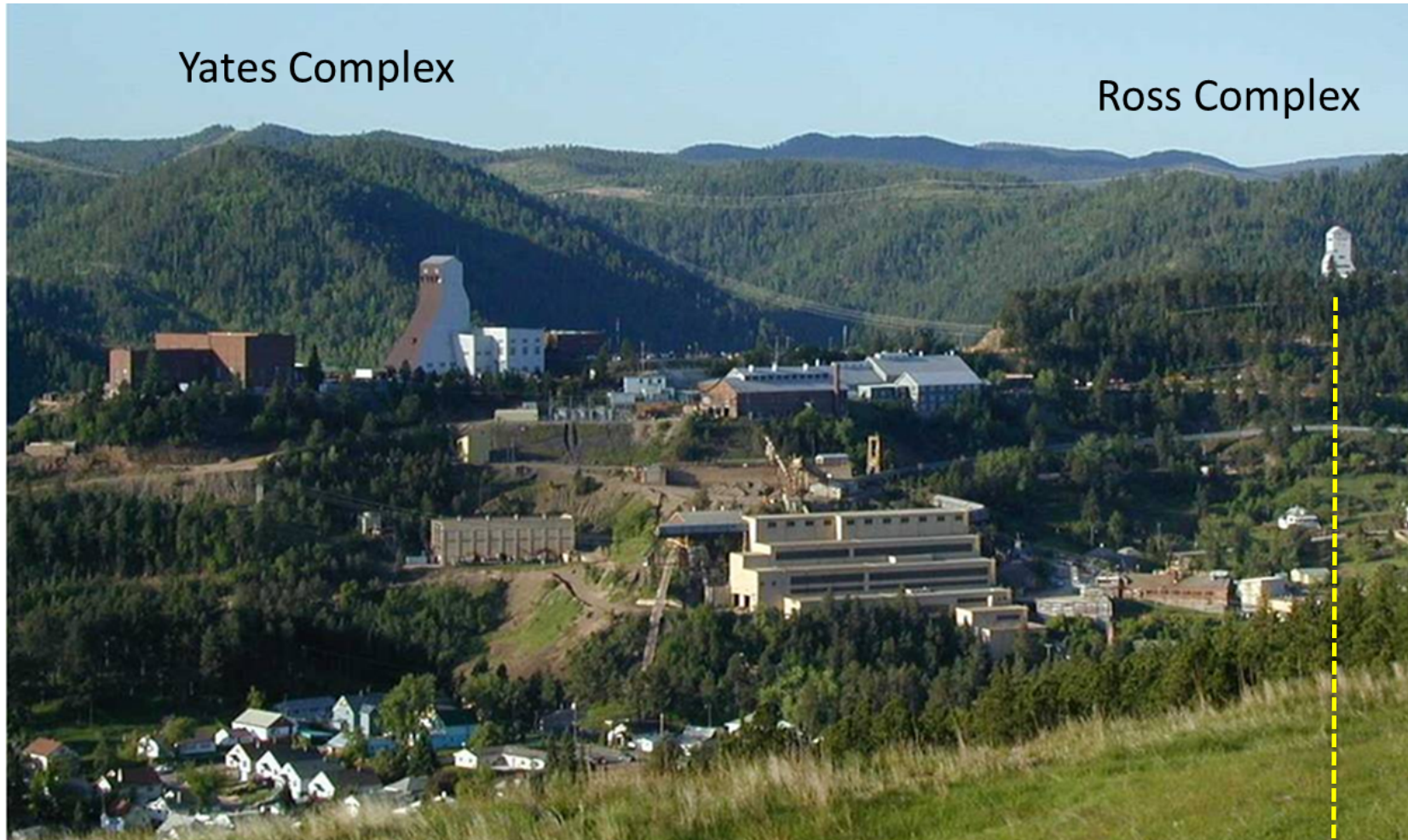
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Conventional beam - but a step up in intensity



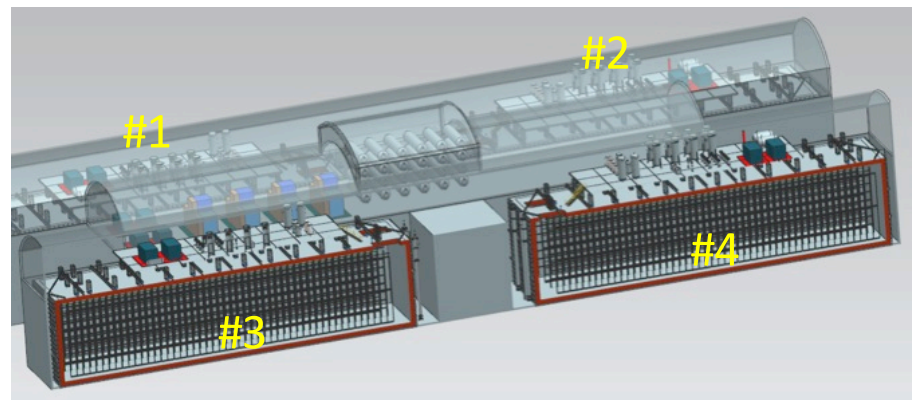
8. The DUNE Far Detector



Staged Approach to 40 kt

Cavern Layout at the Sanford Underground Research Facility based on four independent caverns

- **Four identical caverns hosting four independent 10-kt FD modules**
 - Allows for staged construction of FD
 - Gives flexibility for **evolution** of LArTPC technology design
 - Assume four identical cryostats
 - But, assume that the four 10-kt modules will be similar but **not necessarily identical**

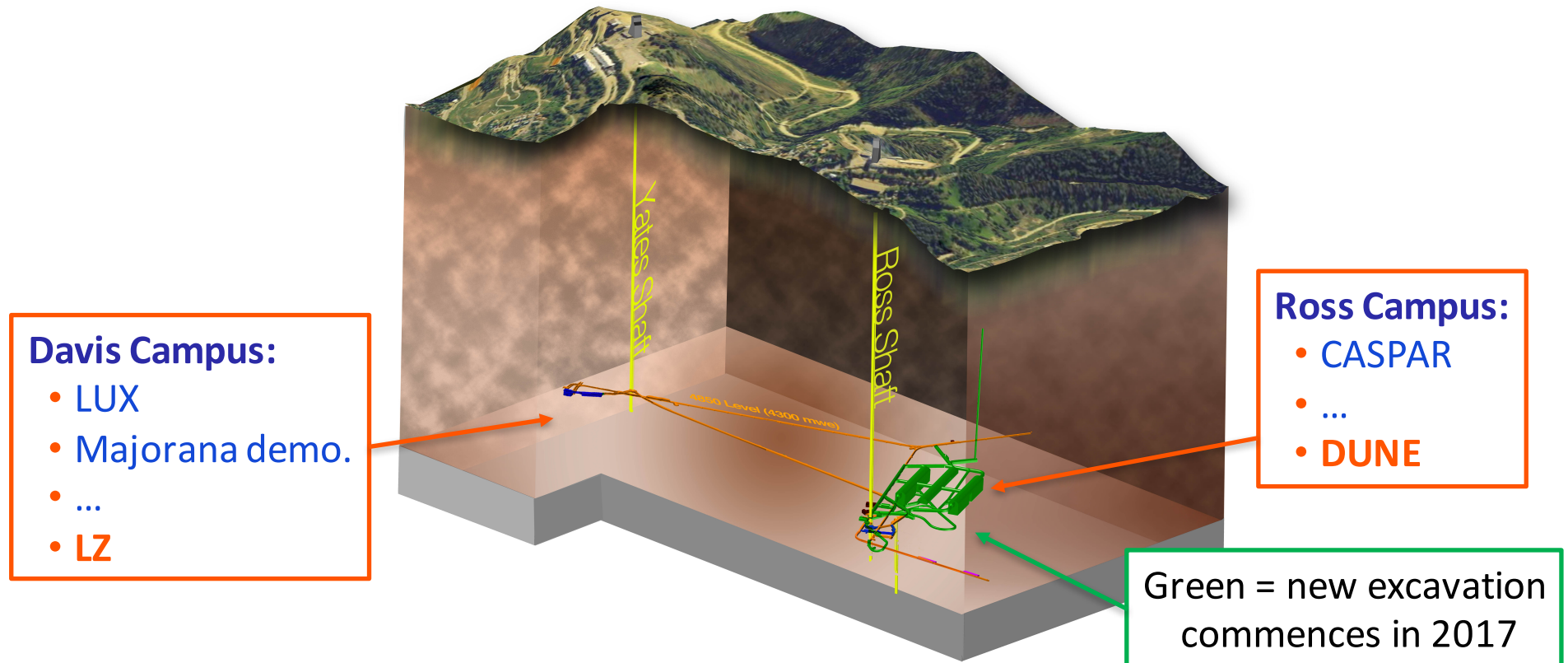


Going underground...



DUNE Far Detector site

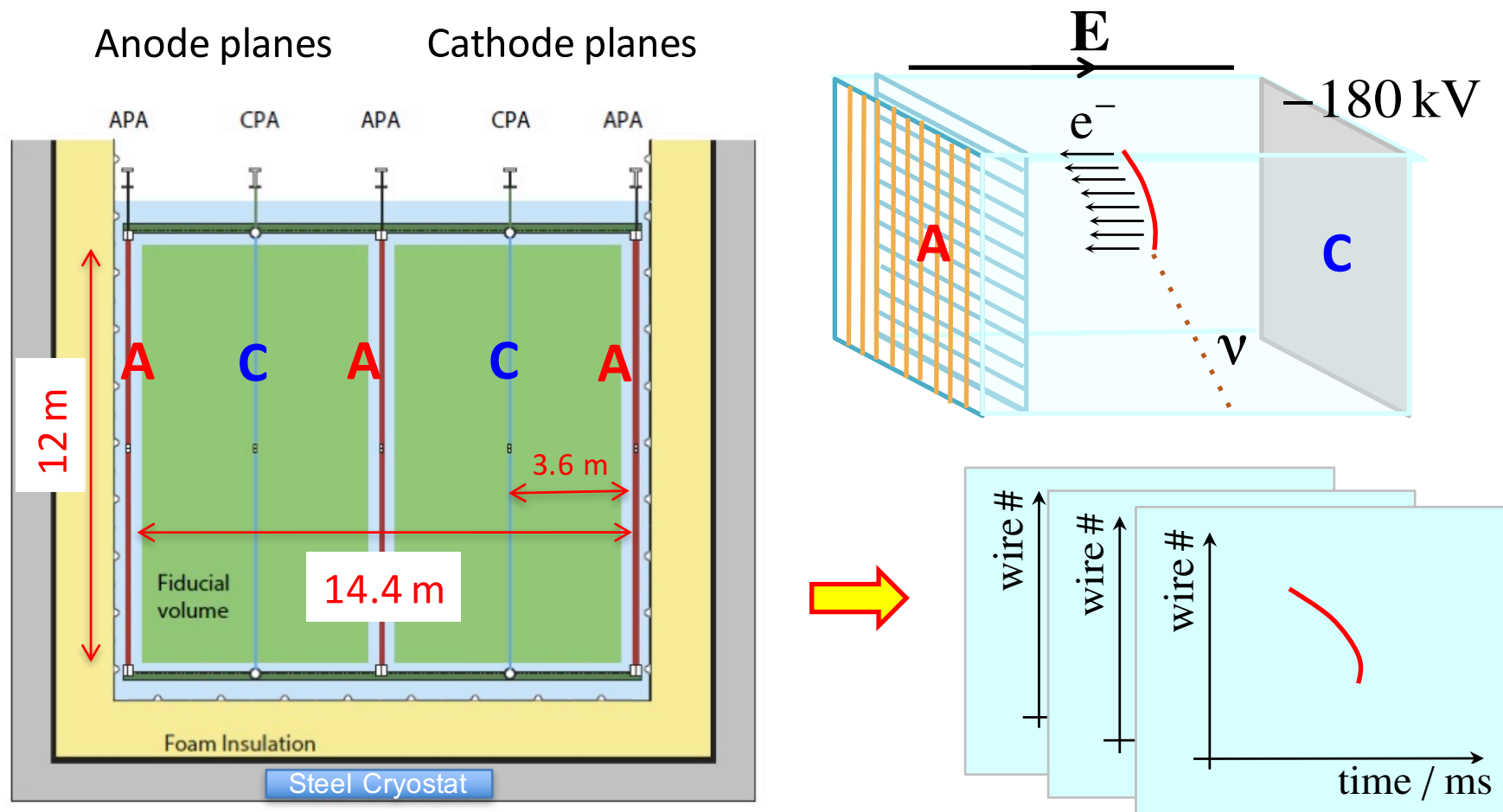
- Sanford Underground Research Facility (SURF), South Dakota
- Four caverns on 4850 level (~ 1 mile underground)



