

# Fundamental Neutrino Physics with IceCube and PINGU

Doug Cowen

University of Manchester and Penn State  
with support from the Leverhulme Trust



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# Introduction

- Neutrino Oscillations
- The Detectors:
  - IceCube & DeepCore (taking data)
  - PINGU (proposed as part of IceCube Gen2)
- The Source:
  - Atmospheric neutrinos
- The Signature:
  - Interactions in ice
  - Oscillations

# Reasons to Care About Neutrinos

- “Brian Cox” reasons:

- Ubiquity:

- $10^{11}$   $\nu$ /s/cm<sup>2</sup> &  $\sim 300$  in every cm<sup>3</sup> of space

- Critical for life

- Fusion in stars requires emission of  $\nu$ 's

- “Tiniest” or most “anti-social” of all fundamental particle(s)

- Solar neutrinos can pass unscathed through light-year-long column of lead

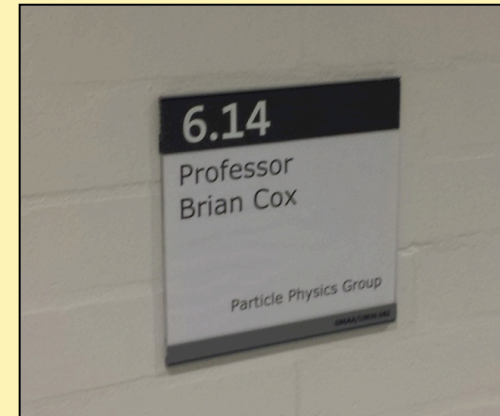
- $\sim 10^{24}$  neutrinos will pass through your body in your lifetime; only  $\sim 1$  will deign to touch you

- Other good reasons:

- Least understood fundamental particles in the Standard Model

- Studying neutrino properties could yield hints for new physics

- Their detection poses an irresistible experimental challenge

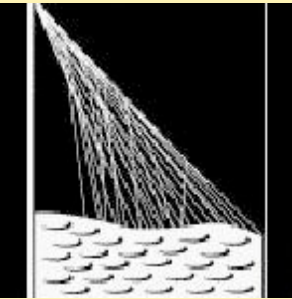


# Neutrino Oscillations


- General 3-flavor mixing described by Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix
  - analogous to CKM matrix for quarks, but with larger off-diagonal elements
- Different L/E regimes require different sources and detectors

$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

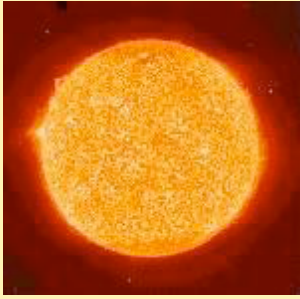
$$= \begin{pmatrix} \text{atmospheric, beam} & \text{reactor, beam} & \text{solar} \end{pmatrix}$$



atmospheric, beam



reactor, beam



solar

$$\begin{cases} s_{ij} \equiv \sin \theta_{ij} \\ c_{ij} \equiv \cos \theta_{ij} \end{cases}$$

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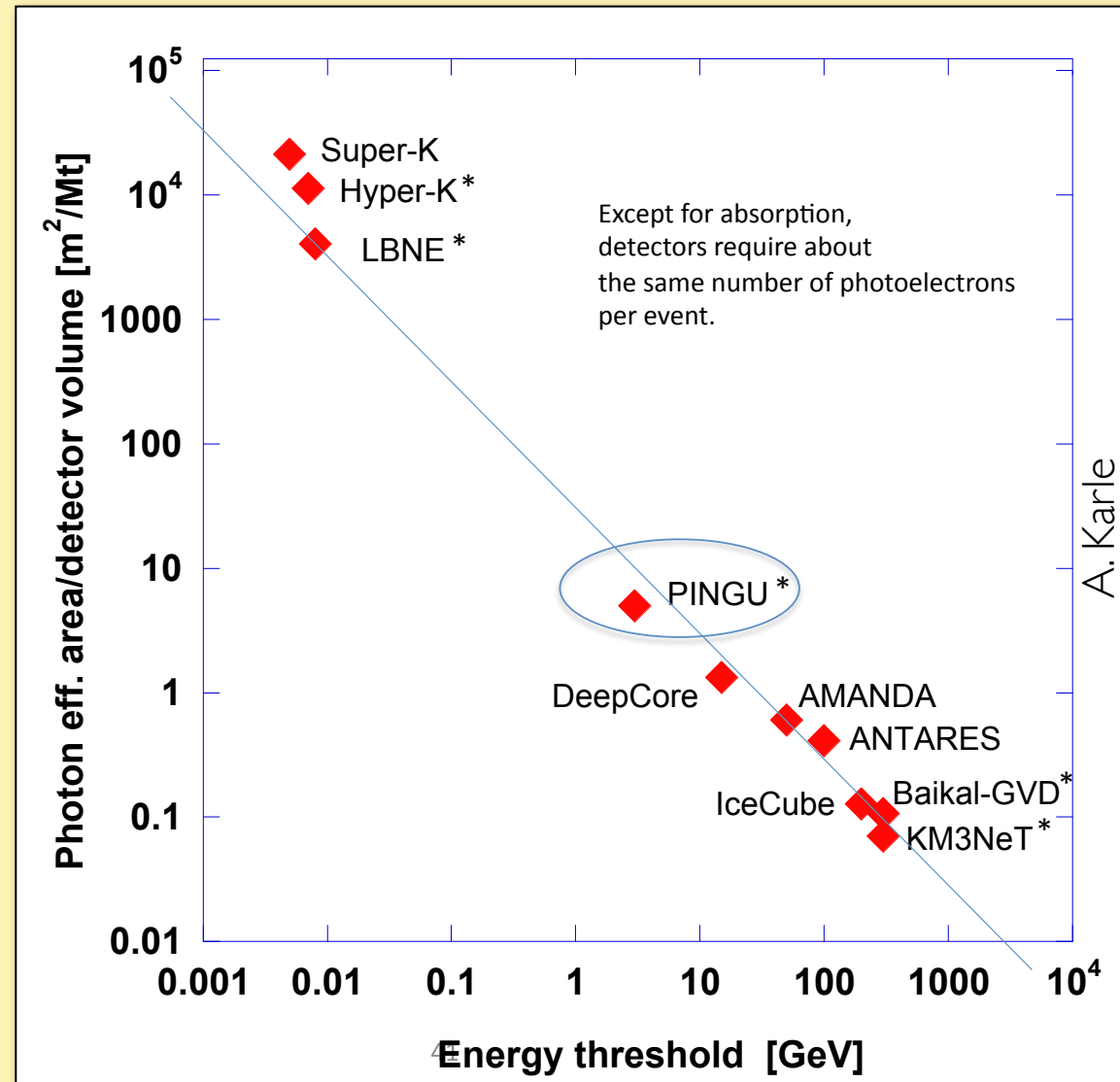
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$$\begin{matrix}
 \begin{pmatrix} \text{atmospheric, beam} \end{pmatrix} & \begin{pmatrix} \text{reactor, beam} \end{pmatrix} & \begin{pmatrix} \text{solar} \end{pmatrix} \\
 \begin{pmatrix} \text{atmospheric, beam} \end{pmatrix} & \begin{pmatrix} \text{reactor, beam} \end{pmatrix} & \begin{pmatrix} \text{solar} \end{pmatrix}
 \end{matrix}$$

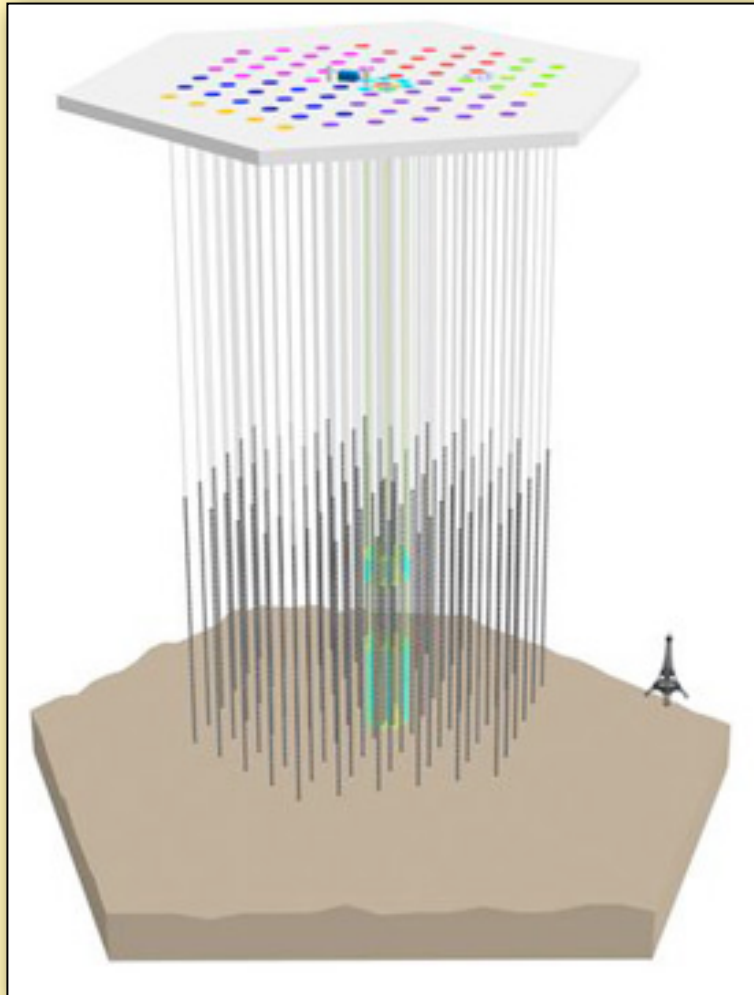
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# The Detectors The Source The Signature

