

# **Recent results and prospects from the NA62 experiment at CERN** Karim Massri – CERN

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Particle physics seminar – Birmingham – 12/02/2020



### $K^+ \rightarrow \pi^+ \nu \overline{\nu}$ : Motivations & Theory



high sensitivity • FCNC forbidden at tree level: 1-loop contributions as leading order • Highly CKM suppressed: BR(K<sup>+</sup> $\rightarrow \pi^+ \nu \nu) \sim |V_{tc}^* V_{td}|^2 \sim \lambda^{10}$ to new physics

- Dominated by short-distance contribution (top quark)
- *t* quark contribution @ NLO QCD and 2-loop EW corrections, high-precision c quark @ NNLO QCD and 1-loop EW corrections theoretical prediction
- Hadronic matrix element from BR( $K^{\pm} \rightarrow e^{\pm} \pi^{0} \nu$ )

• Measurement of  $|V_{td}|$  complementary **SM prediction:**  $BR(K^+ \to \pi^+ \nu \overline{\nu}) = (0.84 \pm 0.10) \times 10^{-10}$ to those from B–B mixing or  $B^0 \rightarrow \rho \gamma$ Buras et al., JHEP 1511 (2015) 033. • Constraints on CKM unitary triangle 12/02/2020

The  $K^+ \rightarrow \pi^+ \nu \overline{\nu}$  decay

### K<sup>+</sup> $\rightarrow \pi^+ \nu \overline{\nu}$ : New Physics scenarios

- Custodial Randall-Sundrum [Blanke *et al.*, JHEP 0903 (2009) 108]
- MSSM analyes [Blazek and Matak, Int. J. Mod. Phys. A 29 (2014) 1450162] [Isidori *et al.*, JHEP 0608 (2006) 064]
- Simplified Z, Z' models [Buras, Buttazzo, Knegjens, JHEP 1511 (2015) 166]
- Littlest Higgs with T-Parity [Blanke et al., EPJ C76 (2016) 182]
- LFU violation models [Isidori et al., EPJ C77 (2017) 618]
- Constraints from existing measurements (correlations model dependent) Kaon mixing, CKM elements, K, B rare meson decays, NP limits from direct searches



The  $K^+ \rightarrow \pi^+ \nu \overline{\nu}$  decay

### $K^+ \rightarrow \pi^+ \nu \overline{\nu}$ : Experimental Results



NA 62



NA62 is the last from a long tradition of fixed-target Kaon experiments in the CERN North Area

| A State of the second | History of NA48/NA62 experiments |  |  |
|--|----------------------------------|--|--|
| France   | 1997<br>↓<br>2001                | NA48 (K <sub>s</sub> /K <sub>L</sub> ) | Re ε'/ε<br>Discovery of<br>direct CPV  |
| CERN North area  | 2002                             | NA48/1<br>(K <sub>s</sub> /hyperons)   | Rare K <sub>s</sub> and hyperon decays   |
| Meyrin   | 2003<br>↓<br>2004                | NA48/2 (K⁺/K⁻)                         | Direct CPV,<br>Rare K⁺/K⁻<br>decays  |
| LHC  | 2007<br>↓<br>2008                | NA62-R <sub>ĸ</sub> (K⁺/K⁻)            | $R_{K} = K_{e2}^{\pm}/K_{\mu2,\mu2}^{\pm}$<br>Rare K <sup>+</sup> /K <sup>-</sup><br>decays  |
| Switzerland  | 2016<br>↓<br>-                   | NA62 (K⁺)                              | $\begin{array}{c} K^{*} \rightarrow \pi^{*} \nu \overline{\nu}, \\ \text{Rare } K^{*} \text{ and } \pi^{0} \\ \text{ decays } \end{array}$ |

NA62: currently ~ 200 participants, 29 institutions from 12 countries

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The NA62 experiment



### $K^+$ →π<sup>+</sup>νν in NA62: strategy



The NA62 experiment



### The NA62 beam and detector



#### Secondary hadron beam:

- Composition: K<sup>+</sup> (6%) /  $\pi^+$  (70%) / p (24%)
- $p = 75 \text{ GeV/c}, \Delta p/p \sim 1\%$
- 100 µrad divergence (RMS)
- $60 \times 30 \text{ mm}^2$  transverse size
- Intensity: 750 MHz (45 MHz K<sup>+</sup>)