Far Detector Basics

A modular implementation of Single-Phase TPC

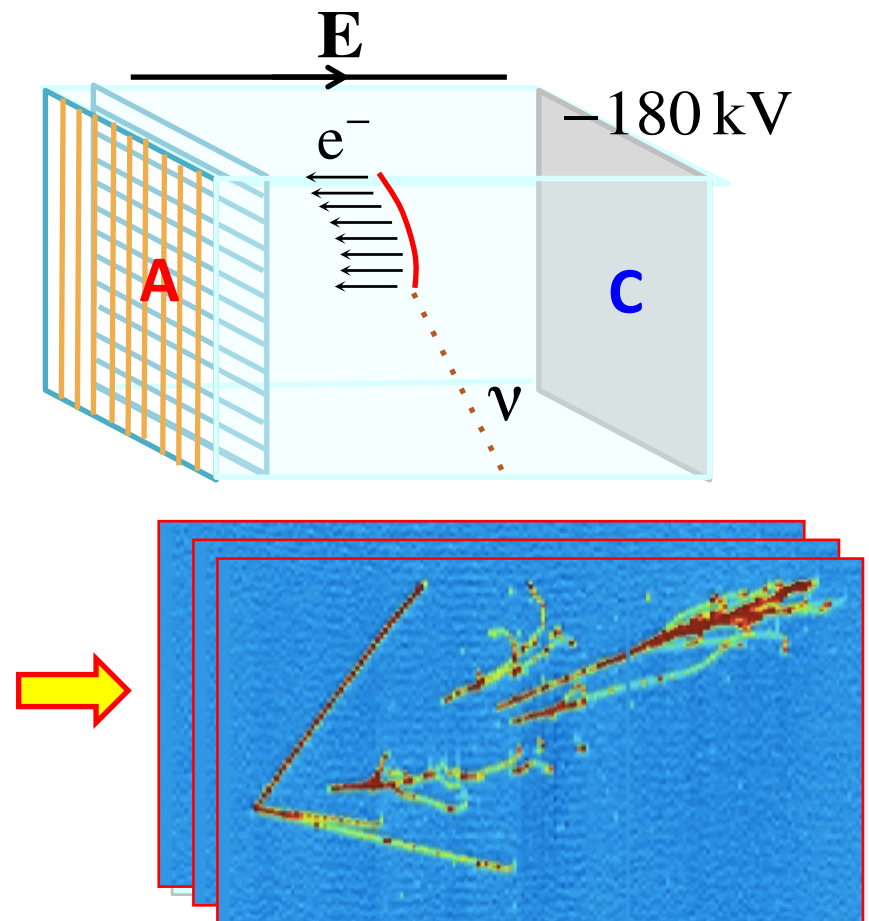
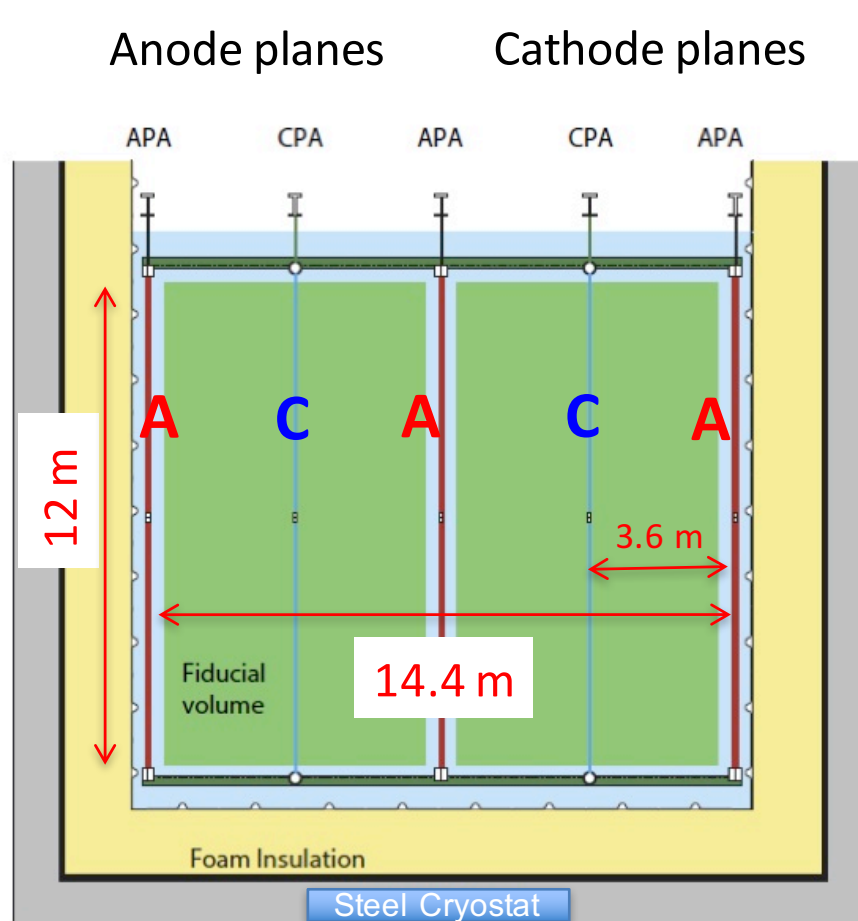
- Record ionization using three wire planes \rightarrow 3D image



Far Detector Basics

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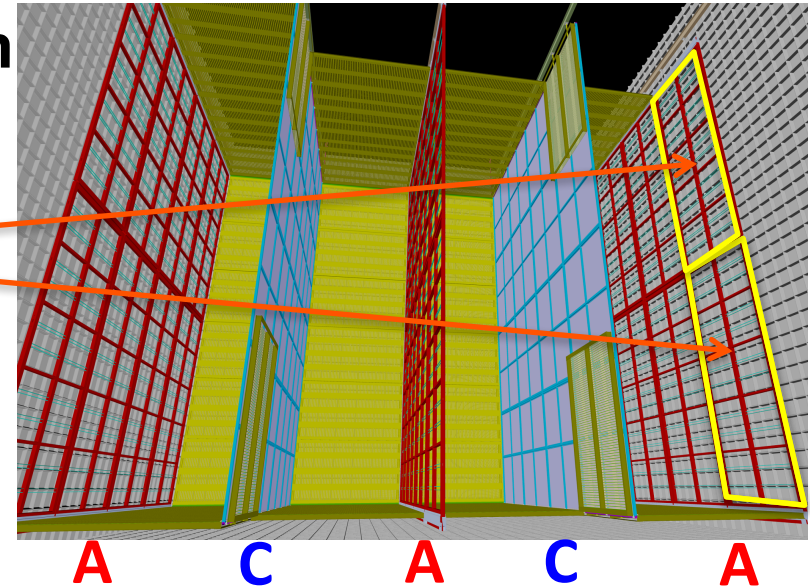
- Record ionization using three wire planes \Rightarrow 3D image



First 17-kt detector

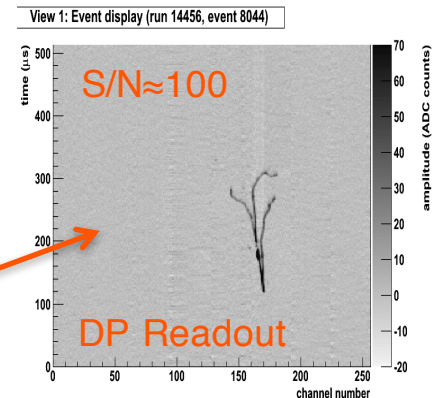
Modular implementation of Single-Phase TPC

- Active volume: **12m x 14m x 58m**
- 150 Anode Plane Assemblies
 - 6m high x 2.3m wide
- 200 Cathode Plane Assemblies
 - Cathode @ -180 kV for 3.5m drift



Second & subsequent far detector modules

- Not assumed to be exactly the same, could be:
 - Evolution of single-phase design
 - Dual-phase readout – **potential benefits**



Far Detector Development

e.g. single-phase **APA/CPA LAr-TPC**:

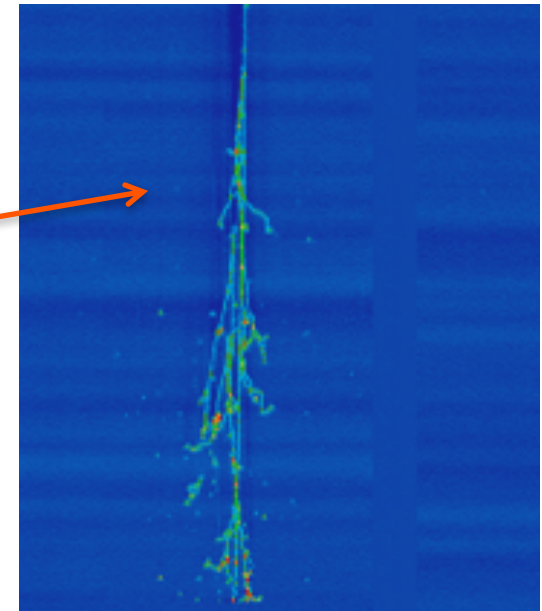
- Design is already well advanced – evolution from ICARUS
- Supported by strong development program at Fermilab
 - 35-t prototype (run ended 03/2016)
tests of basic design



Far Detector Development

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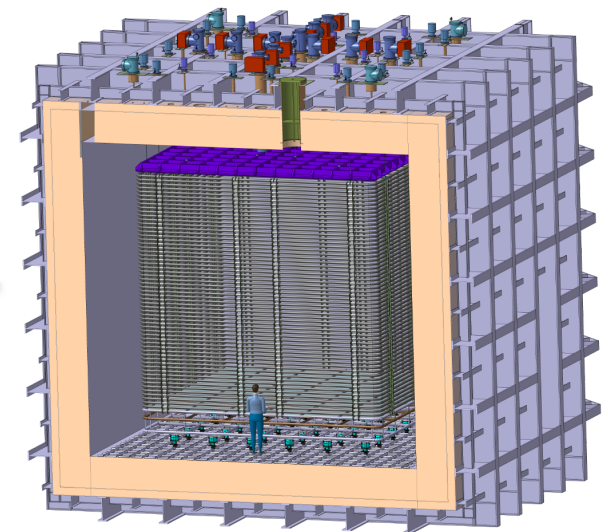
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 - MicroBooNE (operational since 2015)
 - SBND (aiming for operation in 2018)



Far Detector Development

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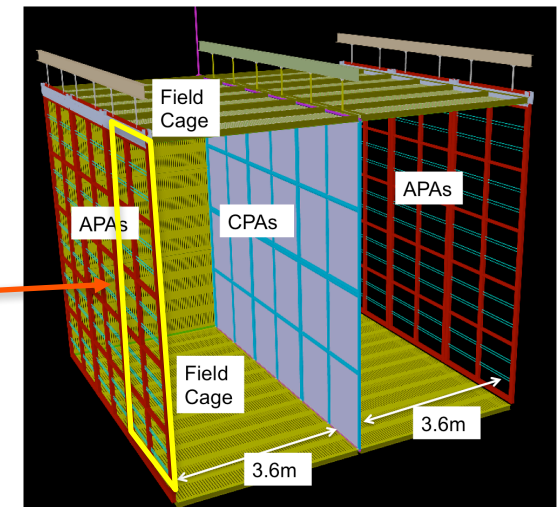
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- 2 “Full-scale” prototypes (protoDUNE)
at the CERN Neutrino Platform
 - **Single-Phase & Dual-Phase** →
 - Engineering prototypes, e.g. SP:
 - 6 full-sized drift cells c.f. 150 in the far det.
 - Aiming for operation in 2018



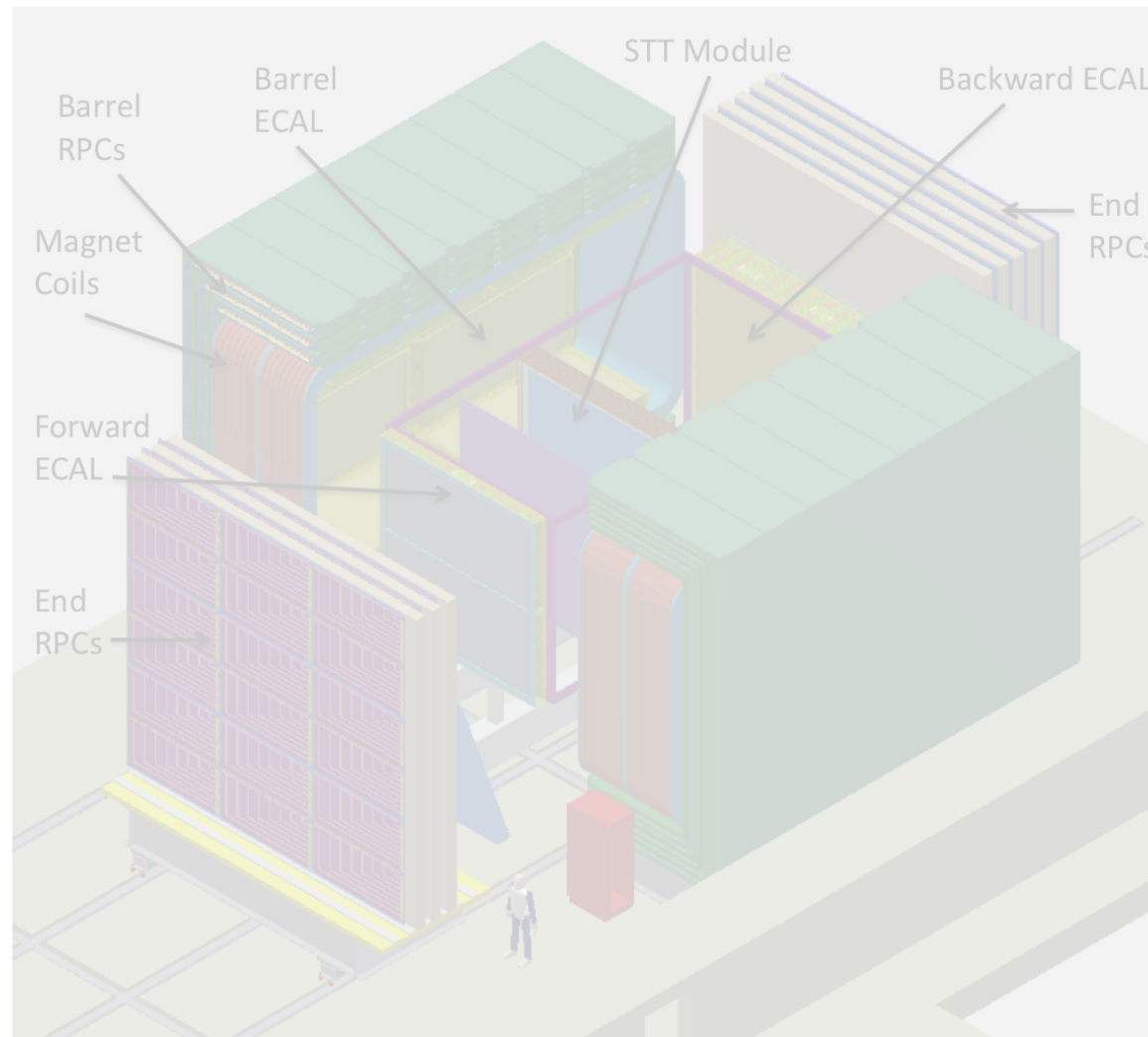
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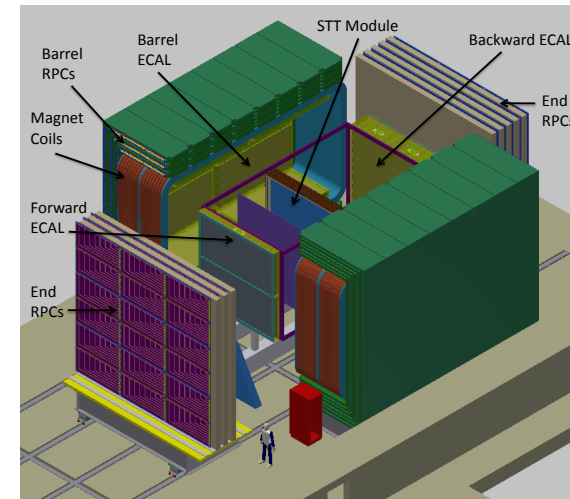
9. The DUNE Near Detector



DUNE ND (in brief)

CDR design is the the NOMAD-inspired FGT

- **It consists of:**
 - Central straw-tube tracking system
 - Lead-scintillator sampling ECAL
 - RPC-based muon tracking systems
- **Other options being studied**
- **The Near Detector provides:**
 - Constraints on cross sections and the neutrino flux
 - A rich self-contained non-oscillation neutrino physics program



Will result in unprecedented samples of ν interactions

- **>100 million** interactions over a wide range of energies:
- ➔
- strong constraints on systematics
 - the ND samples will represent a huge scientific opportunity

10. Political Context



Political Context – many firsts

★ LBNF/DUNE will be:

- The first international “mega-science” project hosted by the US
 - “do for the Neutrinos, what the LHC did for the Higgs”
- The first U.S. project run as an international collaboration
 - Organization follows the LHC model

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★ The U.S. is serious:

- LBNF/DUNE is Fermilab’s future flagship project
- Very strong support from Fermilab & the U.S. DOE
- CD3a in December – funding request for excavation in FY17 currently with DOE

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★ A game-changer for CERN and the U.S.