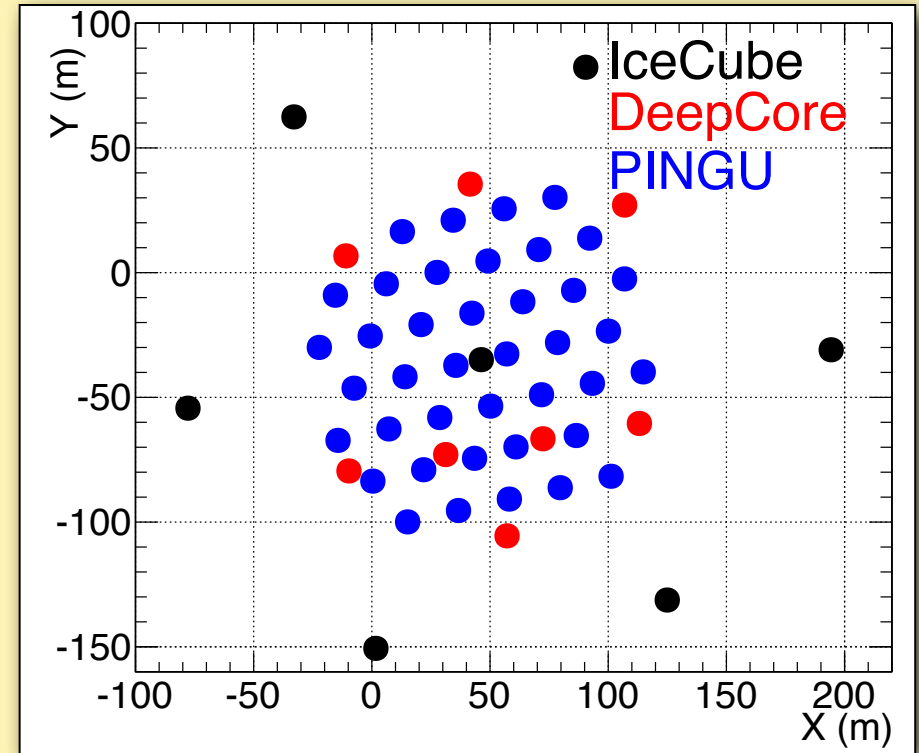
- Detectors have a wide range of sizes
- For higher  $E(\nu)$ , events are rarer but brighter
- Leads to construction of bigger but more sparsely instrumented detectors



# The Detectors The Source The Signature



IceCube & DeepCore



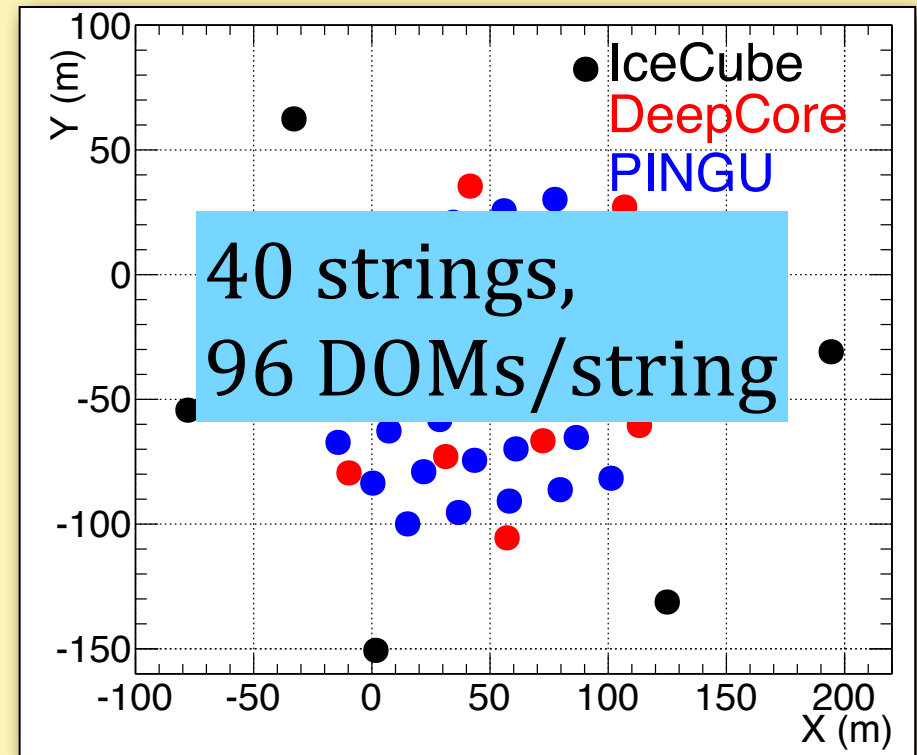
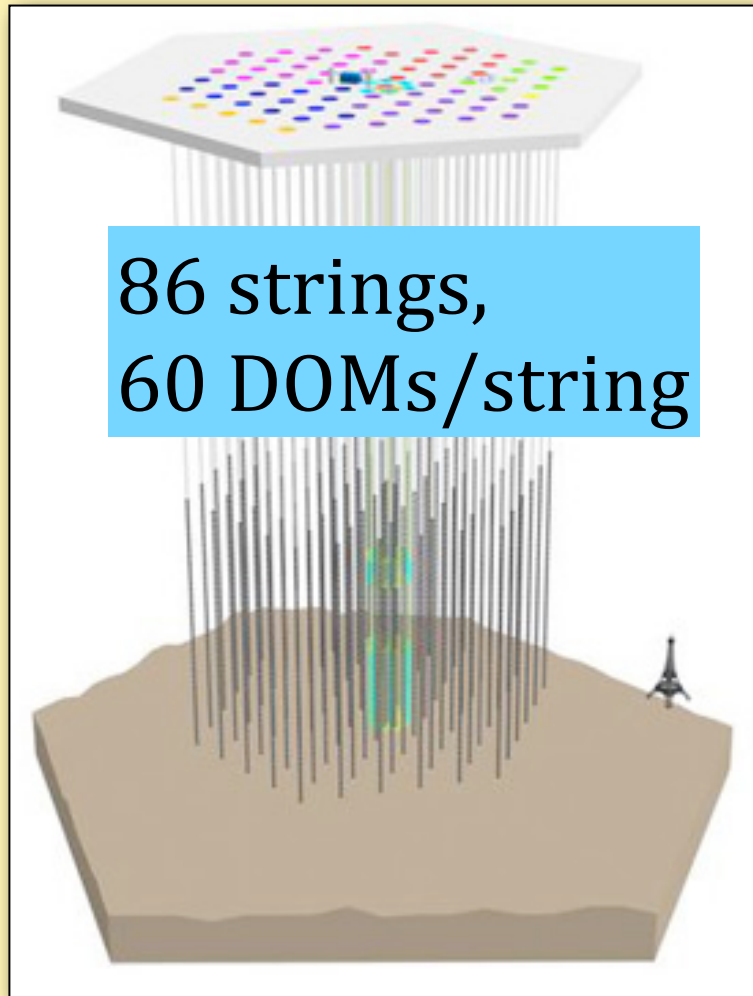
PINGU (Top View)



Digital Optical Module (DOM)



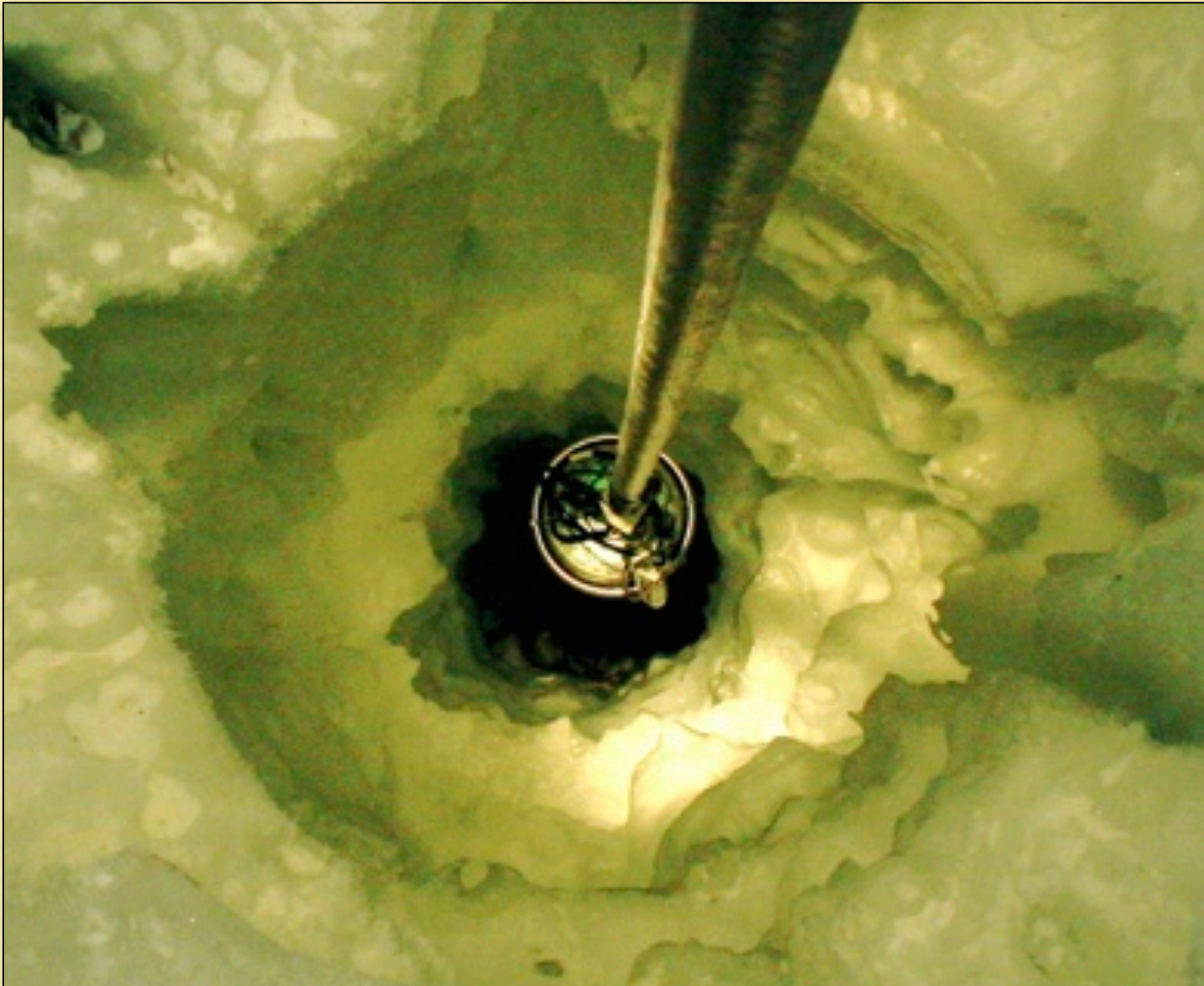
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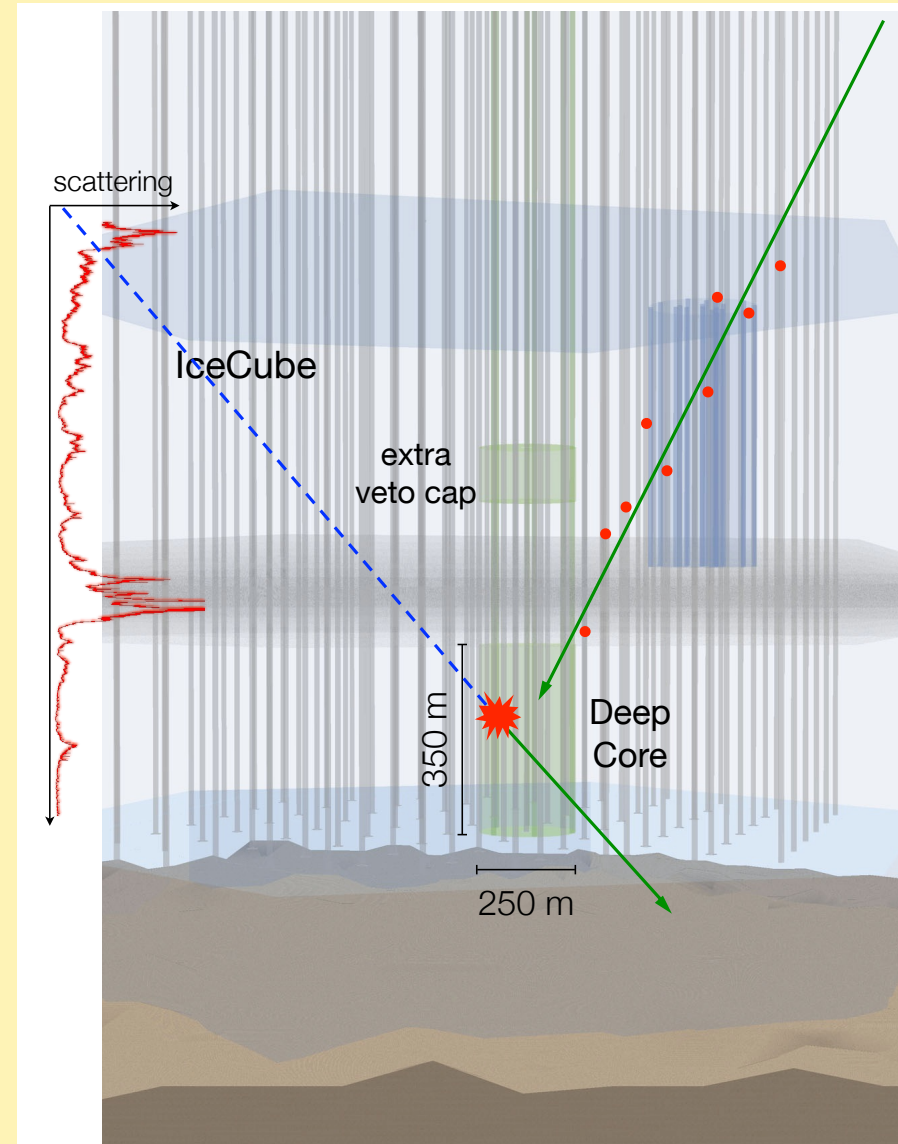
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# The Detectors The Source The Signature