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#### **Decay region:**

- 60 m long fiducial volume
- Vacuum ~  $O(10^{-6} \text{ mbar})$
- ~ 5 MHz K<sup>+</sup> decay rate



### The NA62 beam and detector



#### ...and this is how it really looks!

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### The 2016–2018 Data Sample

Full 2016-2018 sample:

~  $5 \times 10^{12}$  kaon decays, ~ 3 PB of raw data on disk

O(10) trigger streams, broad physics reach



### **Signal selection**





# **NA62 Keystone 1: Precise tracking**



Search for the  $K^+ \rightarrow \pi^+ \nu \overline{\nu}$  decay

## 

### NA62 Keystone 2: Particle ID

#### Calorimetric PID

- Machine learning approach (BDT)
  - Energy deposition
  - Energy sharing
  - Shower shape profiles

#### RICH PID

- Track-driven likelihood discriminant for p/m/e separation
- Particle mass using track momentum



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 $\varepsilon_{\pi^0} = \frac{N(\text{after } \gamma \text{-rejection}, \pi \nu \nu \text{ stream})}{N(\text{before } \gamma \text{-rejection}, \min \text{ bias}) \cdot D}$ 



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### NA62 Keystone 4: Precise timing



Time calibration stability

- Excellent automatic time calibration at the processing level
- Stable central value and time resolution (within few ps)
- Single-detector time resolution ~ 90 ps



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### **Single Event Sensitivity (SES)**



**NA62** 

 $K^+$ → $\pi^+$  $\pi^0$ (γ) background



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 $K^+$ → $\mu^+$ ν(γ) background







### $K^+$ → $\pi^+$ $\pi^-$ e<sup>+</sup>ν ( $K_{e^4}$ ) background



- Background estimated with  $2 \times 10^9$  MC generated K<sup>+</sup> $\rightarrow \pi^+\pi^-e^+\nu$  decays
- Good agreement across the 4 validation samples

$$N_{Ke4}^{bkg} = 0.12 \pm 0.05_{stat} \pm 0.03_{syst}$$



### **Upstream background**

- Decays along the beam line; beam particle interactions in GTK
- Random track matched in GTK and/or possible additional energy not detected



- Data driven background estimation
- Good agreement across the 7 validation samples

$$N_{upstream}^{bkg} = 0.9 \pm 0.2_{stat} \pm 0.2_{syst}$$



### **Total expected background**

| Process  | Expected events                        |
|--|--|
| $K^+ \to \pi^+ \nu \overline{\nu} \ (SM)$            | $2.16 \pm 0.12_{stat} \pm 0.26_{ext}$  |
| $K^+ \to \pi^+ \pi^0(\gamma)$ IB                     | $0.29 \pm 0.03_{stat} \pm 0.03_{syst}$ |
| $K^+ \to \mu^+ \nu_\mu(\gamma) $ IB                  | $0.11 \pm 0.02_{stat} \pm 0.03_{syst}$ |
| $K^+ \to \mu^+ \nu_\mu (\mu^+ \to e^+ \text{decay})$ | $0.04 \pm 0.02_{syst}$                 |
| $K^+ \to \pi^+ \pi^- e^+ \nu_e$                      | $0.12 \pm 0.05_{stat} \pm 0.03_{syst}$ |
| $K^+ \to \pi^+ \pi^- \pi^+$                          | $0.02 \pm 0.02_{syst}$                 |
| $K^+ \to \pi^+ \gamma \gamma$                        | $0.005 \pm 0.005_{syst}$               |
| $K^+ \to l^+ \pi^0 \nu_l$                            | negligible                             |
| Upstream background                                  | $0.9 \pm 0.2_{stat} \pm 0.2_{syst}$    |
| Total background                                     | $1.5 \pm 0.2_{stat} \pm 0.2_{syst}$    |



**Results** 







**Results** 

#### Opening the box..







#### **2016+2017 NA62 result (3 candidates):** BR(K<sup>+</sup> $\rightarrow \pi^+ \nu \overline{\nu}$ ) < 1.85×10<sup>-10</sup> @ 90% CL BR(K<sup>+</sup> $\rightarrow \pi^+ \nu \overline{\nu}$ ) = 0.47<sup>+0.72</sup><sub>-0.47</sub>×10<sup>-10</sup>