- Historic agreement between U.S. and CERN
- US contributes to LHC upgrade (high-field magnets)
- CERN contributes to Far site infrastructure

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★ First truly global neutrino experiment

Political Context – many firsts

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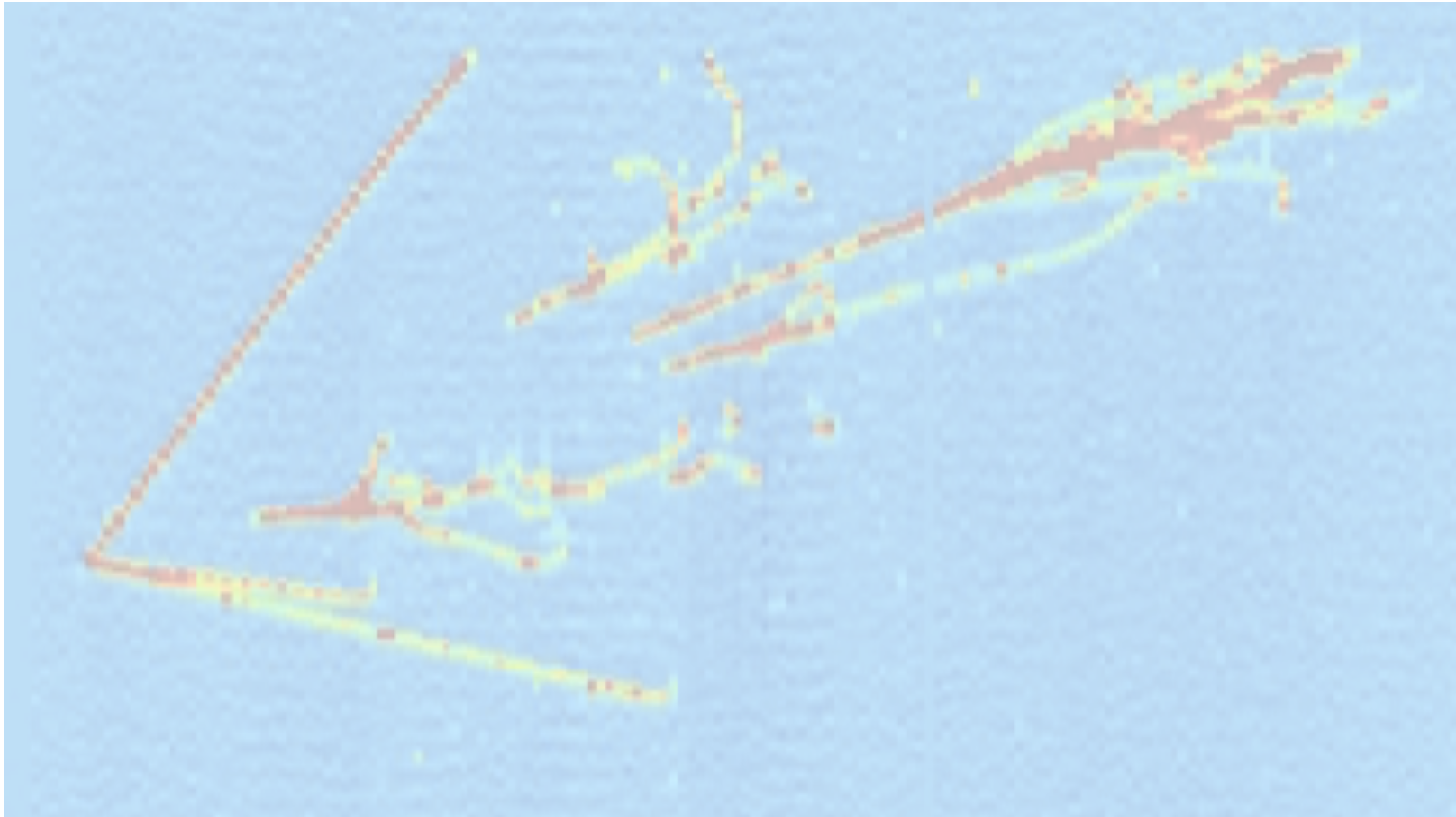
- LBNF/DUNE is Fermilab’s top priority R&D project
- Very strong support from the President & the U.S. DOE
- CD3a in Dec 2015, funding request for excavation in FY17 currently under review

★ A good reason to be optimistic that we are on the verge of launching the next big thing in particle physics

- Agreement for CERN and the U.S. to build a new particle accelerator
- Agreement between U.S. and CERN
- U.S. contributes to LHC upgrade (high-field magnets)
- CERN contributes to Far site infrastructure

★ First truly global neutrino experiment

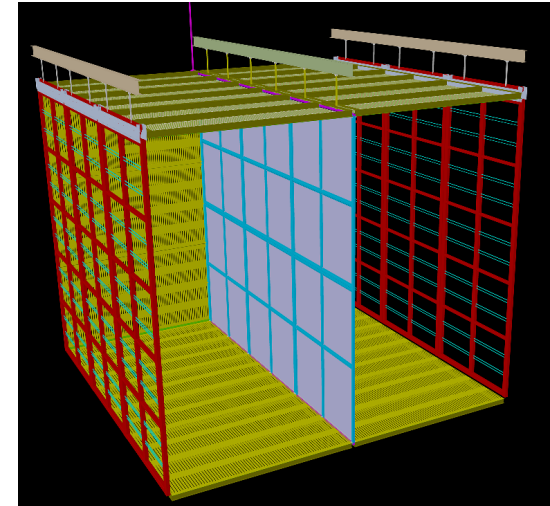
11. Opportunities on DUNE



Opportunities in DUNE

DUNE is moving rapidly

- Excavation starts in 2017
- ProtoDUNE @ CERN in 2018
- Far Detector construction in 2019
- Far Detector installation in 2021



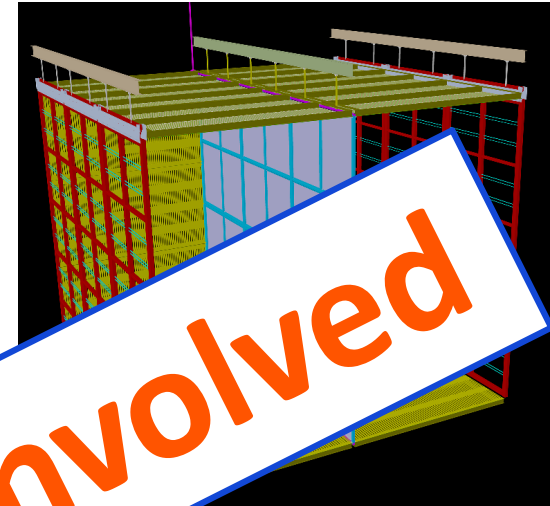
DUNE: the next large global Particle Physics project

- Actively seeking new collaborators
 - many synergies with collider experiments
- Immediate Focus in Europe will be ProtoDUNE @ CERN
- Many Opportunities:
 - Hardware: e.g. photon detection system (scintillator + SiPMs)
 - DAQ/Computing: continuous readout = high-data rates
 - Software: LAr-TPC reconstruction

Opportunities in DUNE

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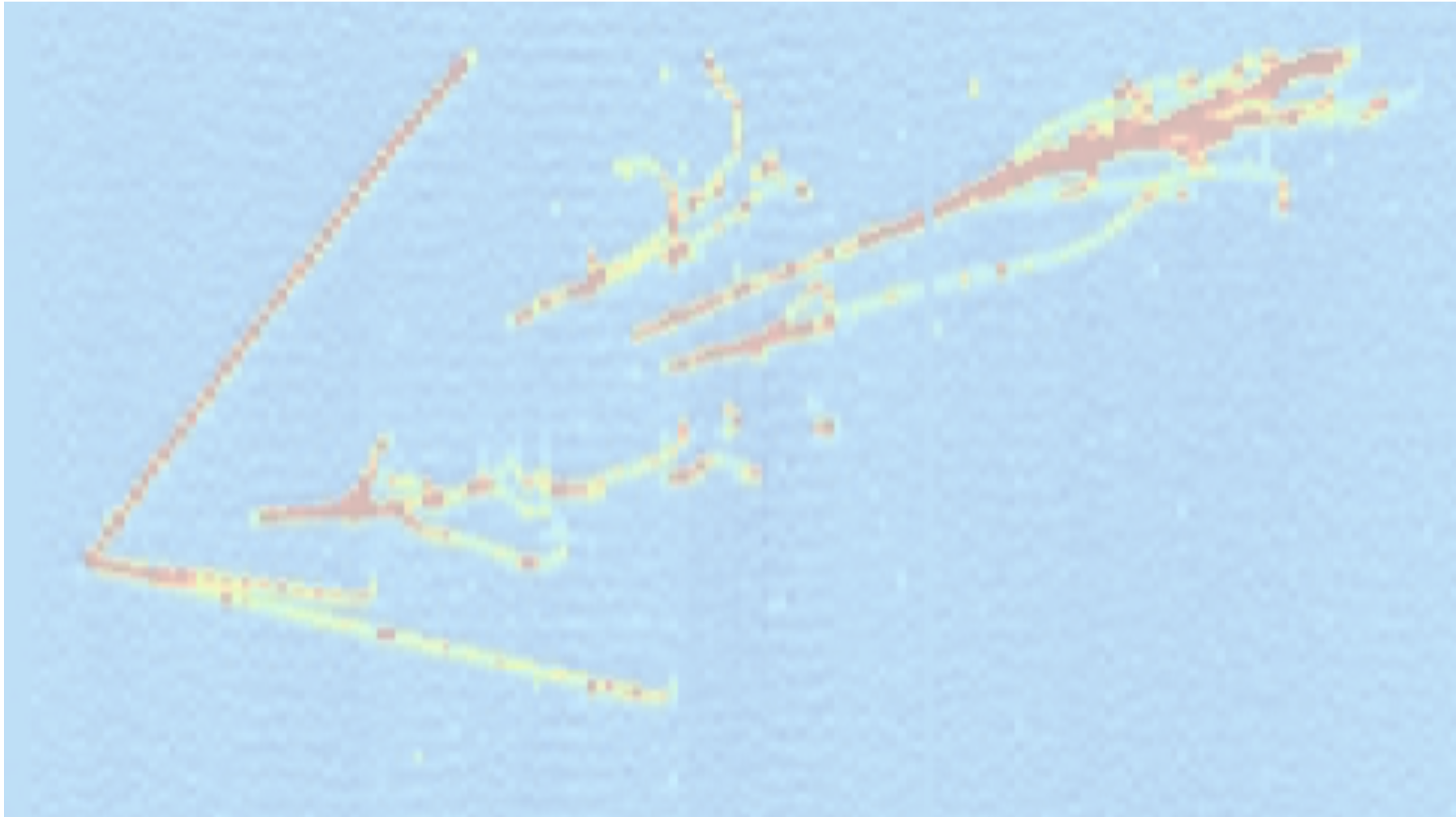


DUNE: the next large global particle physics project

- Actively seeking participants
 - many synchronous experiments
- Immediate hope will be ProtoDUNE @ CERN
- Main challenges:
 - e.g. photon detection system (scintillator + SiPMs)
 - DAQ/Computing: continuous readout = high-data rates
 - Software: LAr-TPC reconstruction

Great time to get involved

12. Summary



Summary

★ DUNE will

- Probe leptonic CPV with unprecedented precision
- Definitively determine the MH to greater than 5σ
- Test the three-flavor hypothesis
- Significantly advance the discovery potential for proton decay
- (With luck) provide a wealth of information on Supernova bursts
neutrino physics and astrophysics

Summary

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★ This is an exciting time

- DUNE is now ballistic
- The timescales are not long:
 - DUNE/LBNF aims to start excavation in 2017
 - The large-scale DUNE prototype will operate at CERN in 2018