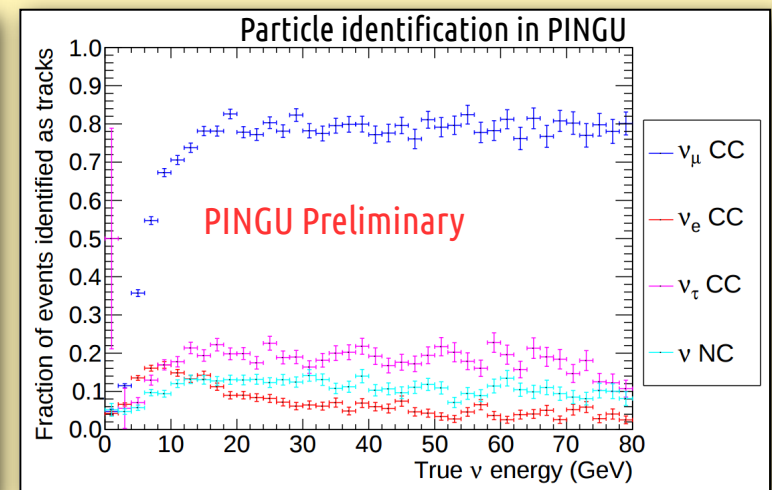
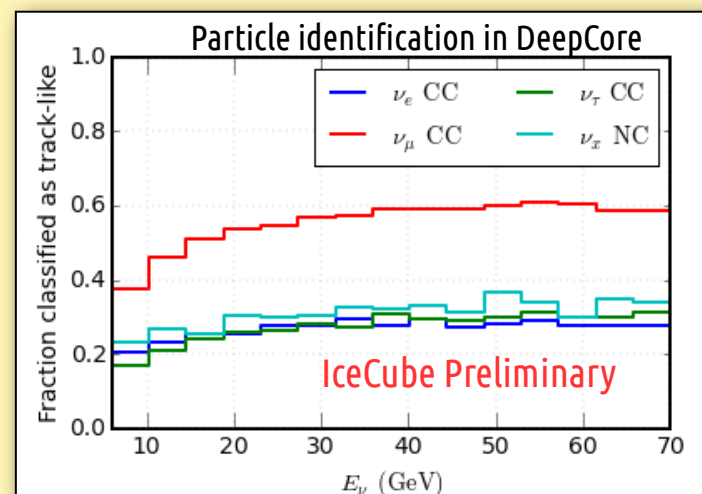
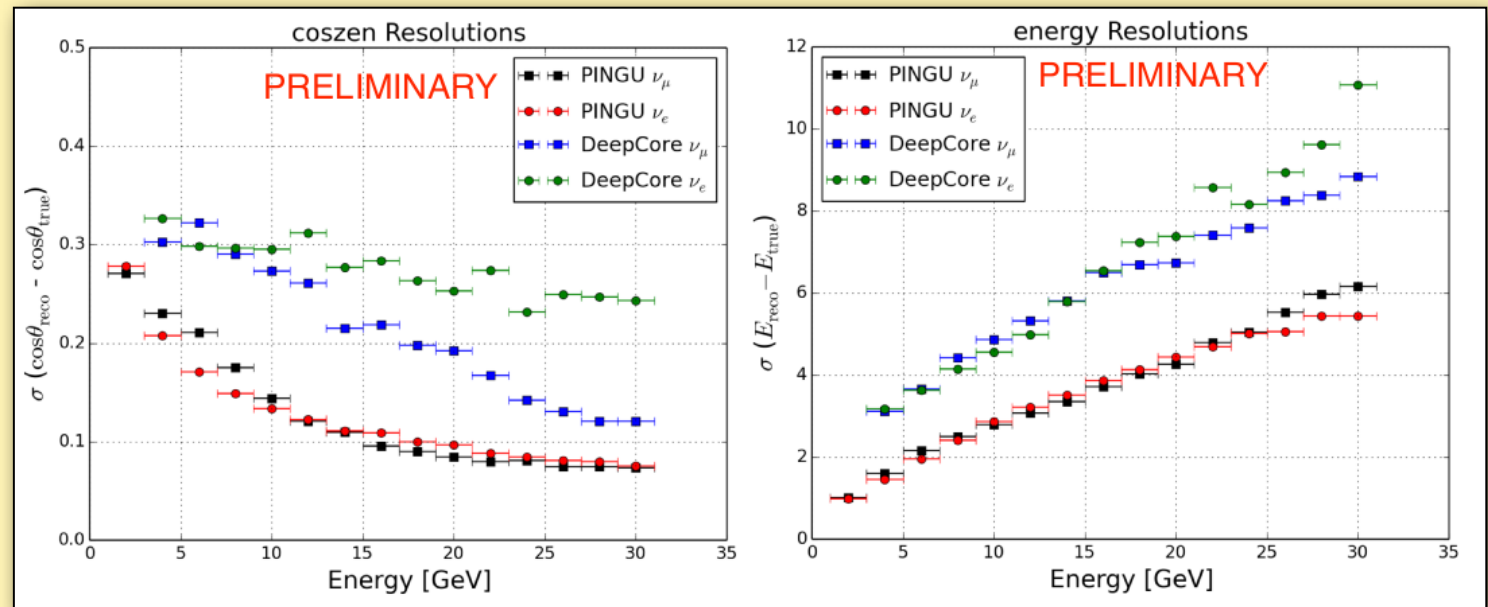
# The Detectors The Source The Signature

- IceCube DeepCore
  - More densely instrumented region at bottom centre of IceCube
  - Below 2100m, clearest ice
    - $\lambda_{\text{att}} \sim 50\text{m}$
    - radiopure
  - IceCube provides active downward-going muon veto



# Reconstructions & Resolutions

- From the Cherenkov light pattern we can reconstruct each event's
  - direction,
  - energy, and
  - $\sim$ flavor