![](_page_23_Picture_1.jpeg)

![](_page_23_Picture_2.jpeg)

![](_page_23_Figure_3.jpeg)

*Wider programme – Lepton universality test* 

### Lepton universality test

![](_page_24_Figure_2.jpeg)

Best measurement from NA62 2007 data (NA48/2 detector):  $R_{K} = \Gamma(K_{e2})/\Gamma(K_{\mu 2}) = (2.488 \pm 0.007_{stat} \pm 0.007_{syst}) \times 10^{-5}$ 

Phys. Lett. B 719 (2013) 326

VA6

Wider programme – Lepton universality test

### Lepton universality test

![](_page_25_Figure_2.jpeg)

![](_page_25_Figure_3.jpeg)

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Wider programme – Search for Heavy Neutral Leptons

#### Search for Heavy Neutral Leptons Search for Heavy Neutral Leptons

![](_page_26_Figure_2.jpeg)

### Invisible Vector Boson from $\pi^0$ decay

K<sup>+</sup> $\rightarrow \pi^{+}\pi^{0}, \pi^{0} \rightarrow A'\gamma, A' \rightarrow invisible$ 

Journal of High Energy Physics, Volume 2019, Issue 05, page 182

Minimal A' scenario: 
$$\operatorname{BR}\left(\pi^{0} \to A'\gamma\right) = 2\epsilon^{2}\left(1 - \frac{m_{A}^{2}}{m_{\pi^{0}}^{2}}\right)^{3} \times \operatorname{BR}\left(\pi^{0} \to \gamma\gamma\right)$$

Data from 2016, ~ 412 M  $\pi^0$ s tagged from K2 $\pi$  decays (1% of full data set) Peak search around  $m_{A'}^2$  in the  $M_{miss}^2 = (p_K - p_{\pi} - p_{\gamma})^2$ 

![](_page_27_Figure_6.jpeg)

Prospects with full data set: expected yield increased by O(10)

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Wider programme – LNV/LFV

## **Lepton Number/Lepton Flavour violation**

![](_page_28_Figure_2.jpeg)

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![](_page_29_Picture_1.jpeg)

### Prospects – 2016-2018 data set

- $K^+ \rightarrow \pi^+ \nu \overline{\nu}$ 
  - 2018 data analysis in progress (~2 x 2017 data)
  - Wider final collimator installed during 2018, lower upstream background
  - On-going studies to increase signal efficiency
  - Optimization of particle identification and kinematic selection
  - Improvement in kaon-pion association algorithm

With improvement factor, expect ~10 SM events in 2016-2018 data

- Broader physics programme
  - Rare & forbidden decay analyses with full 2016-2018 sample in progress
  - Substantial improvements expected in HNL, LNV/LFV and exotic searches

### Prospects – Next run (2021-2024)

#### Addendum I to P326 submitted in October 2019 to SPSC

ADDENDUM I TO P326 Continuation of the physics programme of the NA62 experiment

to continue NA62 data taking during the period after CERN Accelerators Long Shutdown 2 (LS2) and before Long Shutdown 3 (LS3)

- O(50) K<sup>+</sup> $\rightarrow \pi^+ \nu \overline{\nu}$  events expected
- BR(K<sup>+</sup> $\rightarrow \pi^+ \nu \overline{\nu}$ ) with ~ 20% accuracy
- O(10) SES increase on several rare and forbidden kaon decays

The NA62 Collaboration

Abstract

The NA62 experiment took data successfully in 2016-2018, and has proven that the novel decay-in-flight technique employed for ultra-rare kaon decays works. The NA62 Collaboration proposes to continue the data taking of the experiment during the period after CERN Accelerators Long Shutdown 2 (LS2) and before Long Shutdown 3 (LS3). The continuation will allow NA62 to perform a measurement of the branching ratio of the ultra-rare kaon decays  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  with significantly improved accuracy, to substantially increase its sensitivity on several rare and forbidden kaon decays, and to reach unprecedented sensitivity in the investigation of several Standard Model (SM) extensions involving faintly interacting long-lived particles.