Summary

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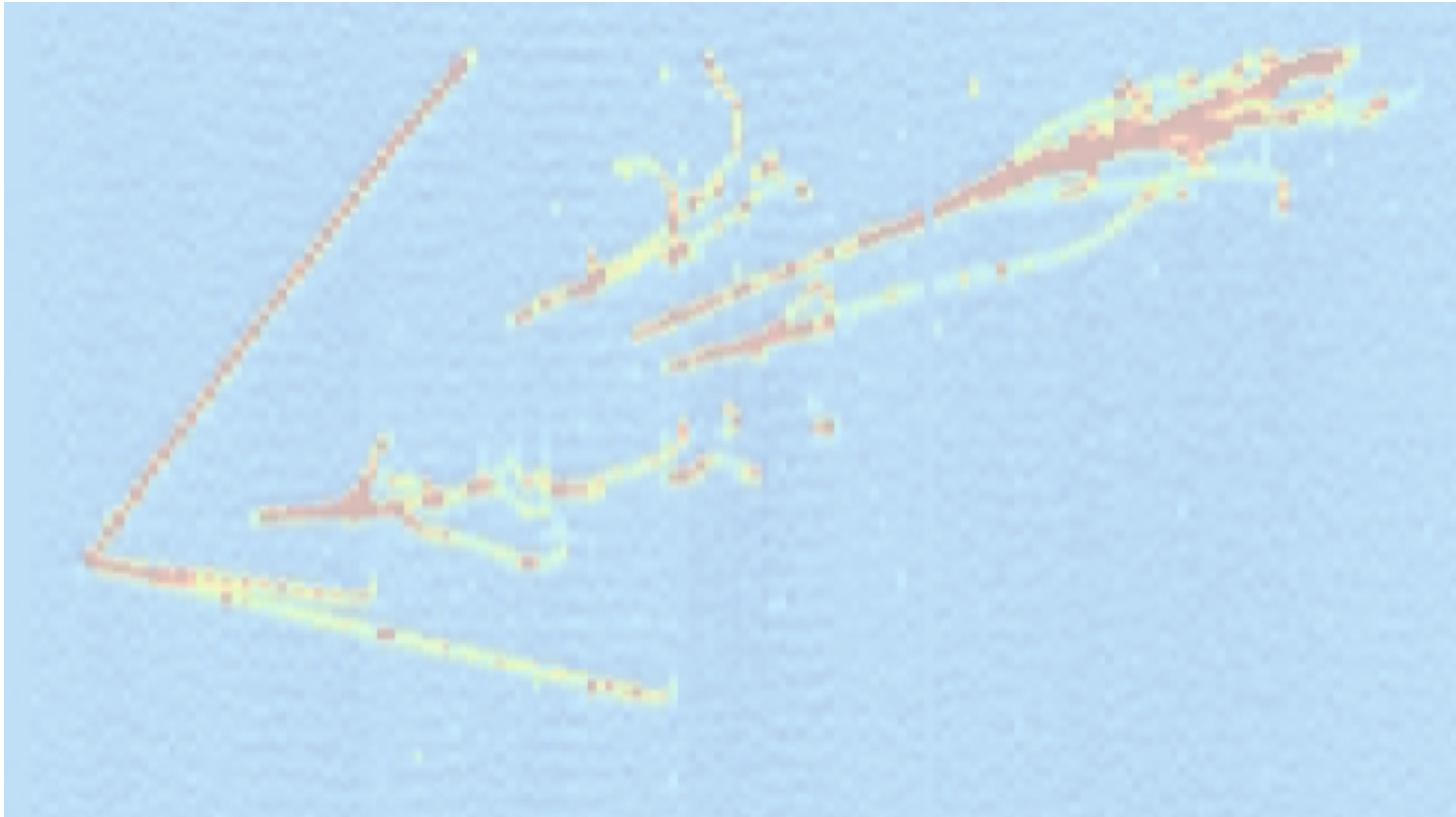
★ This is an exciting time

- DUNE is now ballistic
- The timescales are not long:
 - DUNE/LBNF aims to start excavation in 2017
 - The large-scale DUNE prototype will operate at CERN in 2018

★ An international community is forming – including CERN

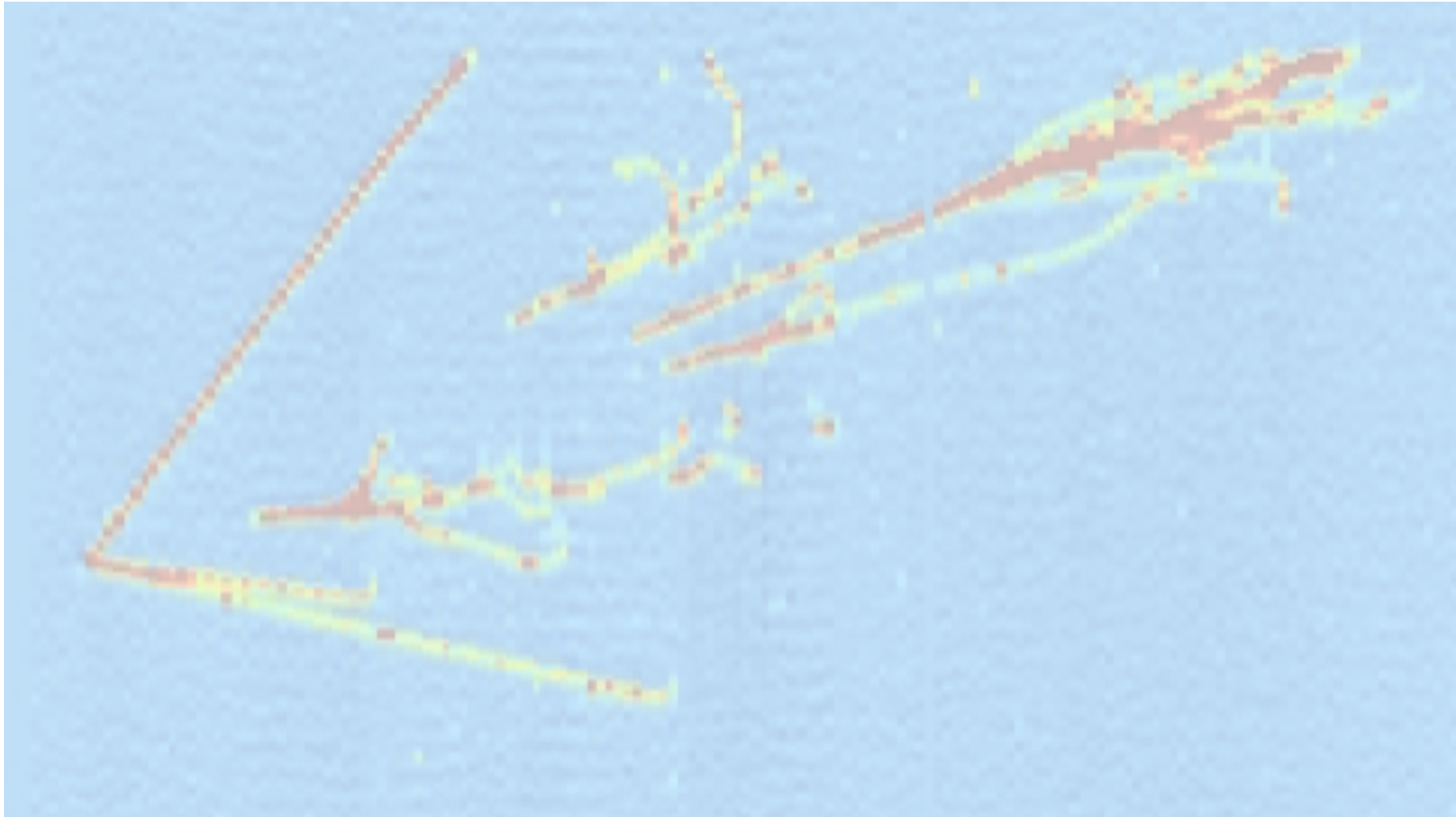
- LBNF/DUNE represents a **major new** scientific opportunity for particle physics

Thank you for your attention



Backup Slides

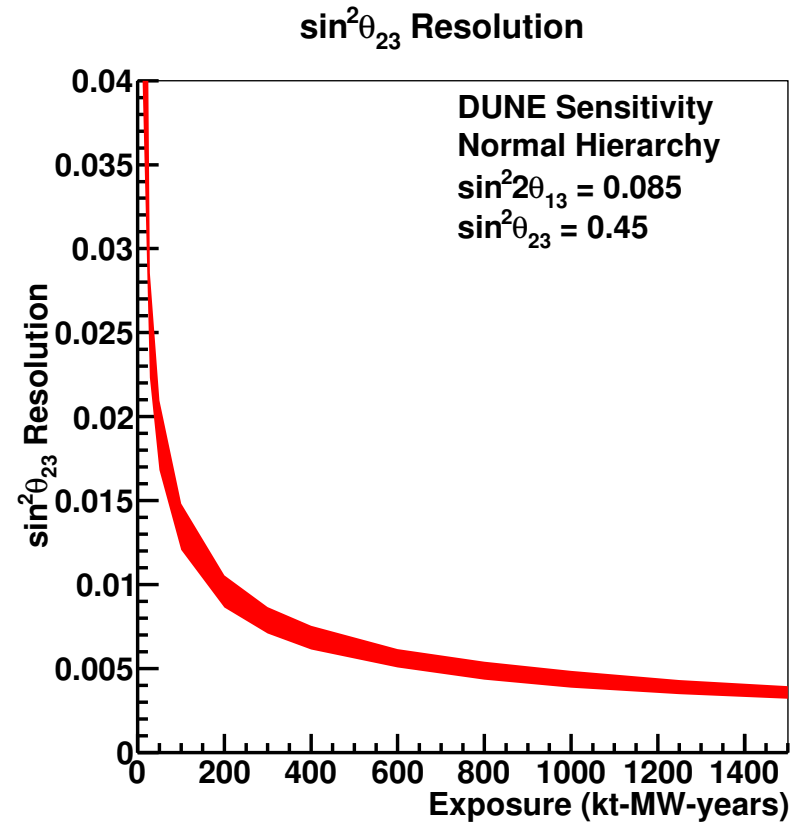
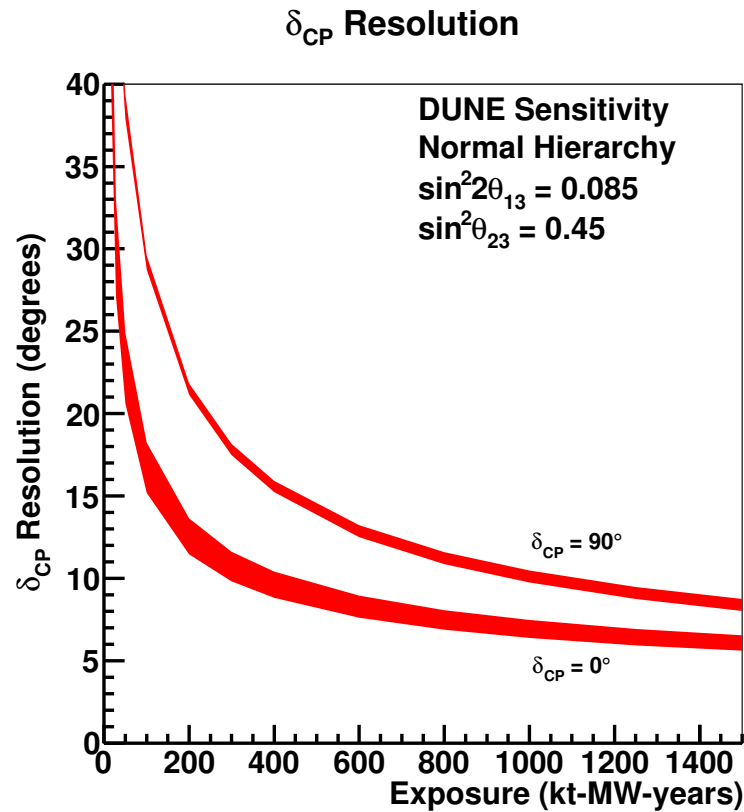
Science