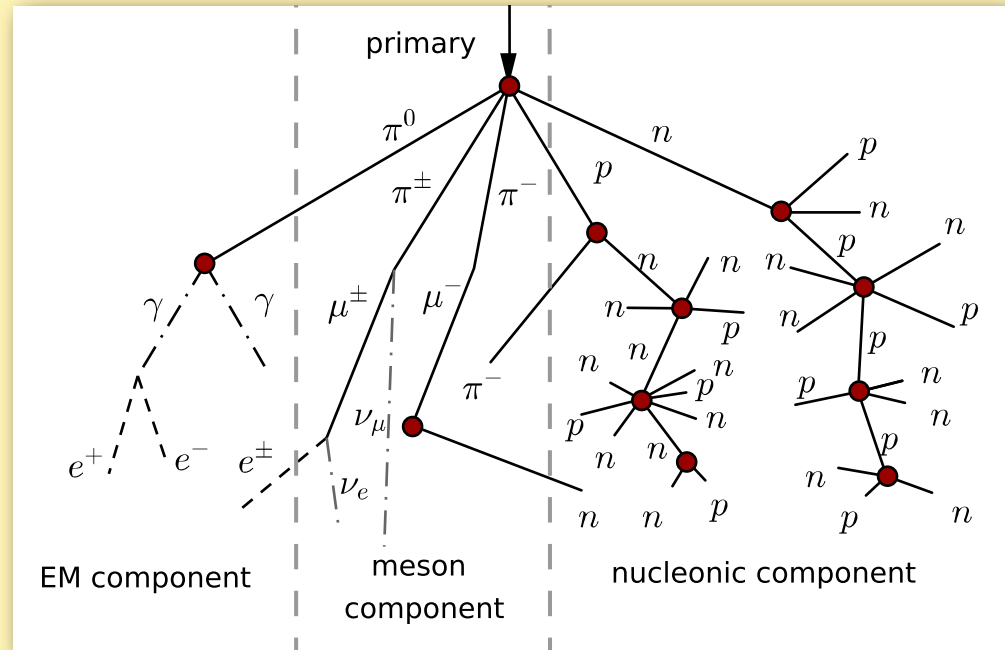


# The Detectors **The Source** The Signature

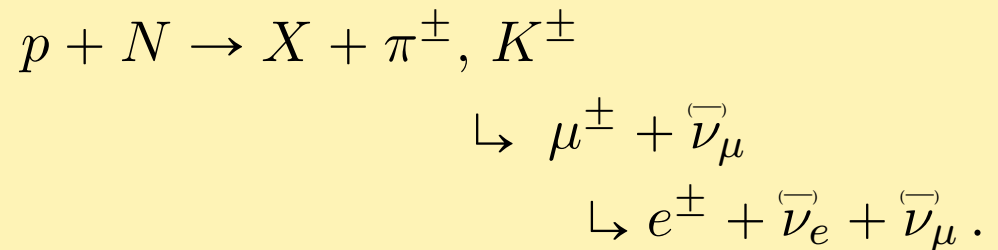
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# Atmospheric Neutrinos

- Production mechanism
- Wide variety of energies and baselines
- Lots of possible oscillation signatures

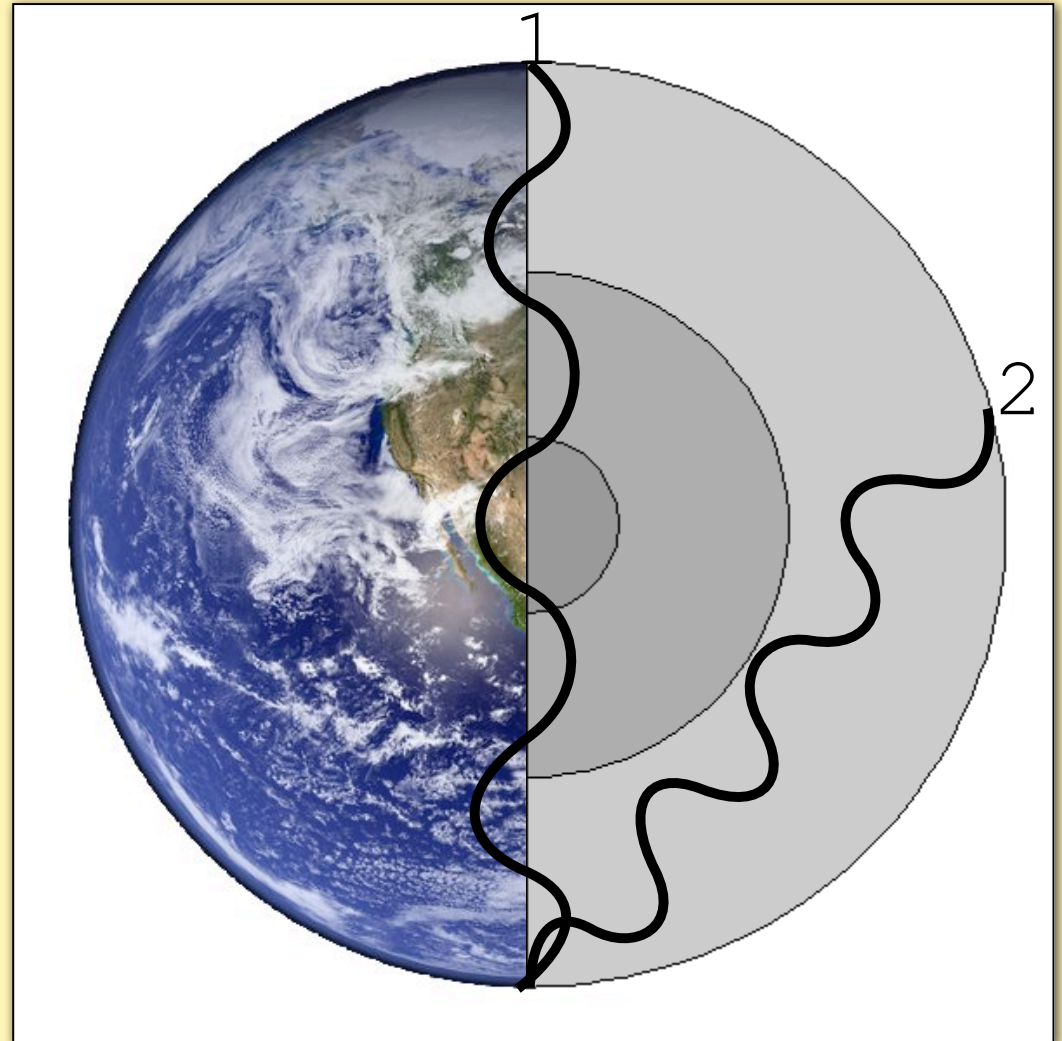


J.P. Yanez, Thesis (DESY/Zeuthen)



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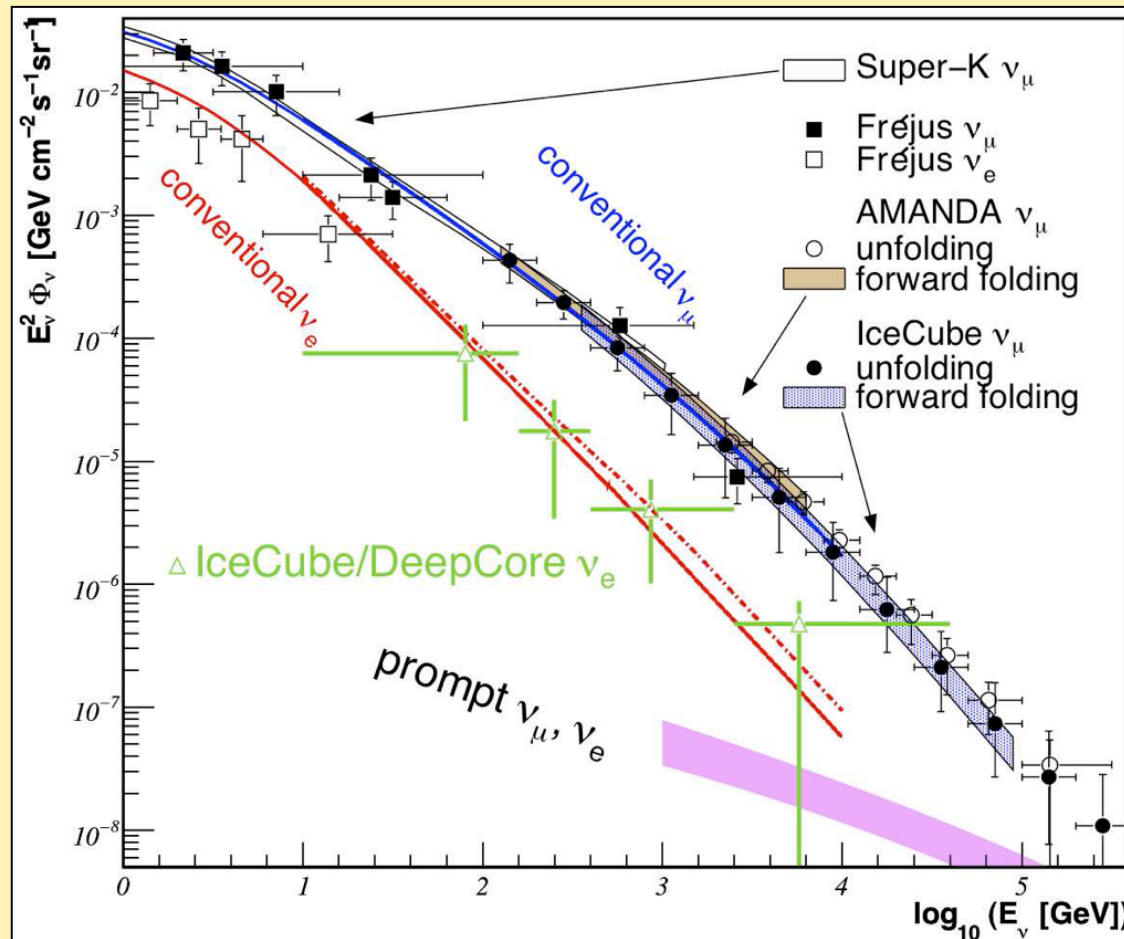