#### SPSC recommended approval of NA62 operation after LS2 (Data taking in 2021 has been approved)

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![](_page_30_Picture_15.jpeg)

![](_page_31_Picture_1.jpeg)

#### **Planned actions:**

- Take data at higher intensity, aiming for 100% nominal
- Reduce background contamination:
  - add GTK4: better track fitting with higher efficiency, identify pileup tracks
  - modify beam line and add anti-counter to reduce upstream background
  - new HASC module to improve background rejection
- Reduce random veto, improving treatment of accidental activity and exploiting detector correlations
- Increase signal acceptance further (e.g. different selections for 2 SRs)

![](_page_32_Picture_1.jpeg)

![](_page_32_Picture_2.jpeg)

#### Wide programme of rare and forbidden processes

Trigger and DAQ improvements will allow reduction of pre-scaling factors and collection of even larger data samples

Enter a new high precision era, down to  $10^{-12}$ 

| Rare decays                  | $K^+ \rightarrow \pi^+ e^+ e^- / K^+ \rightarrow \pi^+ \mu^+ \mu^-, K^+ \rightarrow \pi^+ \gamma \gamma$                                       |
|------------------------------|--|
|                              | $K^+ \rightarrow e^+ \nu(\gamma), R_K = \Gamma(K^+ \rightarrow e^+ \nu) / \Gamma(K^+ \rightarrow \mu^+ \nu)$                                   |
| Forbidden LFV - LNV          | $\mathrm{K^+} \rightarrow \pi^- \mathrm{e^+ e^+} / \mathrm{K^+} \rightarrow \pi^- \mu^+ \mu^+, \ \mathrm{K^+} \rightarrow \pi^+ \mu^\pm e^\mp$ |
| Production of dark particles | $\mathrm{K^+} \rightarrow \pi^+ \mathrm{X}$ , $\mathrm{K^+} \rightarrow \mu^+ \mathrm{N}$ / $\mathrm{K^+} \rightarrow \mathrm{e^+ N}$          |

#### + Control of systematics with data-driven methods:

Collect special samples to address specific effects and background sources

Prospects – Medium Term

![](_page_33_Picture_1.jpeg)

### Prospects – Next run (2021-2024)

#### NA62 in dump mode

![](_page_33_Figure_4.jpeg)

Extend Dark Particle mass range beyond  $m_{\kappa}$  (D, B associated production)

Collect 10<sup>18</sup> Protons On Target before LS3 New ANTIO under construction to veto muons produced in TAX

Possibility to increase beam intensity beyond nominal being investigated

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### Prospects – Next run (2021-2024)

#### NA62 in dump mode

Sensitivity studies included in Physics Beyond Collider initiative

![](_page_34_Figure_4.jpeg)

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Prospects – Long Term

![](_page_35_Picture_1.jpeg)

### **Prospects – After 2026**

# Jorgen D'Hondt (Chair of the European Committee for Future Accelerators), from the talk "EU Strategy scenario" (https://indico.cern.ch/event/845054/)

![](_page_35_Figure_4.jpeg)

![](_page_36_Picture_1.jpeg)

### **Prospects – After 2026**

**Long-Term Future** and the big picture: high intensity kaon beams beyond 2026  $BR(K^+ \rightarrow \pi^+ \nu \overline{\nu})$  with precision <10% can test New Physics up to O(100 TeV) in a model-independent way

Measuring both charged and neutral modes can give insight about the new physics flavour structure

The most intense kaon facility: 4 x K<sup>+</sup>, 6 x K<sub>L</sub> intensity

Limited by radioprotection issues Large commonality of upgrades required, two aspects/phases of the same kaon facility

The decay-in-flight techniques works for  $K^+ \rightarrow \pi^+ \nu \overline{\nu}$ But setup needs to be made resilient to intensity effects

Challenges: Time resolution O(<40 ps), high flux of particles  $\rightarrow$  R&D synergy with LHC HiLumi

Prospects – Long Term

![](_page_37_Picture_1.jpeg)