Parameter Resolutions

δ_{CP} & θ_{23}

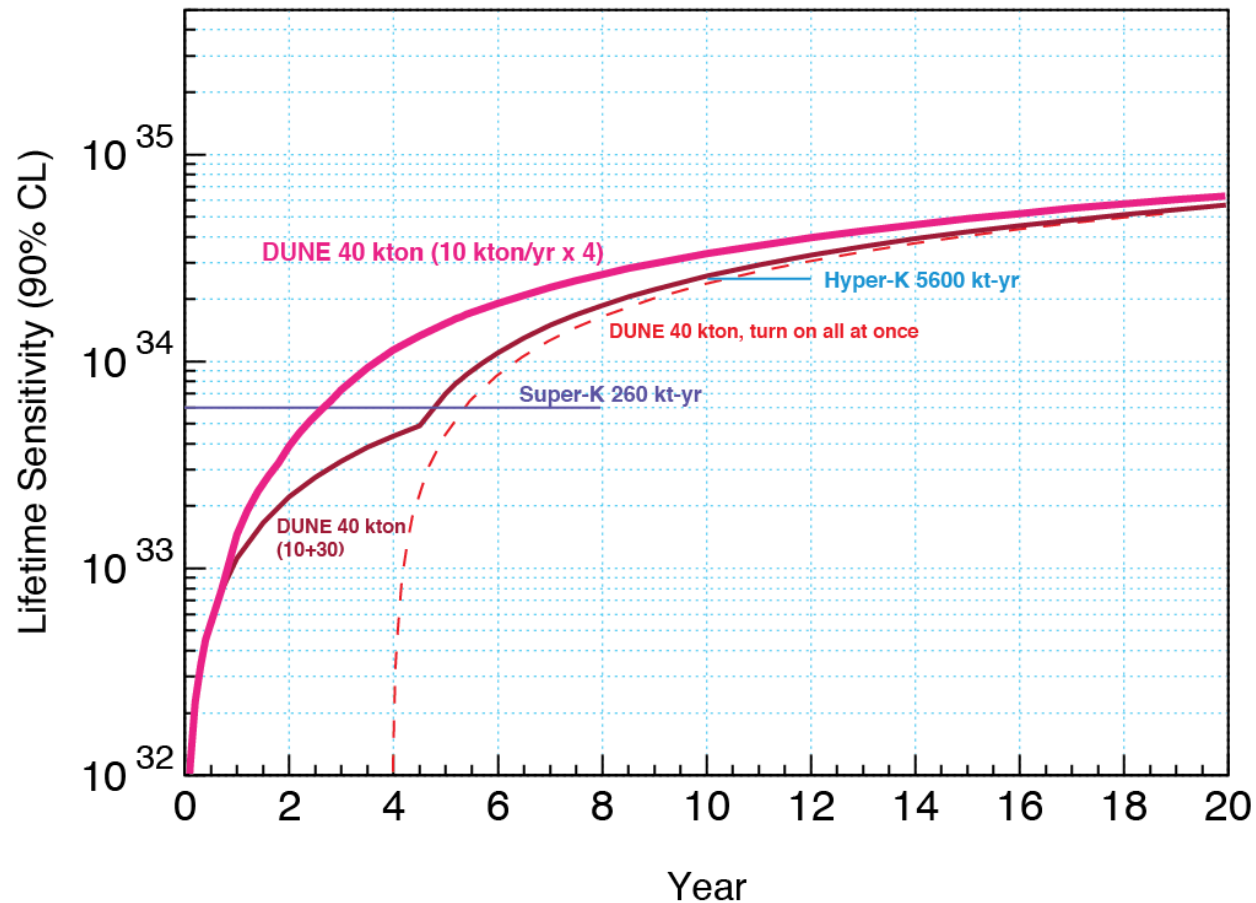
- As a function of exposure



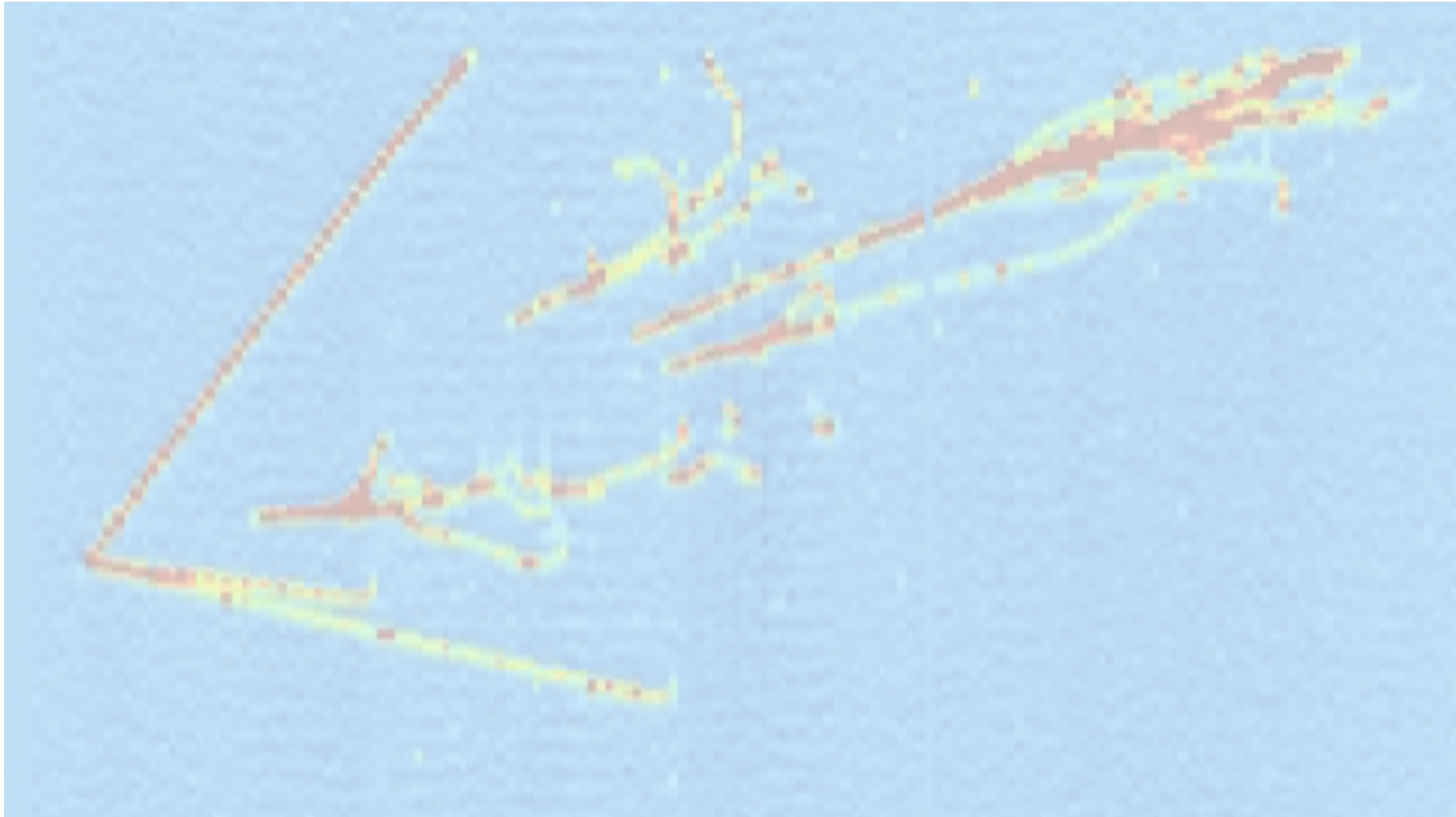
PDK

$p \rightarrow K \nu$

- DUNE for various staging assumptions

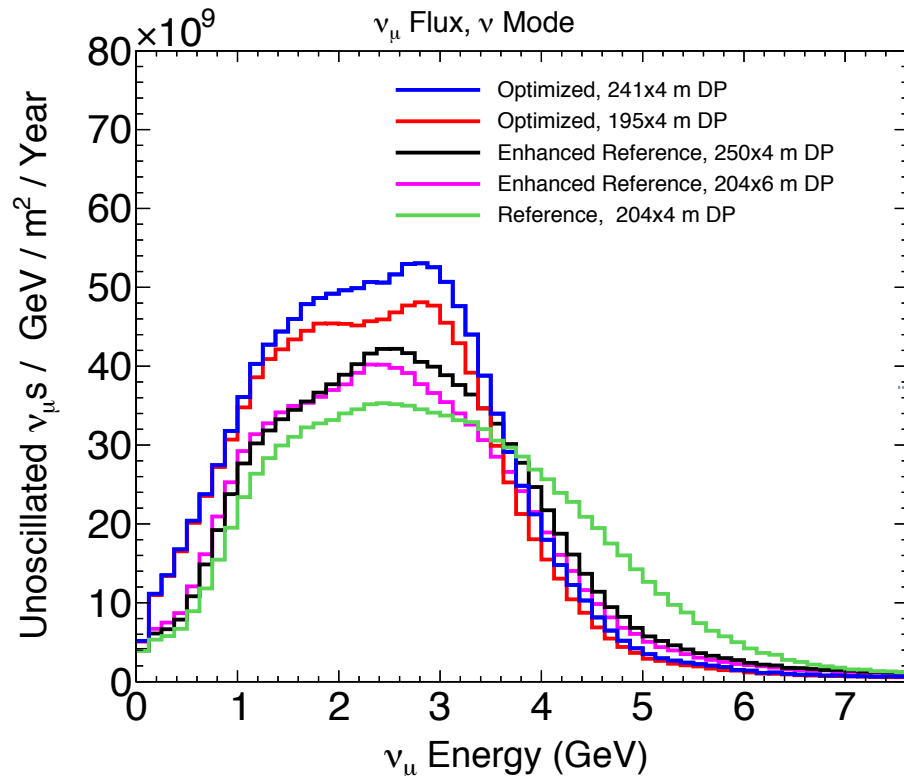


Beam Optimization

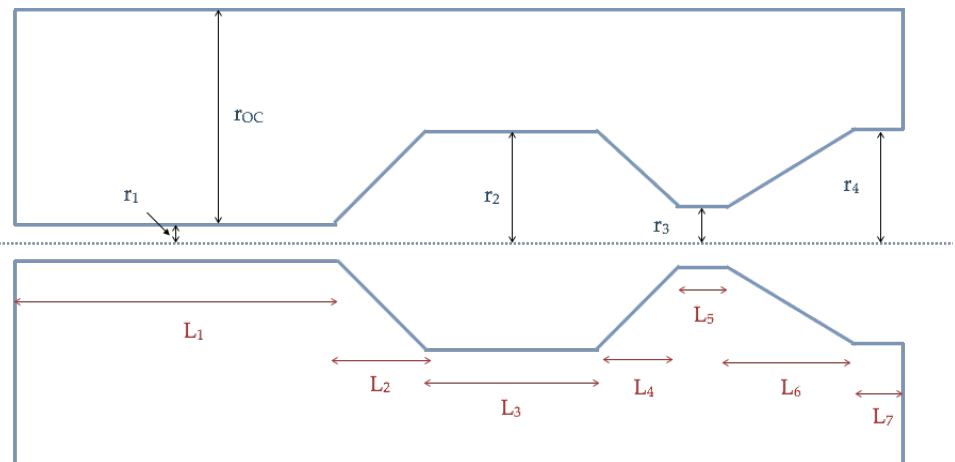


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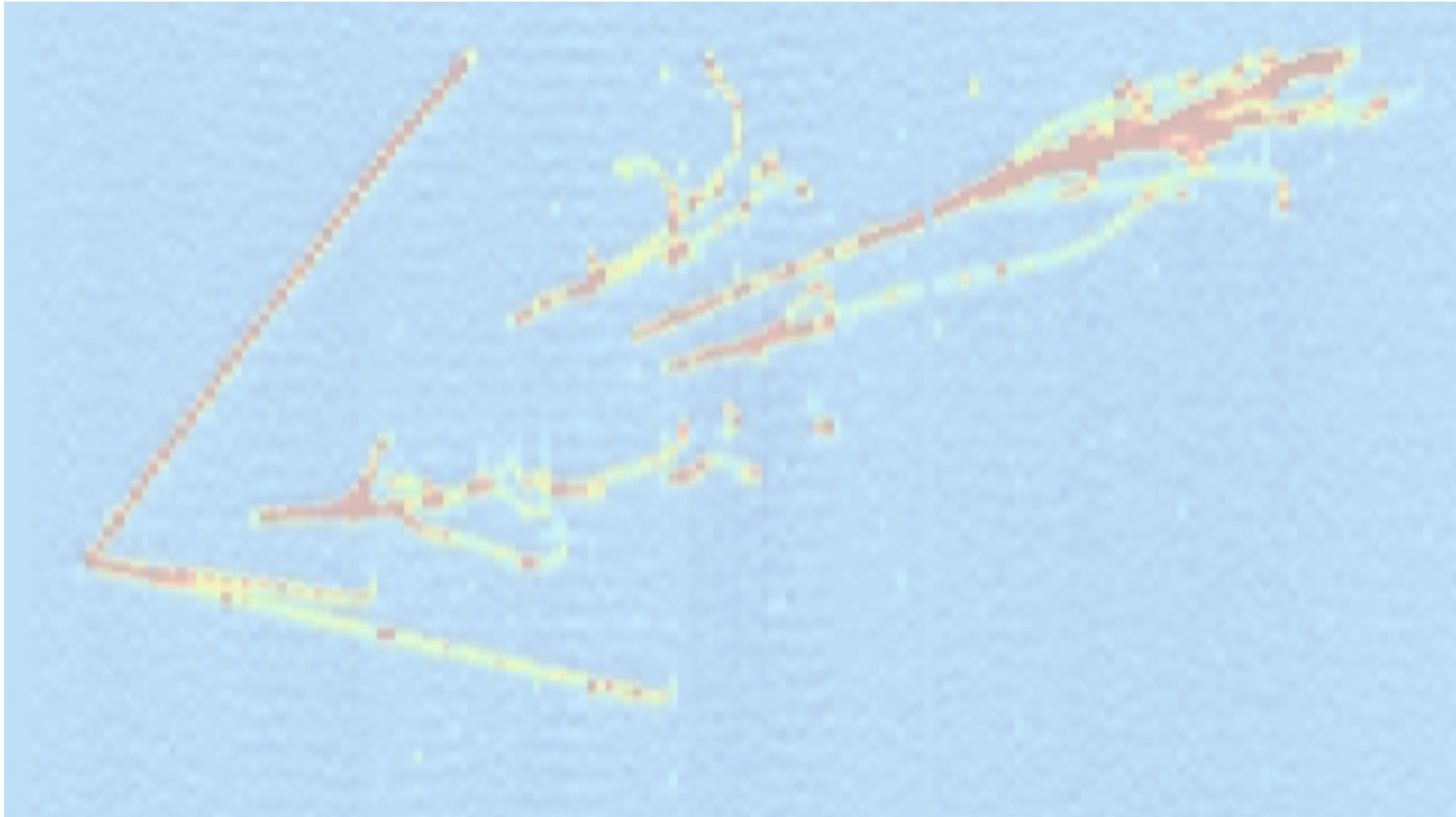
Following LBNO approach, genetic algorithm used to optimize horn design – increase neutrino flux at lower energies



Horn 1



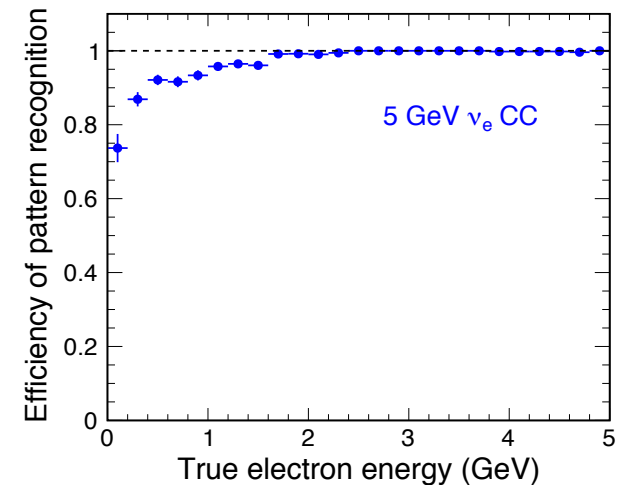
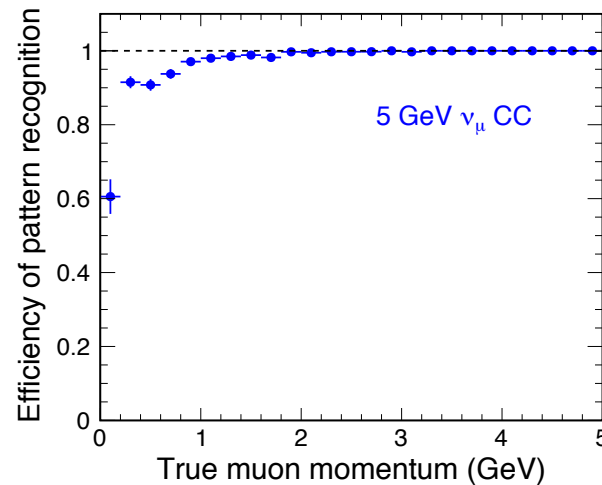
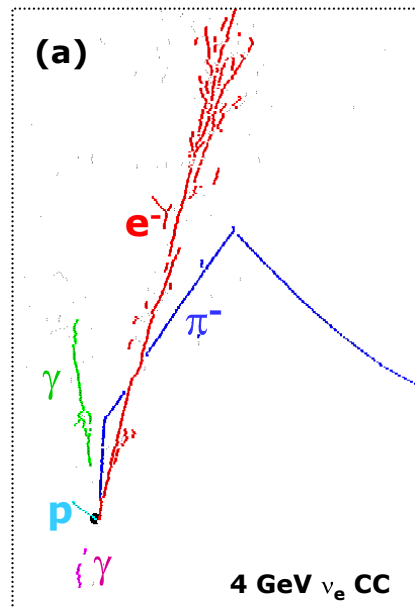
Reconstruction