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# Atmospheric Neutrino Flux

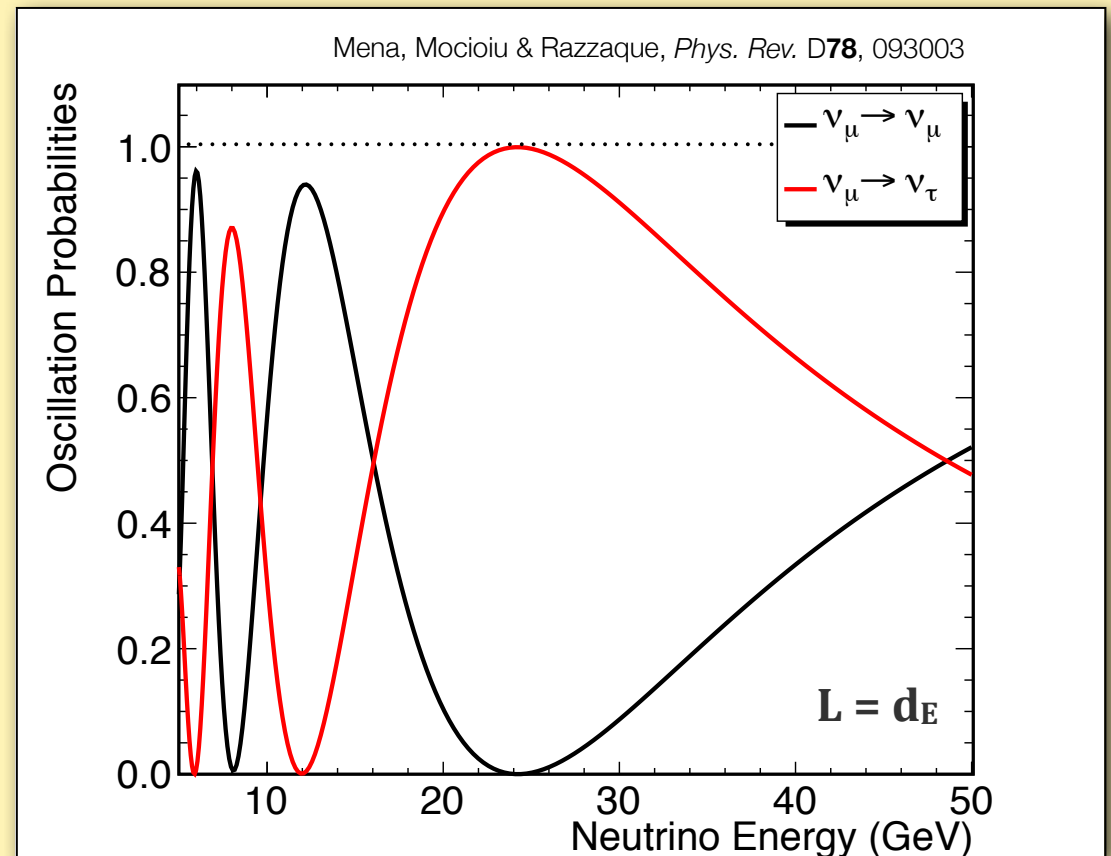
## Atmospheric $\nu$ Energy Spectrum



IceCube and PINGU will each see tens of thousands of  $\nu$ /yr

# Atmospheric Neutrinos

- Production mechanism
- Wide variety of energies and baselines
- Lots of possible oscillation signatures



# The Detectors The Source The Signature

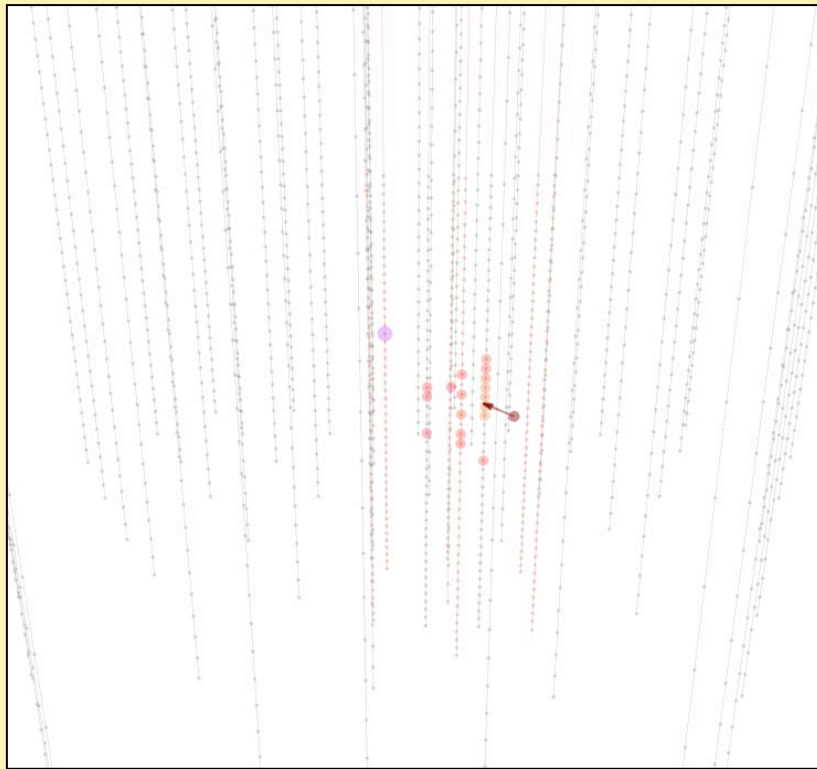
- Simulated  $\nu_\mu$  CC event,  $E_\nu = 9.3$  GeV
  - 4.4 GeV initial cascade, 4.9 GeV muon
- Physics hits only (no noise)



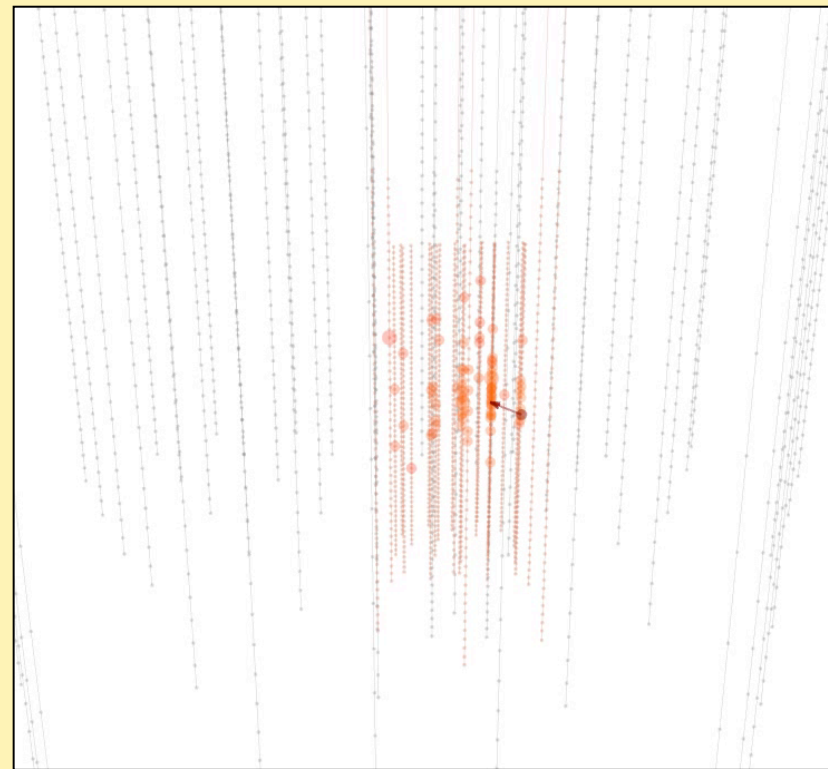
DeepCore Only

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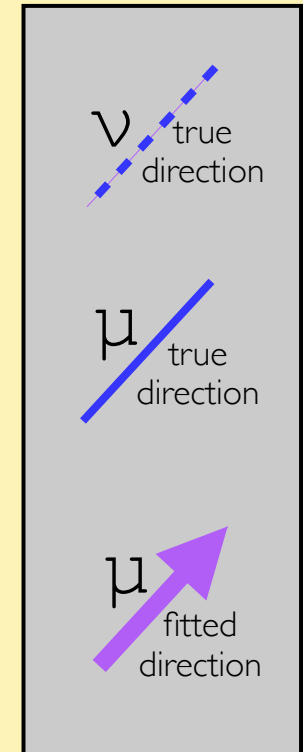
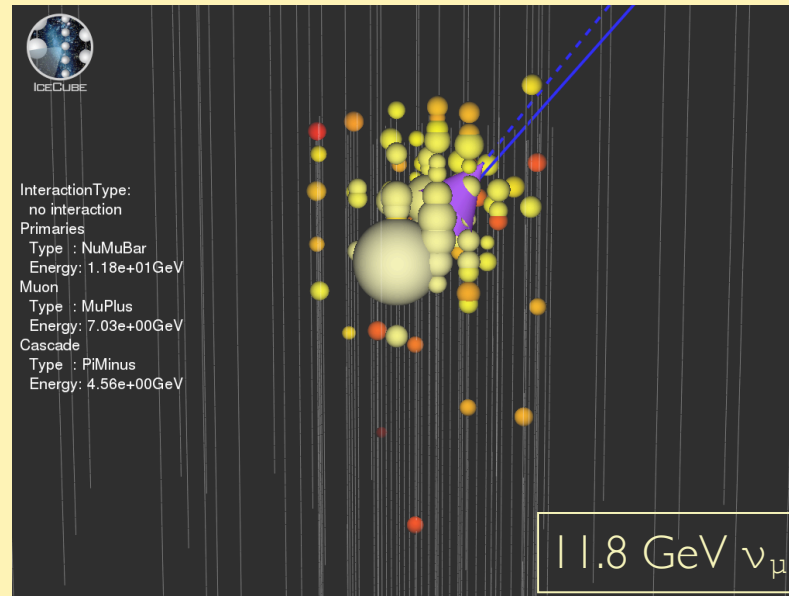
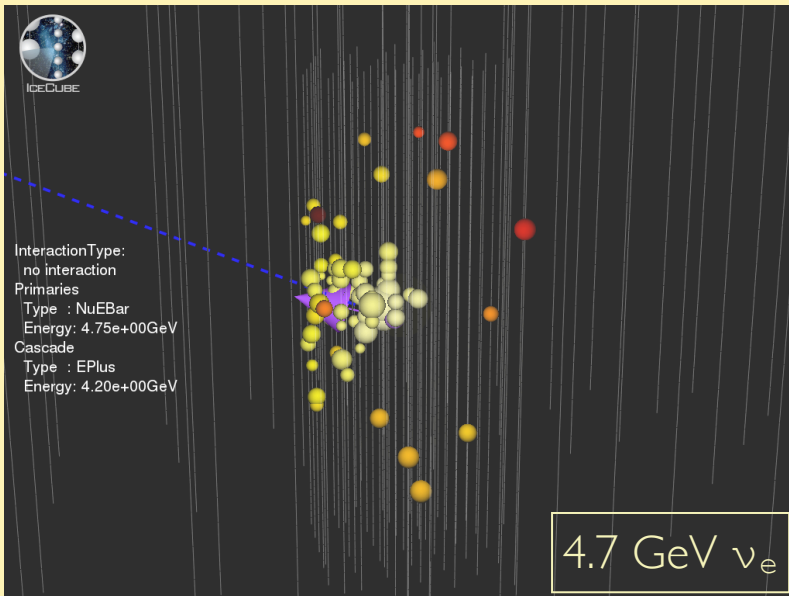
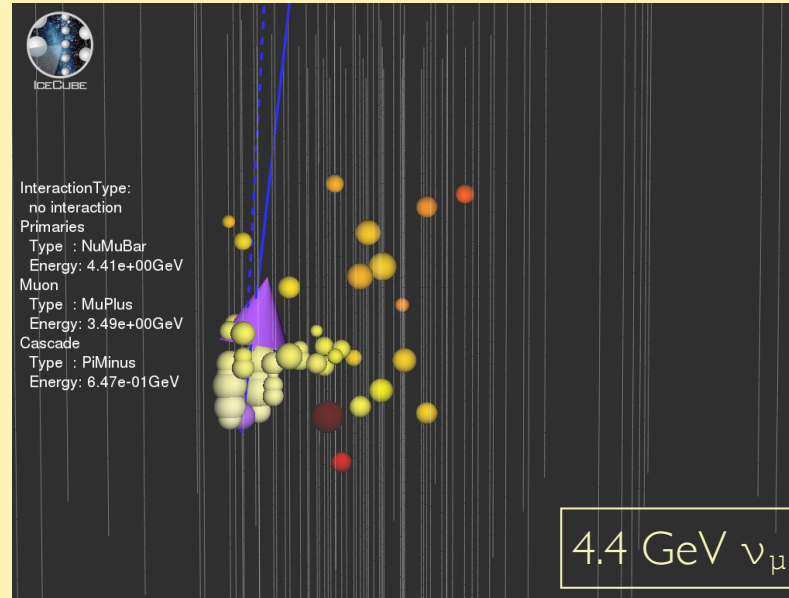
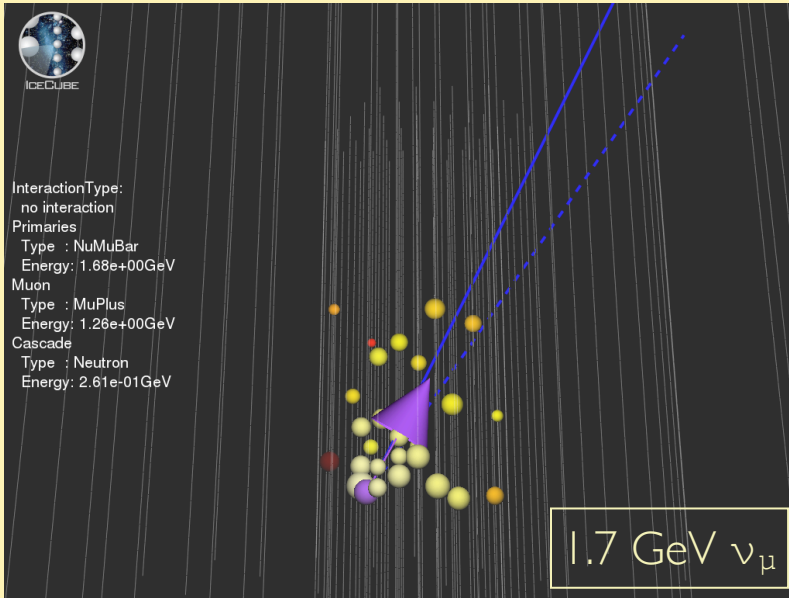