### **Prospects – After 2026**

#### **Broader physics programme**

| Charged Kaons                |   |  |
|------------------------------|---|--|
| Rare decays                  | $K^{\pm} \rightarrow \pi^{\pm} \gamma \gamma, \ K^{\pm} \rightarrow \pi^{\pm} e^+ e^- / K^{\pm} \rightarrow \pi^{\pm} \mu^+ \mu^-$<br>$K^{\pm} \rightarrow \pi^{\pm} 1^{\pm} 1^- \ CPV \ charge asymptotic A$ |  |
|                              | $K^- \rightarrow R^- \Gamma^+ \Gamma^- CPV$ charge asymmetry, $A_{FB}$  |  |
|                              | $R_{\rm K} = \Gamma({\rm K}^{\pm} \to {\rm e}^{\pm}\nu) / \Gamma({\rm K}^{\pm} \to \mu^{\pm}\nu)$   |  |
| Forbidden LFV - LNV          | $\mathrm{K}^{\pm} \rightarrow \pi^{\mp} \mathrm{e}^{+} \mathrm{e}^{+}  /  \mathrm{K}^{+} \rightarrow \pi^{\mp} \mu^{+} \mu^{+}, \ K^{\pm} \rightarrow \pi^{\pm} \mu^{\pm} e^{\mp}$                            |  |
| Production of dark particles | $\mathrm{K^+} \rightarrow \pi^+ \mathrm{X}$ , $\mathrm{K^\pm} \rightarrow \mu^\pm \mathrm{N}$ / $\mathrm{K^\pm} \rightarrow \mathrm{e^\pm N}$   |  |

#### Neutral Kaons

| Rare decays           | $K_L \rightarrow \pi^0 e^+ e^-$ / $K_L \rightarrow \pi^0 \mu^+ \mu^-$ , $K_L \rightarrow \pi^0 \gamma \gamma$ |
|-----------------------|---|
|                       | $K_L \rightarrow \mu^+ \mu^-, K_L \rightarrow e^+ e^- e^+ e^-, K_L \rightarrow e^+ e^- \mu^+ \mu^-$           |
|                       | $K_L \rightarrow \gamma \gamma e^+ e^-$   |
| Production of exotics | $K_L \rightarrow \pi^0 \pi^0 X$   |
| Forbidden LFV - LNV   | $K_L \rightarrow \pi^0 e^{\pm} \mu^{\mp}, K_L \rightarrow \mu^{\pm} e^{\mp}$                                  |

#### White paper in preparation

![](_page_38_Picture_1.jpeg)

### Conclusions

Kaon physics is still a very sensitive probe for New Physics

#### • NA62 measurement of $K^+ \rightarrow \pi^+ \nu \overline{\nu}$

- -2016+2017 result released: BR(K<sup>+</sup> $\rightarrow \pi^+ \nu \overline{\nu}) < 1.85 \times 10^{-10}$  @ 90% CL
- Constraints on the largest enhancements allowed by NP models
- 2018 data analysis on-going
- Excellent prospects for after LS2

#### • Broader NA62 physics programme:

- Lepton universality  $(R_{K})$
- LNV/LFV kaon decays
- Search for exotic particles (HNL, Dark photon, ALPs)

#### • Prospects:

- Request for extended data taking (2021  $\rightarrow$  2024) well received
- Data taking in 2021 has already been approved
- Next generation Kaon beams: a powerful tool to break the Standard Model
- Programme of  $K^+$  and  $K_L$  experiments at CERN

![](_page_39_Picture_0.jpeg)

# **The K12 high-intensity K<sup>+</sup> beam line**

![](_page_40_Picture_2.jpeg)

#### **Primary SPS proton beam:**

p = 400 GeV protons  $3 \times 10^{12} \text{ protons/pulse} (3 \times \text{NA48/2})$ Duty factor ~ 0.3 Expected similar to 4.8s/16.8s duty cycle for NA48/2 Simultaneous operation of LHC and fixed target experiments

#### High Intensity, unseparated secondary beam:

Momentum selection chosen to optimise K decays  $P = 75 \text{ GeV} (1.4 \times \text{more K}^+ \text{ than NA48/2 at same proton beam intensity})$ 

 $\Delta p/p \sim 1\% (3 \times \text{smaller than NA48/2})$ 

Total Rate 750 MHz  $\begin{cases} 525 \text{ MHz } \pi \\ 170 \text{ MHz } p \\ 45 \text{ MHz } K \end{cases}$ 