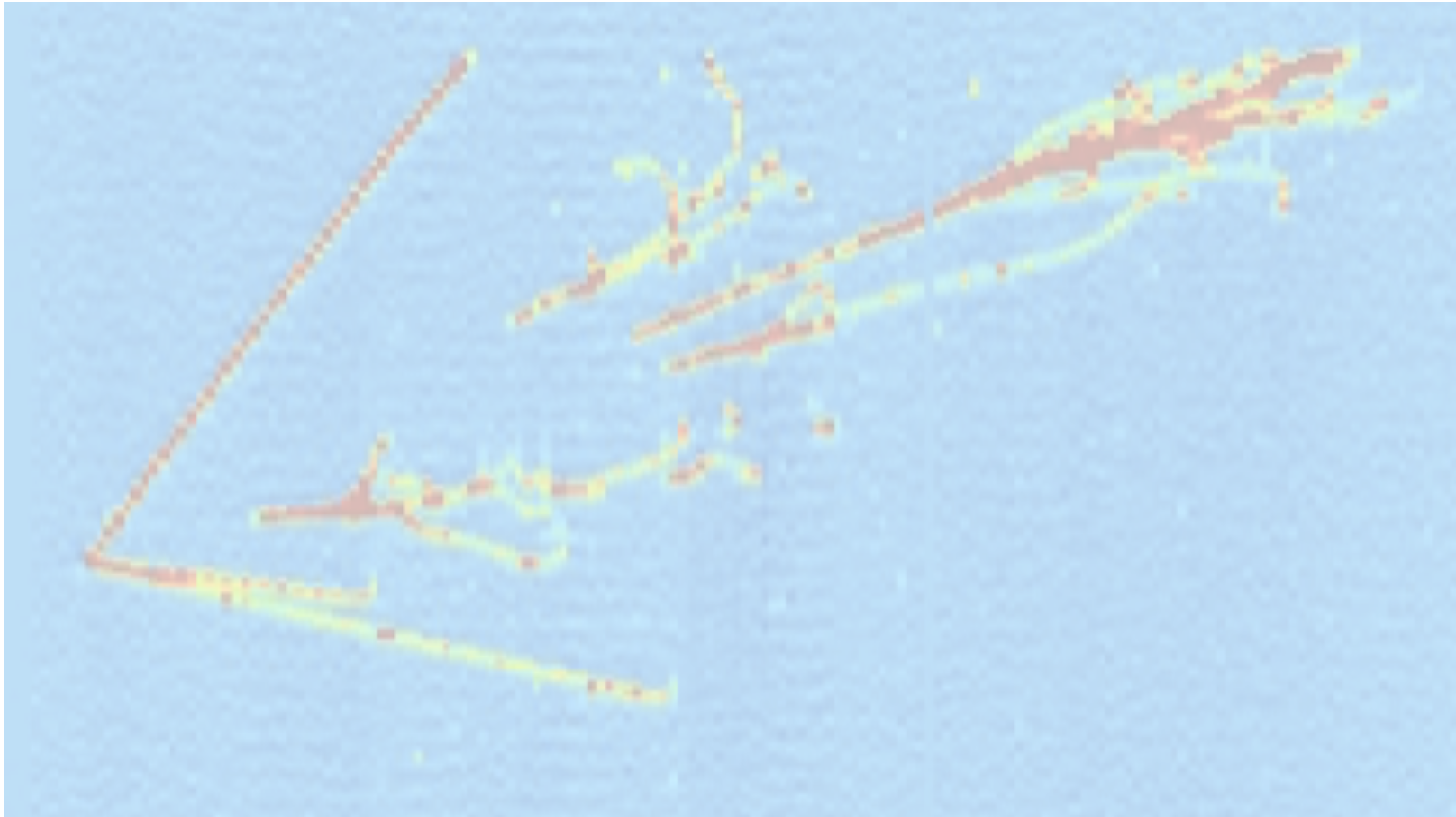
LAr-TPC Reconstruction

Real progress in last year – driven by 35-t & MicroBooNE

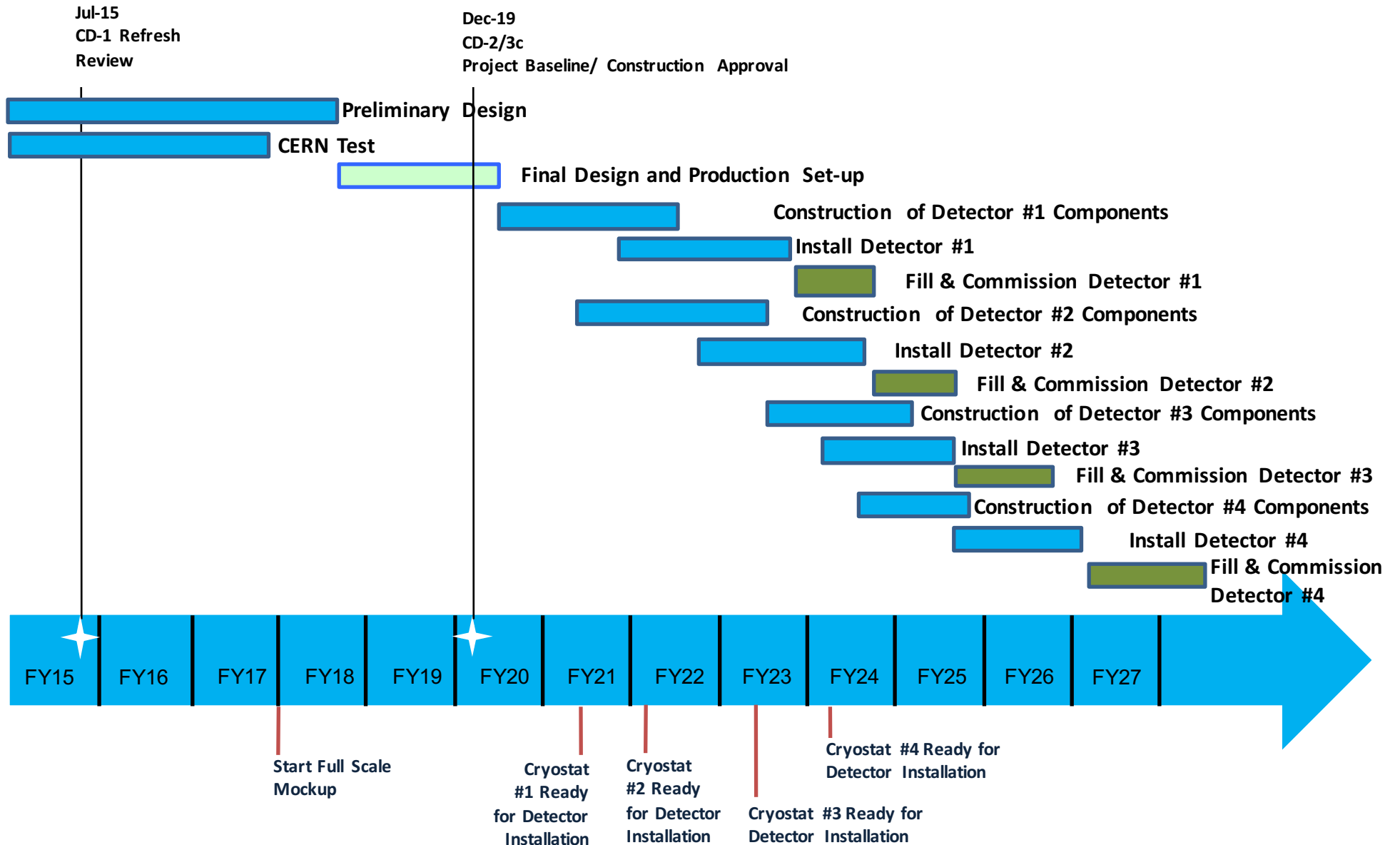
- Full DUNE simulation/reconstruction now in reach



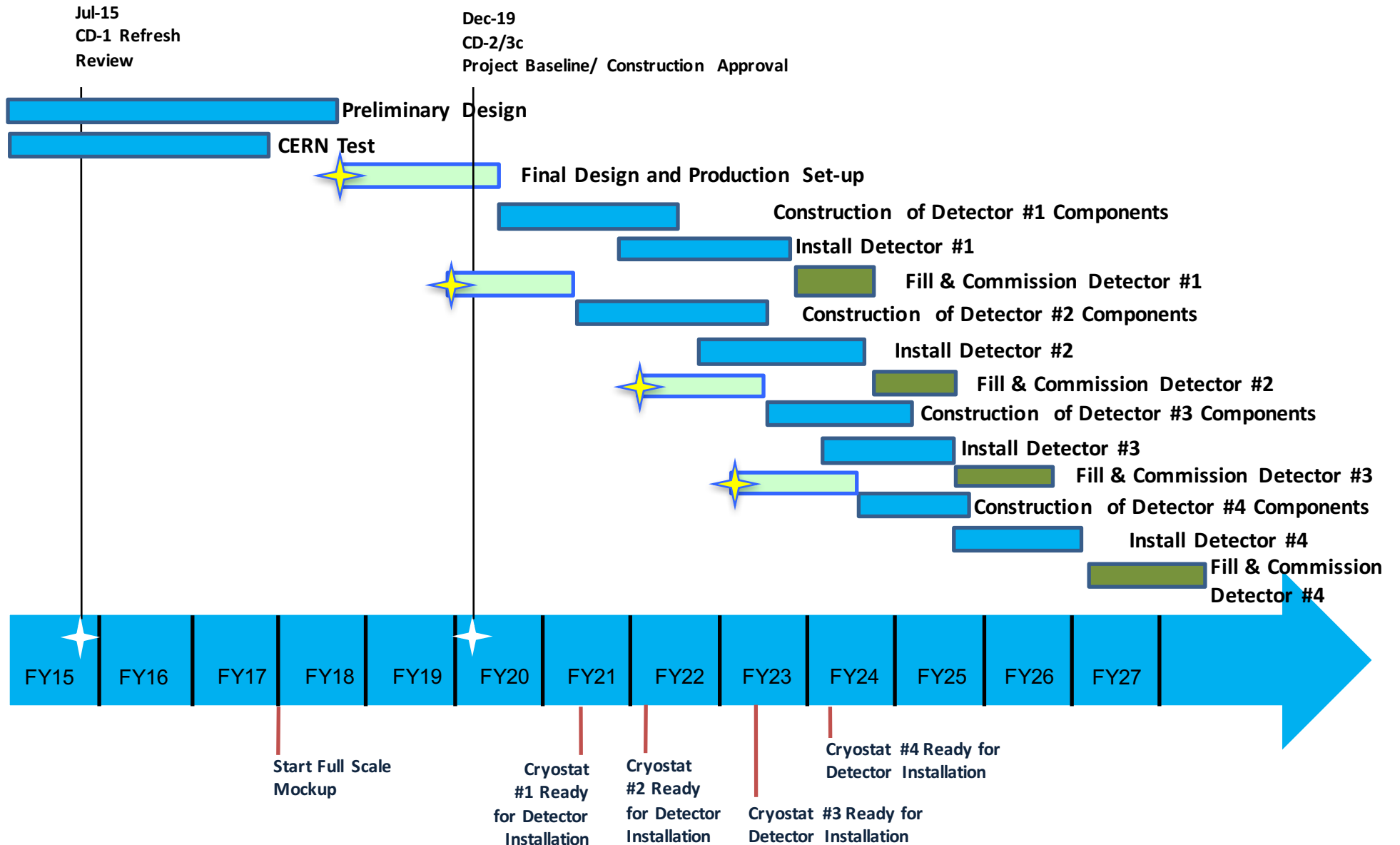
Schedule



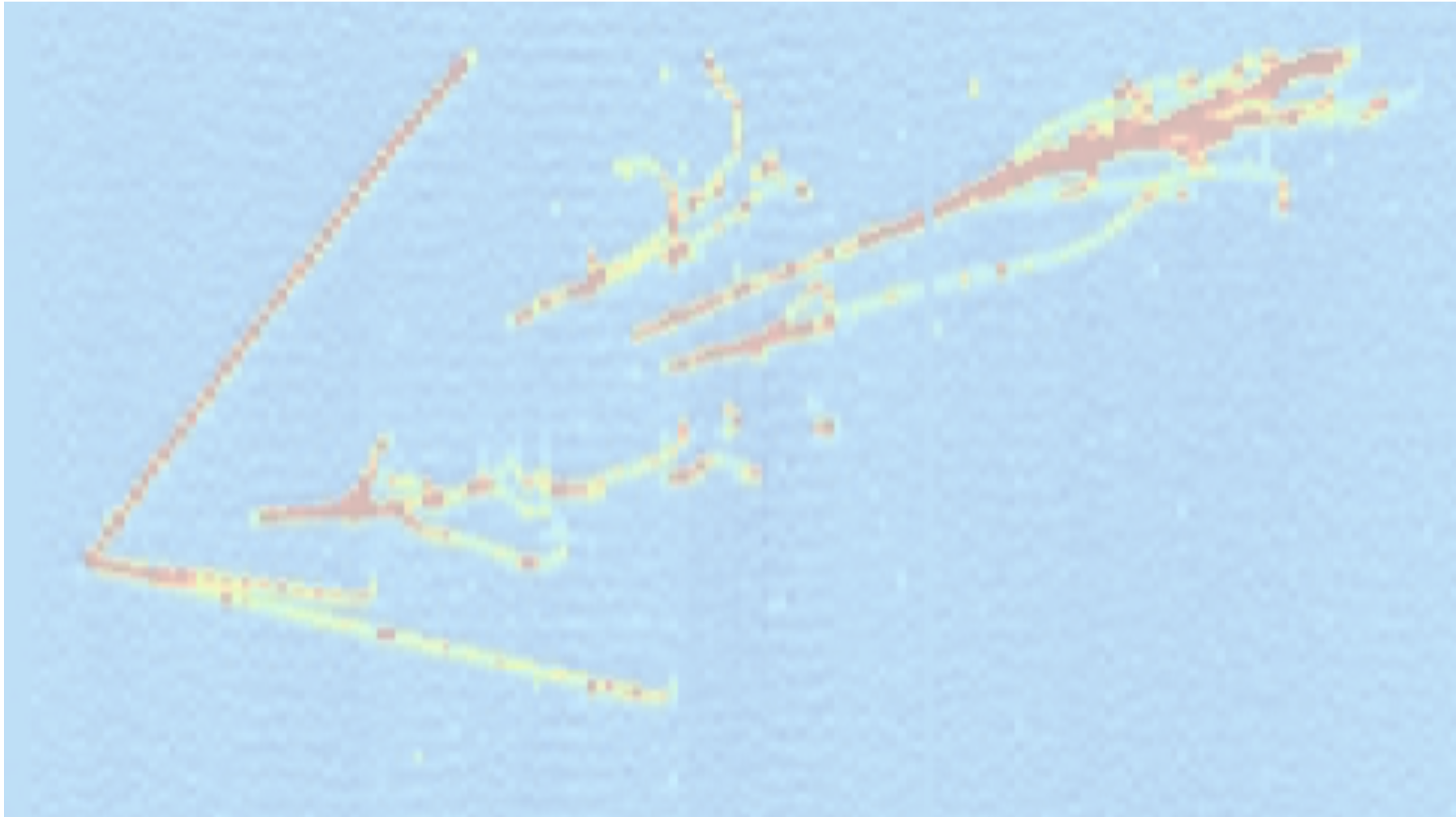
Indicative schedule



Indicative schedule



Calculating Sensitivities



Determining Physics Sensitivities

For Conceptual Design Report

- **Full detector simulation/reconstruction not available**
 - See later in talk for plans
- **For Far Detector response**
 - Use parameterized single-particle response based on achieved/expected performance (with ICARUS and elsewhere)
- **Systematic constraints from Near Detector + ...**
 - Based on current understanding of cross section/hadro-production uncertainties
 - + Expected constraints from near detector
 - in part, evaluated using fast Monte Carlo