DeepCore Only



DeepCore + PINGU

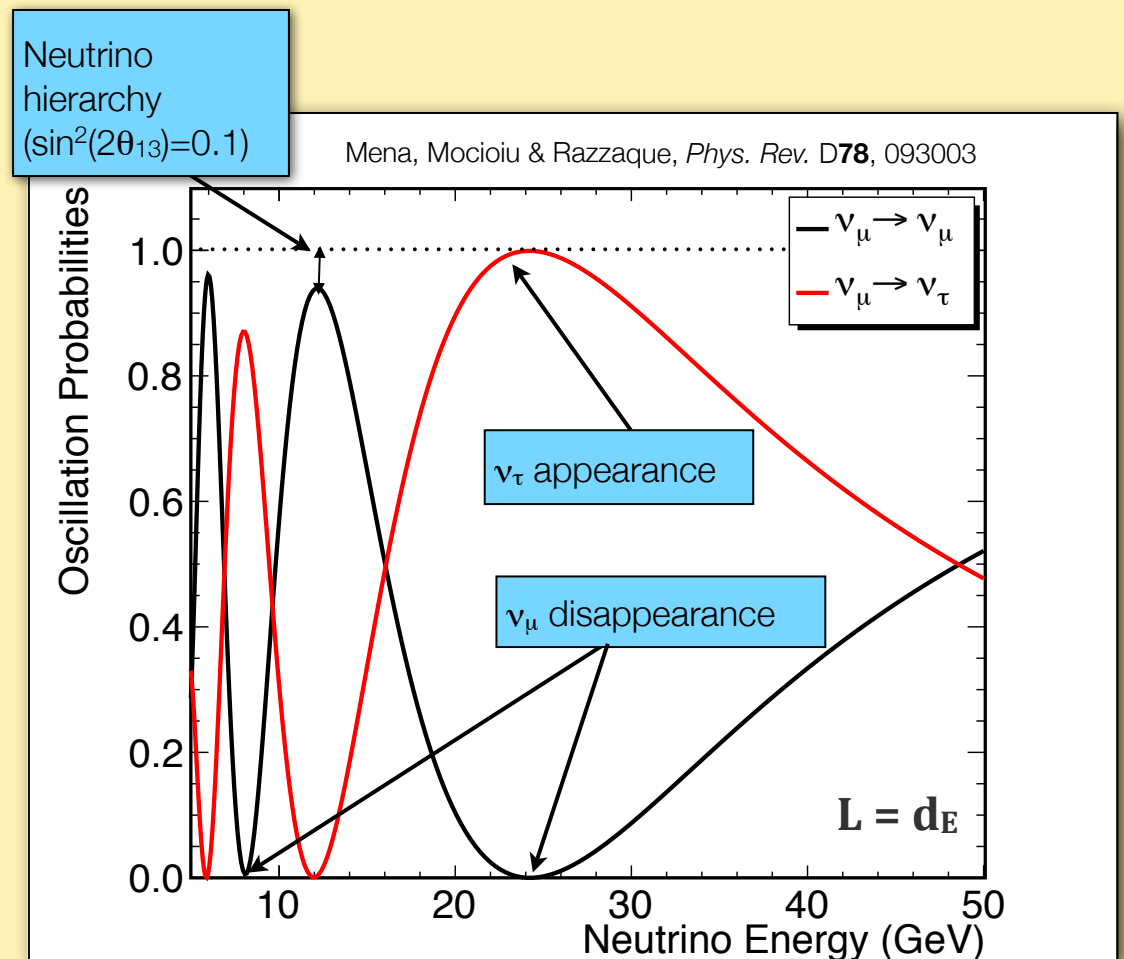
# The Detectors The Source The Signature



Size of circles:  $N_\gamma$ .  
 Color:  $t_\gamma$ .

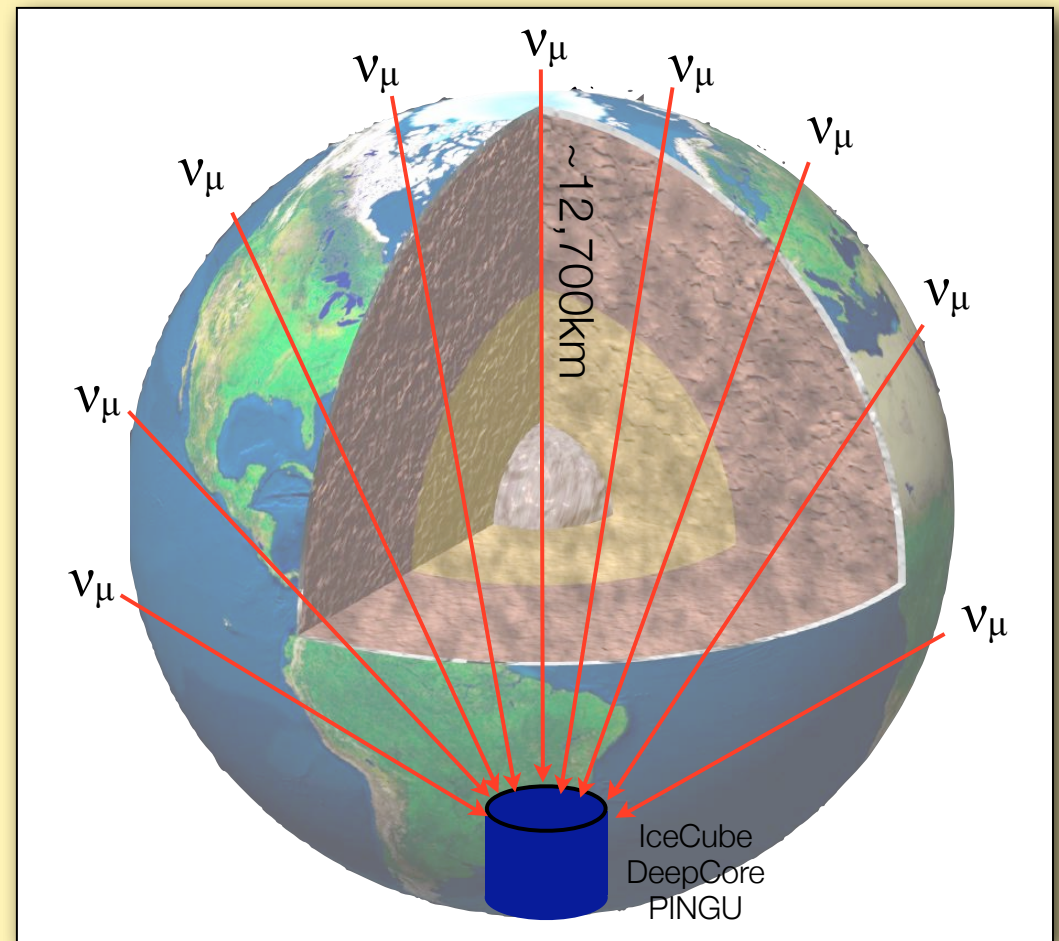
# The Detectors The Source The Signature

- Using just  $\nu$ -induced Cherenkov light, IceCube and PINGU can separate tracks from showers
  - tracks:  $\nu_\mu$  CC interactions with sufficiently energetic muon
  - showers: all other  $\nu$  interactions
- Provides sensitivity to
  - $\nu_\mu$  disappearance
  - $\nu_\tau$  appearance
  - the neutrino mass hierarchy



# Atmospheric Neutrino Oscillations

- Atmospheric neutrinos are observed over wide range of energies & pathlengths
  - oscillations produce distinctive pattern in  $(E_\nu, \cos\theta)$  space
  - can combat systematics using events in “side band” regions where oscillations do not occur
- For reference:
  - at  $L = d_E$ ,  $P(\nu_\mu \rightarrow \nu_\mu) = 0$  at  $E_\nu \sim 25$  GeV
  - see MSW and parametric oscillations below  $E_\nu \sim 20$  GeV