### **Beam Timing and Kaon Identification**

![](_page_41_Figure_2.jpeg)

#### **CEDAR/KTAG** characteristics:

- Differential Cherenkov, 1.1 m<sup>3</sup> of Hydrogen @ 3.7 bar as radiator
- 8 light boxes with 48 PMTs each
- Kaon Time resolution < 100 ps
- Kaon Tagging efficiency > 95%
- Pion Mis-ID probability < 0.001

#### **CEDAR/KTAG** working conditions:

- Kaon Rate (average) ~ 45 MHz
- Rate on single PMT ~ 4 MHz

Wrong matching  $\rightarrow 3 \times$  increase in  $\sigma(m_{miss})$ 

![](_page_41_Picture_13.jpeg)

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![](_page_42_Picture_1.jpeg)

### **The Tracking detectors**

![](_page_42_Figure_3.jpeg)

 $0.45 X_0$  per chamber

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 $\sigma_{\theta}(K\pi) = 20-50 \ \mu rad$ Karim Massri – Particle physics seminar – Birmingham

![](_page_43_Picture_1.jpeg)

#### Hermetic Photon Vetoes up to 50 mrad

LAV: 12 counters surrounding the vacuum tank providing full coverage for photons at large angles

LKr: Electromagnetic calorimeter built for the NA48 experiment for the photon veto in the forward region

IRC/SAC: Photon veto at small angles

![](_page_43_Picture_6.jpeg)

![](_page_43_Picture_7.jpeg)

NA62

 $K^{+} \rightarrow \pi^{+}\pi^{0}$  Photons:

81.2%: 2 in LKr/SAC
18.6%: 1 in LKr/SAC +

1 in LAV
0.2%: 1 in LAV +

lost (θ>50mrad)

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![](_page_44_Picture_1.jpeg)

### The LKr calorimeter

![](_page_44_Picture_3.jpeg)

Quasi-homogeneous ionisation calorimeter Readout towers  $2 \times 2 \text{cm}^2 = 13248$  channels Depth 127 cm = 27 X<sub>0</sub>

![](_page_44_Picture_5.jpeg)

![](_page_44_Picture_6.jpeg)

$$\frac{\sigma_E}{E} = \frac{3.2\%}{\sqrt{E(GeV)}} \oplus \frac{9\%}{E(GeV)} \oplus 0.42\%$$

 $\sigma_{x,y} = \frac{4.2 \text{mm}}{\sqrt{E(GeV)}} \oplus 0.6 \text{mm} \ [= 1.5 \text{ mm} \ @ 10 \text{ GeV}]$ 

![](_page_44_Picture_9.jpeg)

The NA62 experiment

### **The Pion ID detectors**

Primary  $\pi/\mu$  separation from downstream muon vetoes (MUV)

![](_page_45_Picture_3.jpeg)

MUV1-2: Fe/scintillator hadron calorimeter Used offline to reject  $\mu$  up to 10<sup>-5</sup> level MUV3: Fast  $\mu$  identification for trigger Vetoes  $\mu$  online @ 10 MHz with  $\sigma_{t} < 1$  ns Additional 10<sup>-2</sup> µ rejection provided by RICH RICH: Ring Image Cherenkov detector for  $\pi/\mu$ separation and pion crossing time measurement

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# **The Hodoscopes (CHODs) and the CHANTI**

![](_page_46_Picture_2.jpeg)

![](_page_46_Picture_3.jpeg)

Beam particle

GTK3

![](_page_46_Picture_4.jpeg)

Fast charged particles signal for trigger  $\sigma_{t} \sim 300 \text{ ps}$  (after impact point corrections using track extrapolation) 12/02/2020 Karim Massri – Particle physics seminar – Birmingham

![](_page_46_Picture_6.jpeg)

Veto for interactions in GTK3 6 scintillator stations hermetic between 1.31 and 49 mrad

#### NA62 \Lambda **Trigger and Data Aquisition (TDAQ) system**

16 sub-detectors, ~ 100000 channels, 25 GB/s raw data

![](_page_47_Figure_3.jpeg)