Evaluating DUNE Sensitivities I

Many inputs calculation (implemented in GLoBeS):

- **Reference Beam Flux**

- 80 GeV protons
- 204m x 4m He-filled decay pipe
- 1.07 MW
- NuMI-style two horn system

- **Optimized Beam Flux**

- Horn system optimized for lower energies

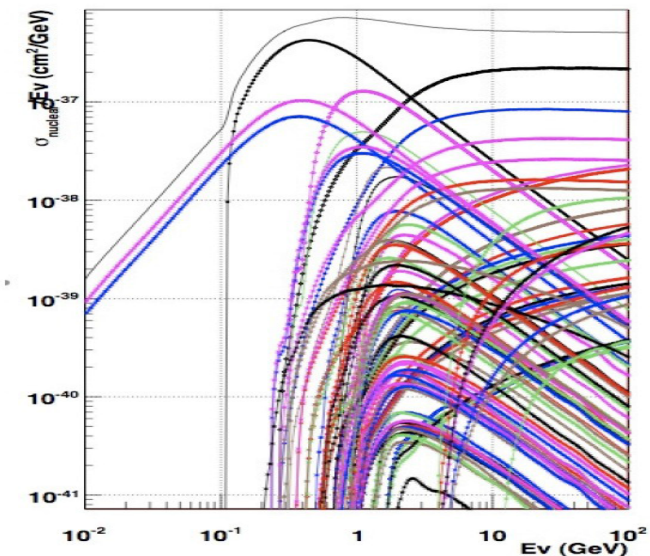
- **Expected Detector Performance**

- Based on previous experience (ICARUS, ArgoNEUT, ...)

- **Cross sections**

- GENIE 2.8.4
- CC & NC
- all (anti)neutrino flavors

Exclusive ν -nucleon cross sections



Evaluating DUNE Sensitivities II

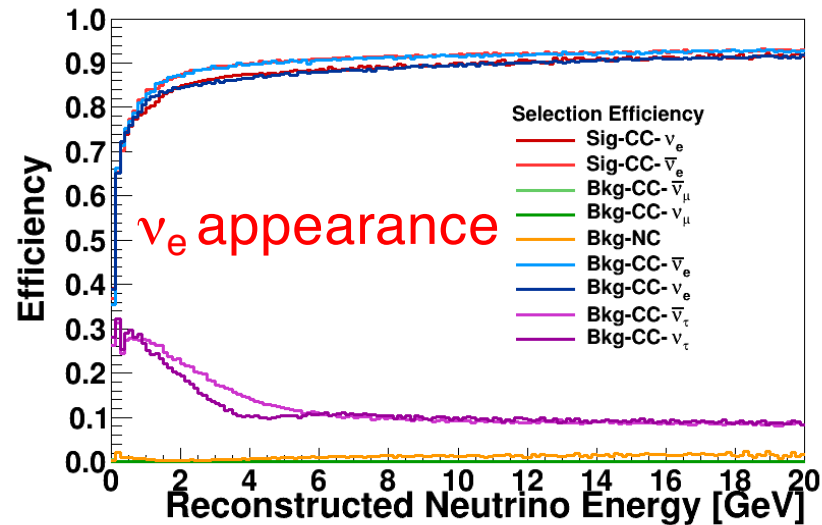
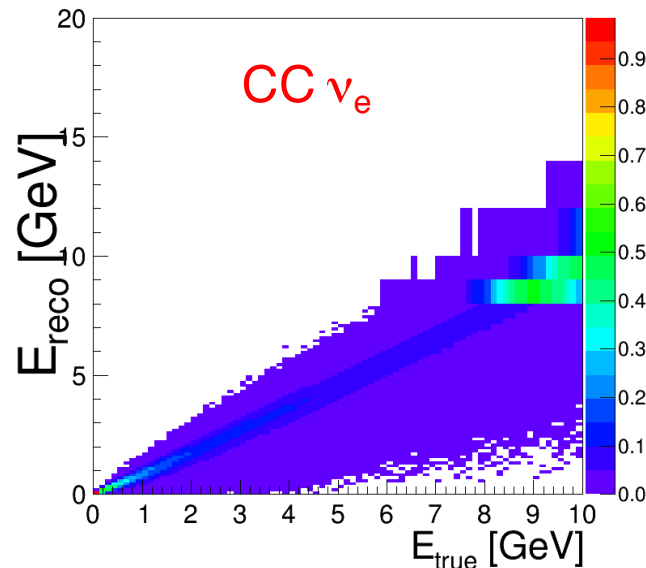
- **Assumed* Particle response/thresholds**
 - Parameterized detector response for individual final-state particles

Particle Type	Threshold (KE)	Energy/momentum Resolution	Angular Resolution
μ^\pm	30 MeV	Contained: from track length Exiting: 30 %	1°
π^\pm	100 MeV	MIP-like: from track length Contained π -like track: 5% Showering/Exiting: 30 %	1°
e^\pm/γ	30 MeV	$2\% \oplus 15\%/\sqrt{(E/\text{GeV})}$	1°
p	50 MeV	p < 400 MeV: 10 % p > 400 MeV: $5\% \oplus 30\%/\sqrt{(E/\text{GeV})}$	5°
n	50 MeV	$440\%/\sqrt{(E/\text{GeV})}$	5°
other	50 MeV	$5\% \oplus 30\%/\sqrt{(E/\text{GeV})}$	5°

*current assumptions to be addressed by FD Task Force

Evaluating DUNE Sensitivities III

- **Efficiencies & Energy Reconstruction**
 - Generate neutrino interactions using GENIE
 - **Fast MC** smears response at **generated final-state particle level**
 - “Reconstructed” neutrino energy
 - kNN-based MV technique used for ν_e “event selection”, parameterized as efficiencies
 - Used as inputs to GLoBES



Evaluating DUNE Sensitivities IV

- **Systematic Uncertainties**

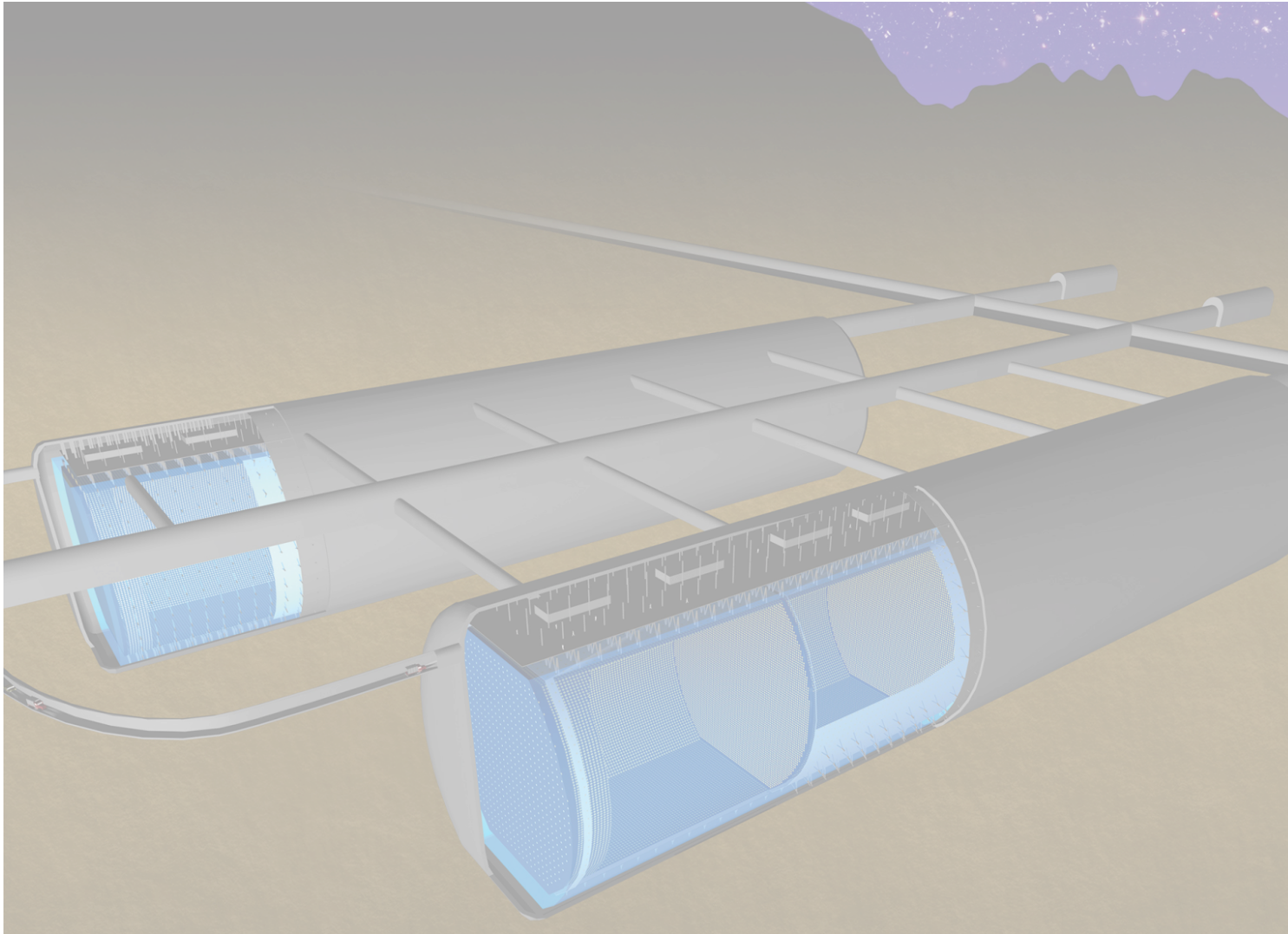
- Anticipated uncertainties based on MINOS/T2K experience
- Supported by preliminary fast simulation studies of ND

Source	MINOS ν_e	T2K ν_e	DUNE ν_e
Flux after N/F extrapolation	0.3 %	3.2 %	2 %
Interaction Model	2.7 %	5.3 %	~ 2 %
Energy Scale (ν_μ)	3.5 %	Inc. above	(2 %)
Energy Scale (ν_e)	2.7 %	2 %	2 %
Fiducial Volume	2.4 %	1 %	1 %
Total	5.7 %	6.8 %	3.6 %

- **DUNE goal for ν_e appearance < 4 %**

- For sensitivities used: 5 % \oplus 2 %
 - where 5 % is correlated with ν_μ & 2 % is uncorrelated ν_e only

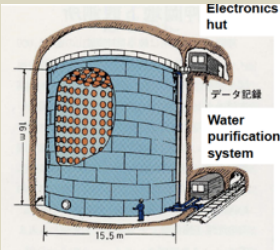
5: Hyper-Kamiokande



Far Detector

Hyper-K is the proposed third generation large water Cherenkov detector in the Kamioka mine

Kamiokande
(1983-1996)




Electronics hut
データ記録
Water purification system

15.5 m
9.1 m

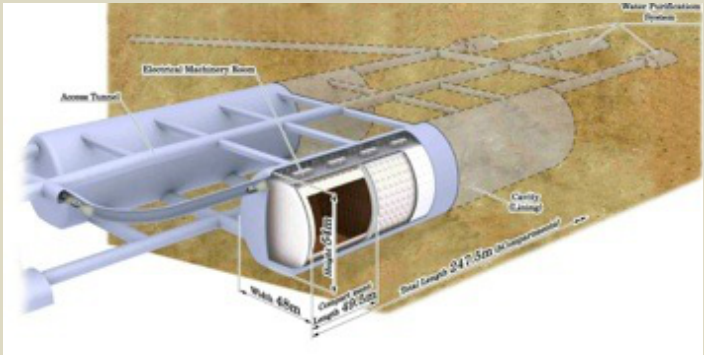
3 kton

Super-Kamiokande
(1996-)



50 kton

Hyper-Kamiokande
(202?-)