# IceCube/DeepCore $\nu_\mu$ Disappearance

- IceCube has done three analyses so far (two published, third on the way)
  - PRL 111, 081801 (2013)
  - PRD 91, 072004 (2015)
- Differences mainly in sophistication of event reconstruction
- Focus here on the second published analysis and the third analysis in progress

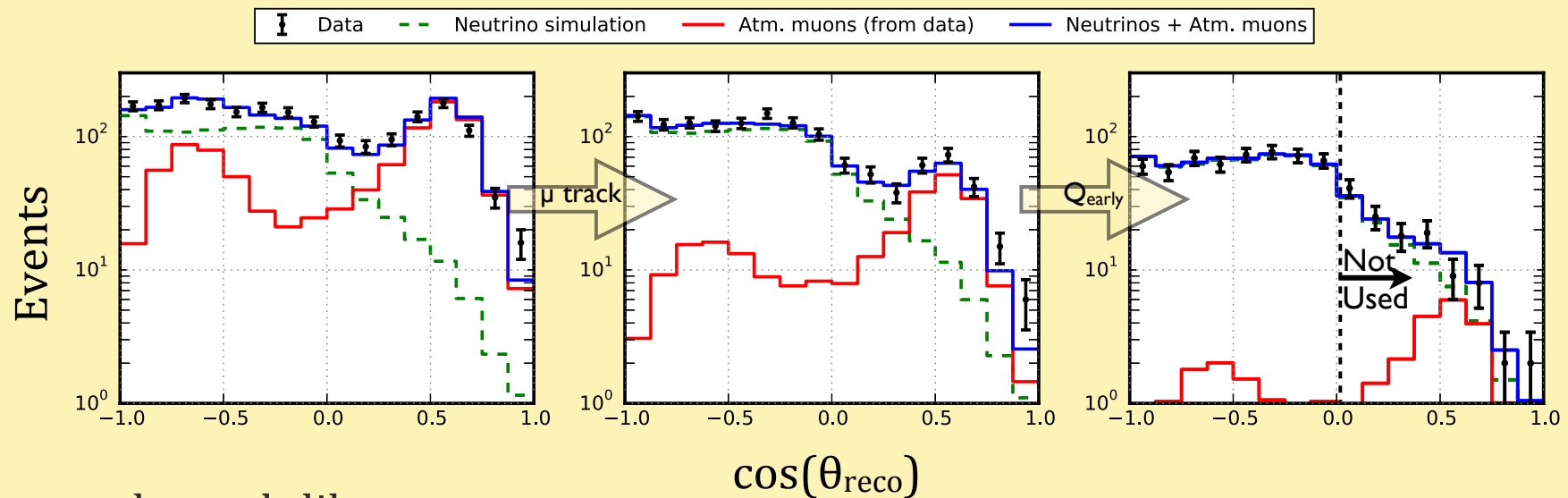


# IceCube/DeepCore $\nu_\mu$ Disappearance

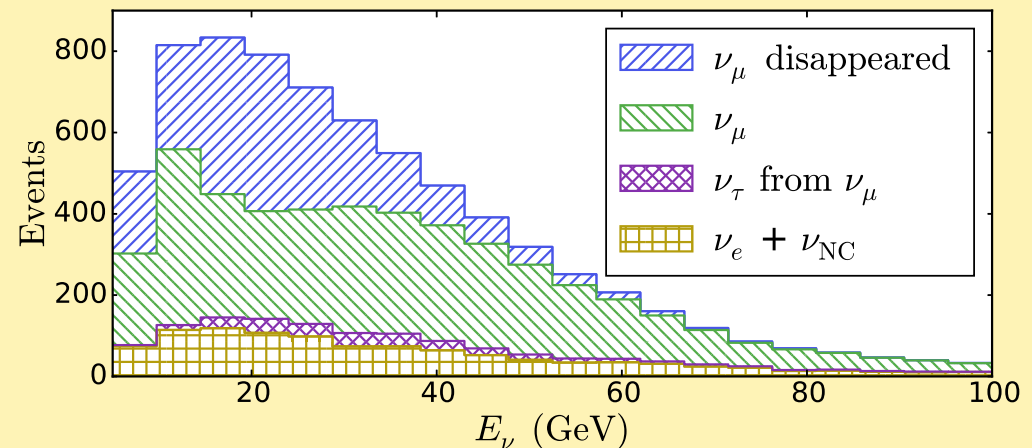
- Analysis steps
  - Reject downward going cosmic ray muon background
    - initially,  $\downarrow\mu$  outnumber  $\nu_\mu(\text{CC})$  by  $10^5:1$
    - use IceCube and outer layers of DeepCore to veto  $\downarrow\mu$ 
      - Achieve 1:1 with 40% signal retention
  - Require minimum number of “direct” ( $\sim$ unscattered) photons in each event to ensure good reconstruction

# IceCube/DeepCore $\nu_\mu$ Disappearance

- Analysis steps (continued)
  - Fit each event assuming a point-like or track-like hypothesis



- Keep only track-like events
  - selection criteria keep only those  $\nu_\mu$  CC events with  $L_\mu > \sim 20\text{m}$
- Estimate event energy from shower at vertex and  $L_\mu$ 
  - Energy of remaining neutrinos from simulation:

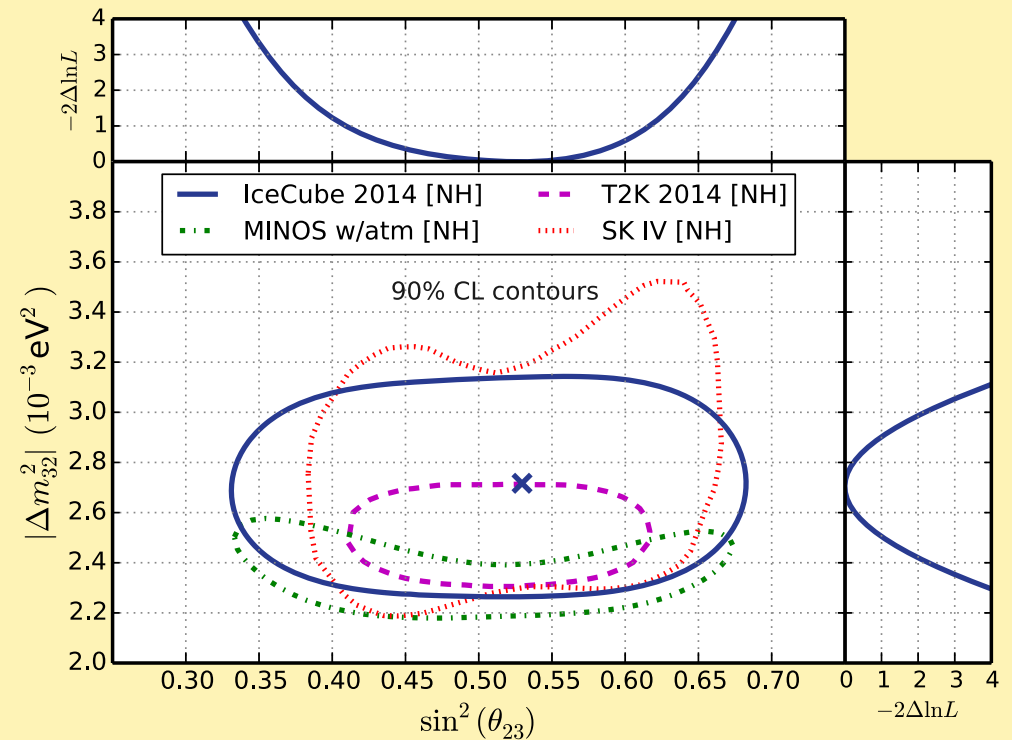
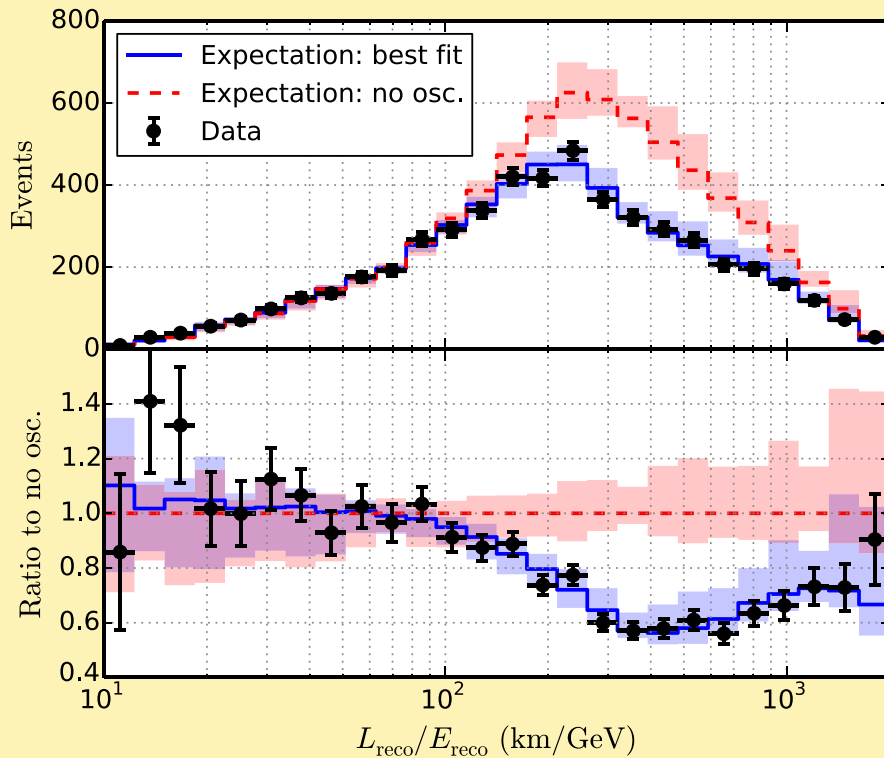