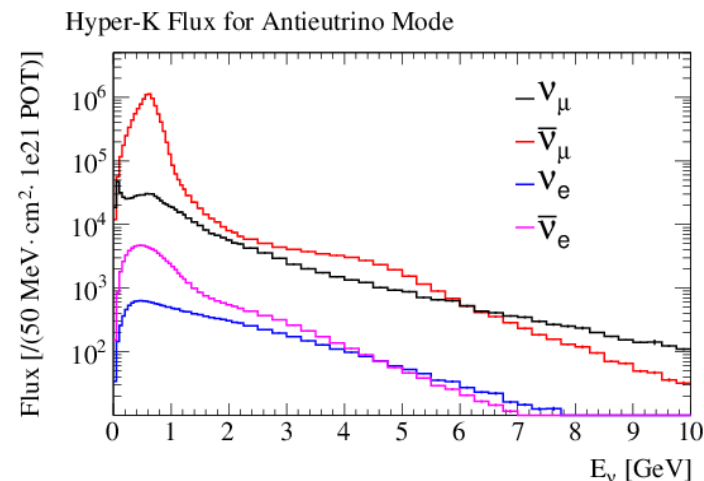
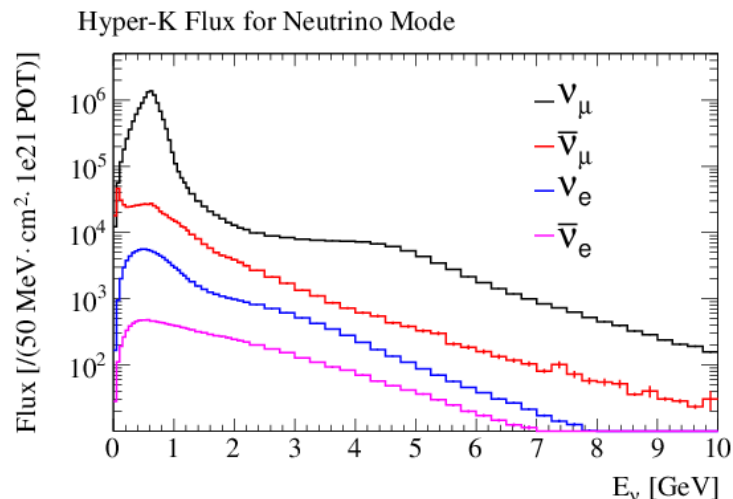
Access Tunnel
Electrical Machinery Room
Water Purification System
Capacity Casing
Width 6.5m
Height 10.9m
Total Length 247.5m (on horizontal plane)

1 Mton

- Inner detector volume = 0.74 Mton
- Fiducial volume = 0.56 Mton
- Photomultiplier tubes: 99,000 20" inner detector & 25,000 8" outer detector

JPARC Beam for Hyper-K

- ★ Upgraded JPARC beam
- ★ At least 750 kW expected at start of experiment
 - Physics studies assume 7.5×10^7 MW.s exposure
 - i.e. 10 years at 750 kW
 - or 5 years at 1.5 MW
 - Beam sharing between neutrinos:antineutrinos = 1 : 3
- ★ Hyper-K is off-axis
 - Narrow-band beam, centered on first oscillation maximum
 - Baseline = 295 km \Rightarrow matter effects are small



Hyper-K Science Goals

Focus on fundamental open questions in particle physics and astro-particle physics:

- **1) Neutrino Oscillations**
 - CPV from J-PARC neutrino beam
 - Mass Hierarchy from Atmospheric Neutrinos
 - Solar neutrinos
- **2) Search for Proton Decay**
 - Particularly strong for decays with π^0
- **3) Supernova burst physics & astrophysics**
 - Galactic core collapse supernova

Hyper-K Science Goals

Focus on fundamental open questions in particle physics and astro-particle physics:

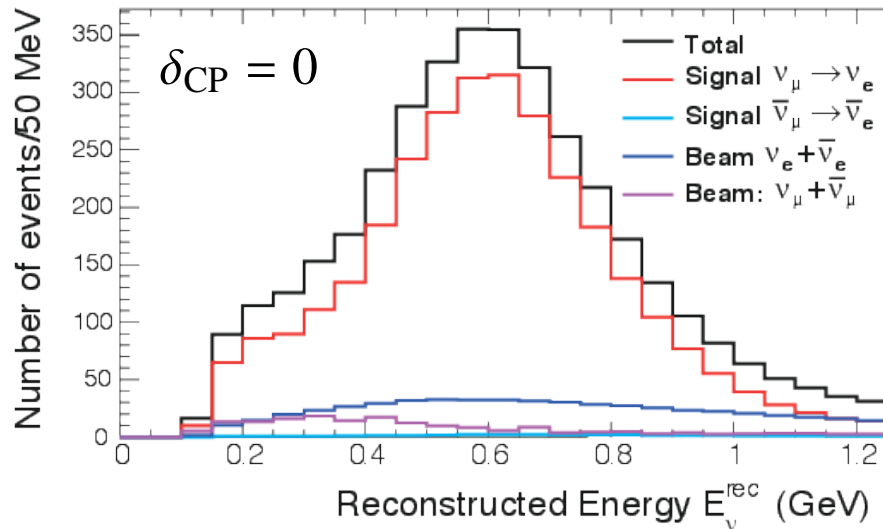
- **1) Neutrino Oscillations**
 - CPV from J-PARC neutrino beam - matter effects are small
 - Mass Hierarchy from Atmospheric Neutrinos
 - Solar neutrinos
 - **2) Search for Proton Decay**
 - Particularly strong for decays with π^0
 - **3) Supernova burst physics & astrophysics**
 - Galactic core collapse supernova, sensitivity to $\bar{\nu}_e$
- ★ Significant complementarity with DUNE physics

Hyper-Kamiokande Physics*

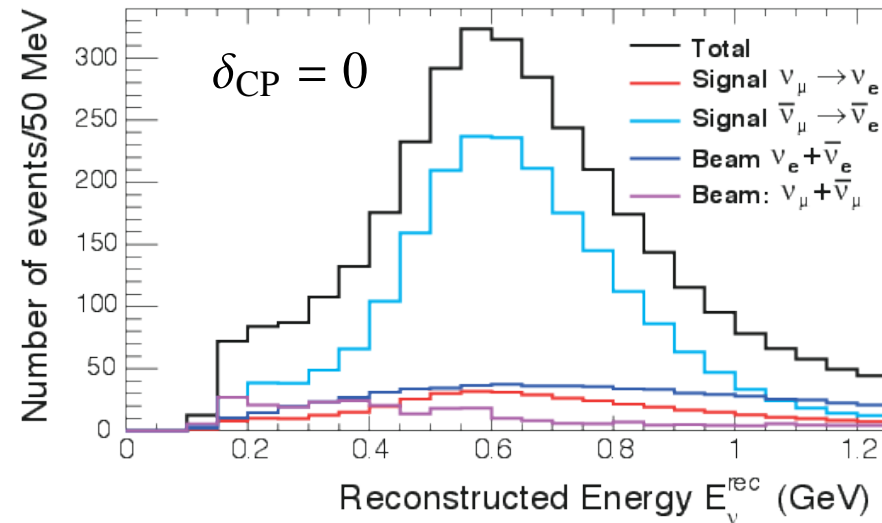
★ High-statistics for $\nu_e/\bar{\nu}_e$ appearance

Beam mode	Signal		Background					Total
	$\nu_{\mu} \rightarrow \nu_e$	$\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$	ν_{μ}	$\bar{\nu}_{\mu}$	ν_e	$\bar{\nu}_e$	NC	
ν_{μ}	3016	28	11	0	503	20	172	3750
$\bar{\nu}_{\mu}$	396	2110	4	5	222	265	265	3397

Appearance ν mode



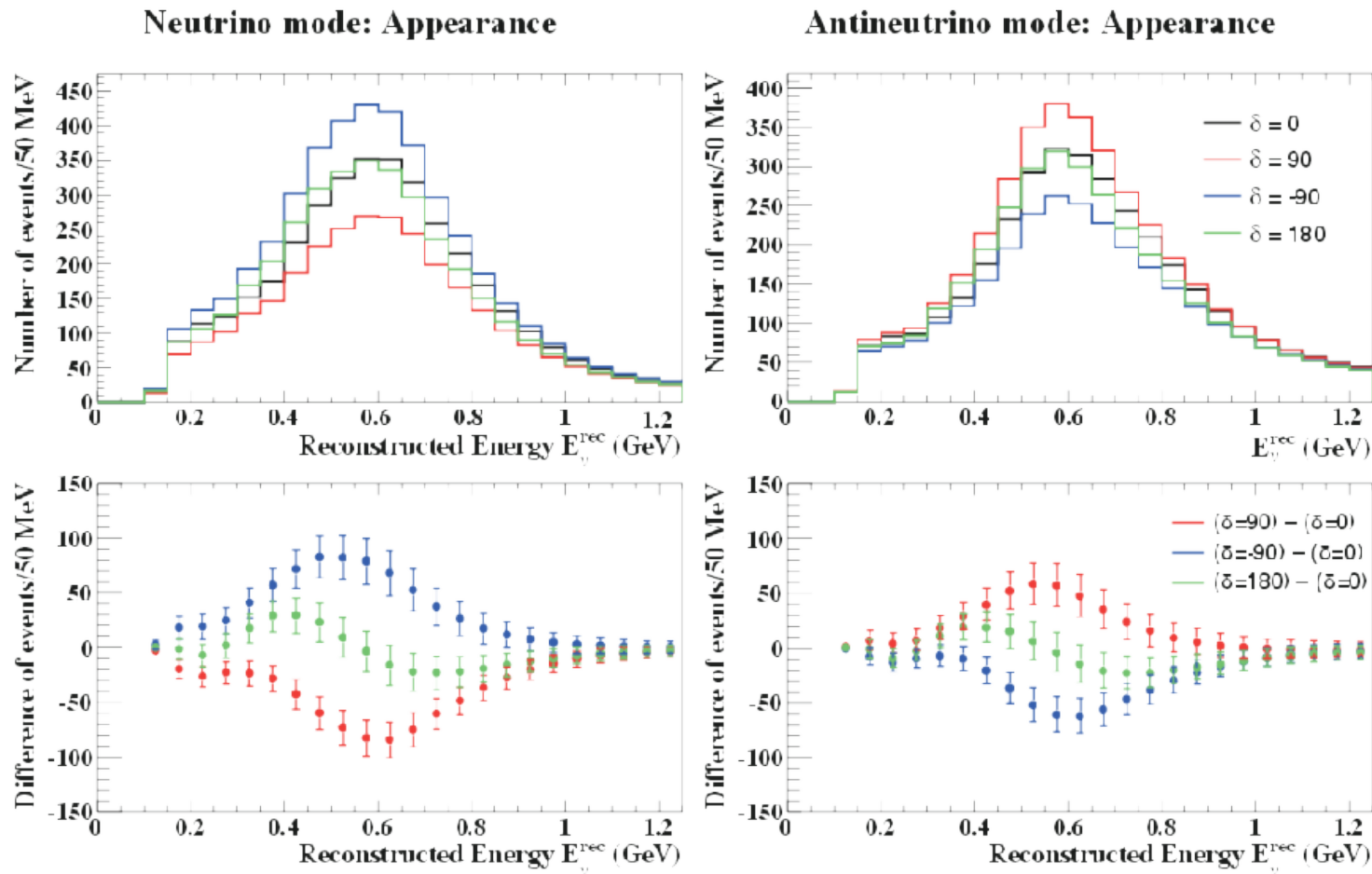
Appearance $\bar{\nu}$ mode



*here focus only on neutrino oscillations

CPV Sensitivity

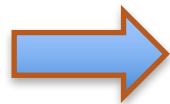
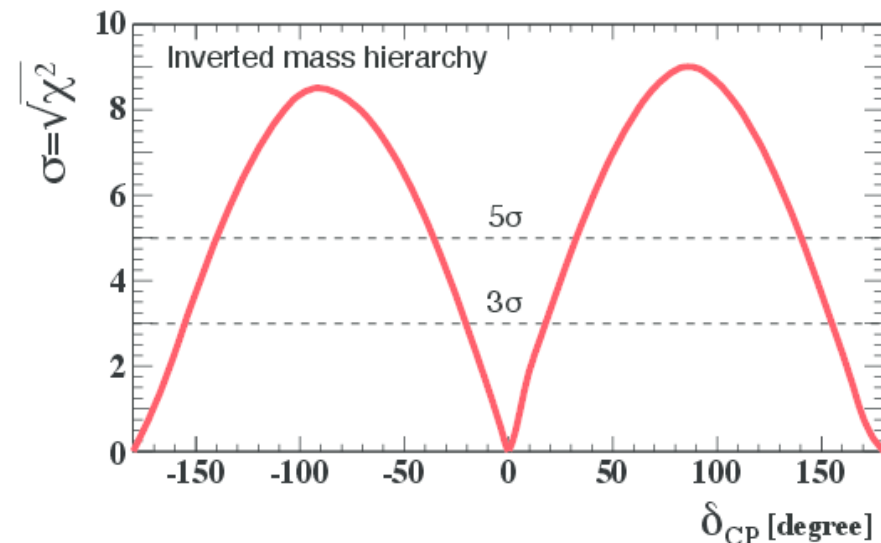
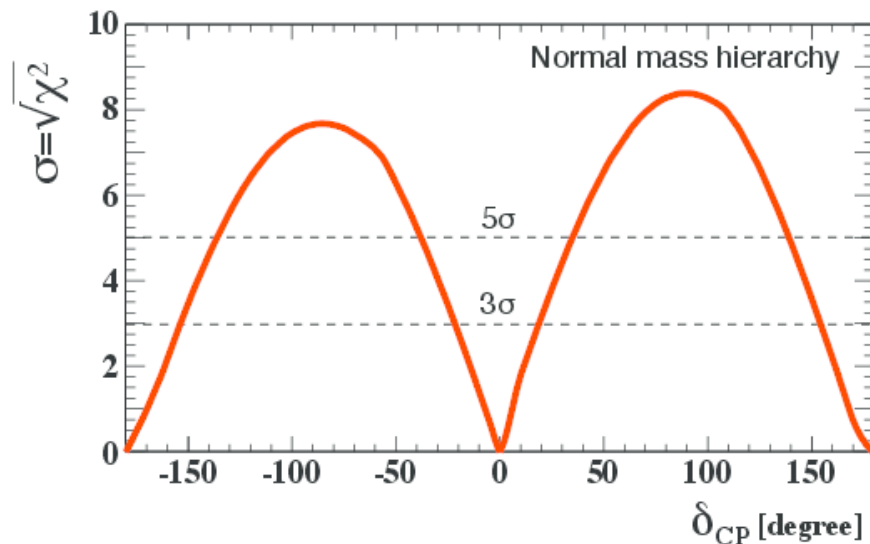
- ★ CPV sensitivity from event counts
 - + some shape information



Hyper-K δ_{CP} Sensitivity

★ CPV sensitivity based on:

- 10 years @ 750 kW or 5 years at 1.5 MW
- Assume MH is already known



★ CPV coverage:

- 76 % at 3σ
- 58 % at 5σ