# IceCube/DeepCore $\nu_\mu$ Disappearance

- Fit for  $\theta_{23}$  and  $\Delta(m_{32})^2$  parameters
- Systematics
  - $\Phi_{\text{atm}}$  normalization, spectral index,  $\nu_e/\nu_\mu$  ratio
  - cross section uncertainties (very modest effect)
  - detector uncertainties: DOM efficiency (impacts mass splitting) and ice properties (impact mixing angle)
    - These are the biggest systematic uncertainties
  - $\theta_{13}$  treated as nuisance parameter, other oscillation parameters fixed to world averages
- Results
  - Using 953 days of detector livetime, observed 5174 events
    - no oscillation expectation: 6830
    - overall signal efficiency, relative to initial sample of contained events, is  $\sim 3\%$
  - $\sigma_{\text{stat}}, \sigma_{\text{syst}}$  comparable in magnitude;  $\sim 80\%$  DIS;  $\sim 5\%$   $\downarrow\mu$ ;

# IceCube/DeepCore $\nu_\mu$ Disappearance

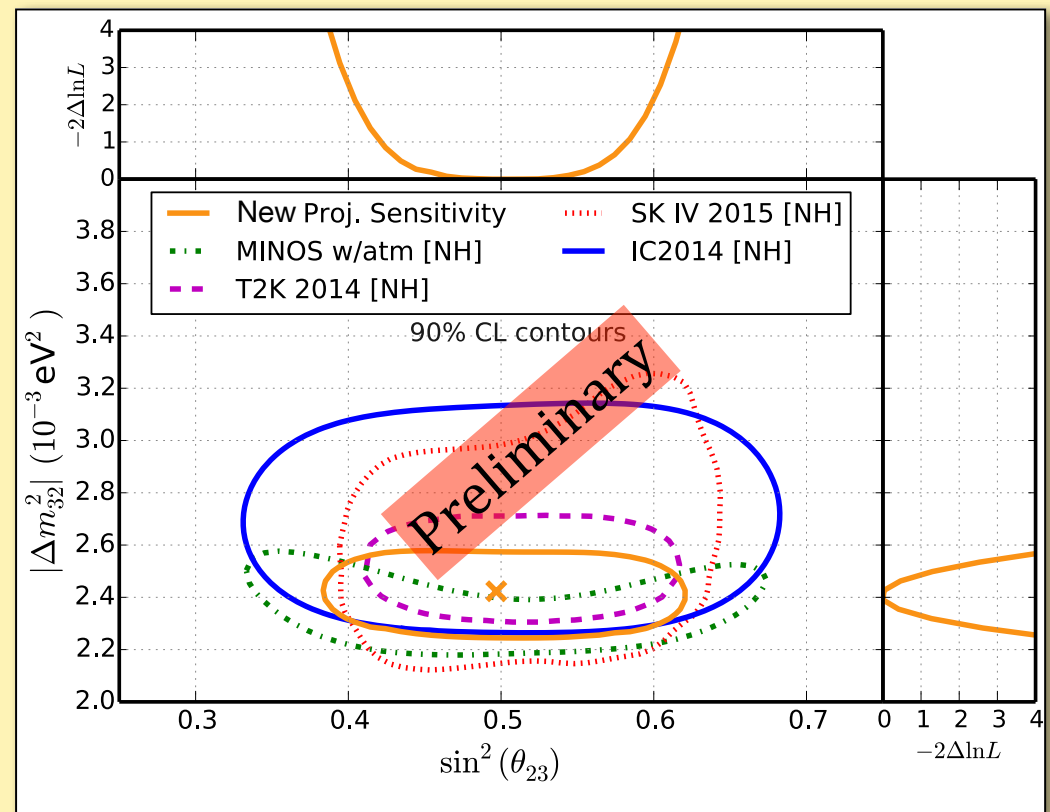
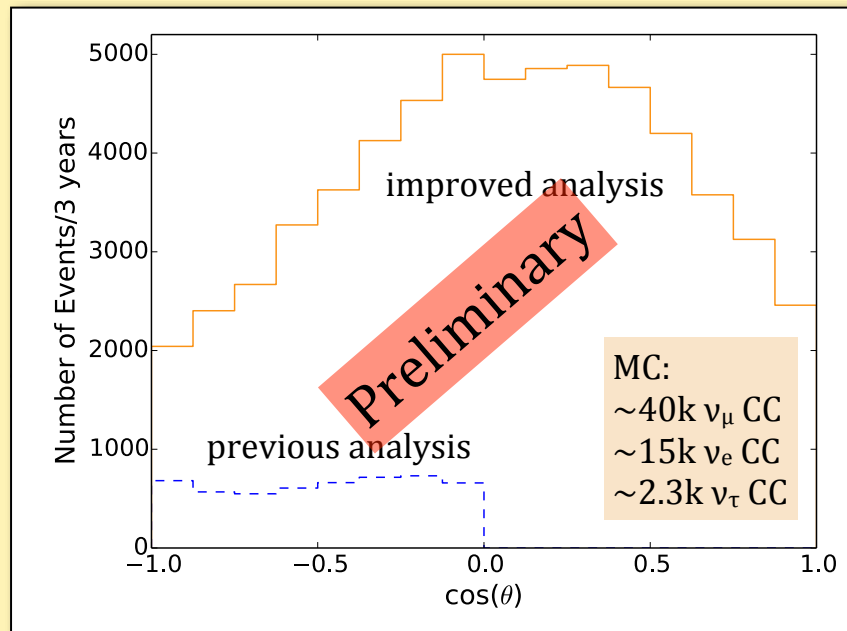
## Final Result



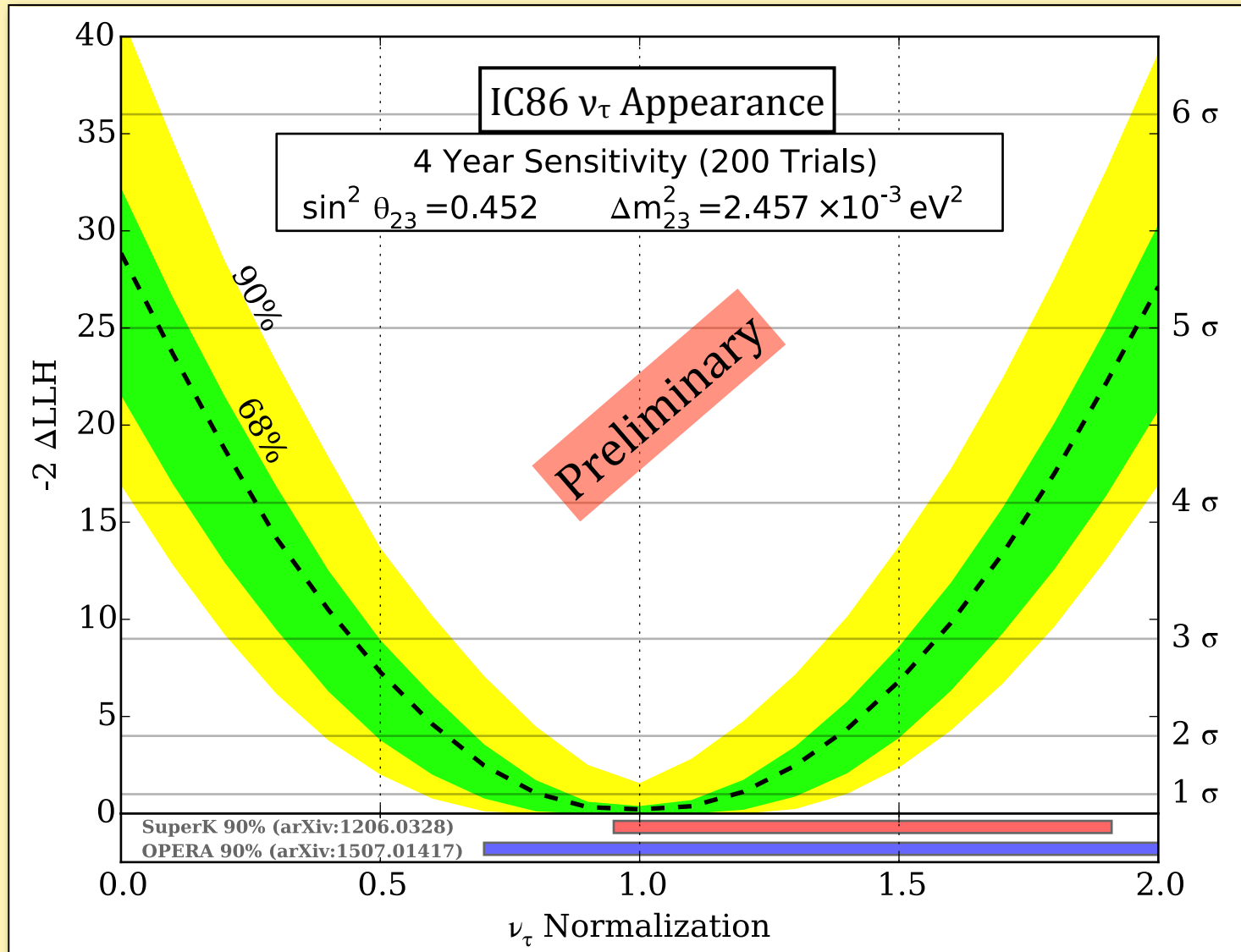
Precision comparable to world's best measurements!  
Uses highest energy  $\nu_{\text{atm}}$  sample ever.

# Underway: Third $\nu_\mu$ Disappearance Analysis

- Employs improved reconstruction
  - better resolutions on angle, energy
  - $\sim 7x$  better signal efficiency ( $\sim 20\%$ )



# Near Future: $\nu_\tau$ Appearance



$\nu_\tau$  Normalization

In the standard oscillation scenario,  $\nu_\tau$  normalization = 1

Example: for a  $\nu_\tau$  norm = 1.0, that which is expected from standard oscillations, DeepCore can exclude the no- $\nu_\tau$  hypothesis (norm = 0.0) at the level of 4-6.5 $\sigma$  in 90% of the cases.

# PINGU

The Precision IceCube Next Generation Upgrade can do everything DeepCore can do, only better.

And it can do things IceCube/DeepCore cannot.

Terminology: PINGU is part of IceCube-Gen2, a proposed IceCube upgrade including an enlarged high energy in-ice array and more expansive surface veto, and possibly a radio array.

# PINGU Physics Goals

- Neutrino oscillations
  - Neutrino mass hierarchy
  - Muon neutrino disappearance
  - Tau neutrino appearance
- WIMP dark matter
- Earth tomography
- Supernovae
- Low  $E_\nu$  point sources,...



# PINGU Physics Goals

- Neutrino oscillations
  - Neutrino mass hierarchy
  - Muon neutrino disappearance
  - Tau neutrino appearance

Highly competitive with accelerator & reactor experiments.
- WIMP dark matter 

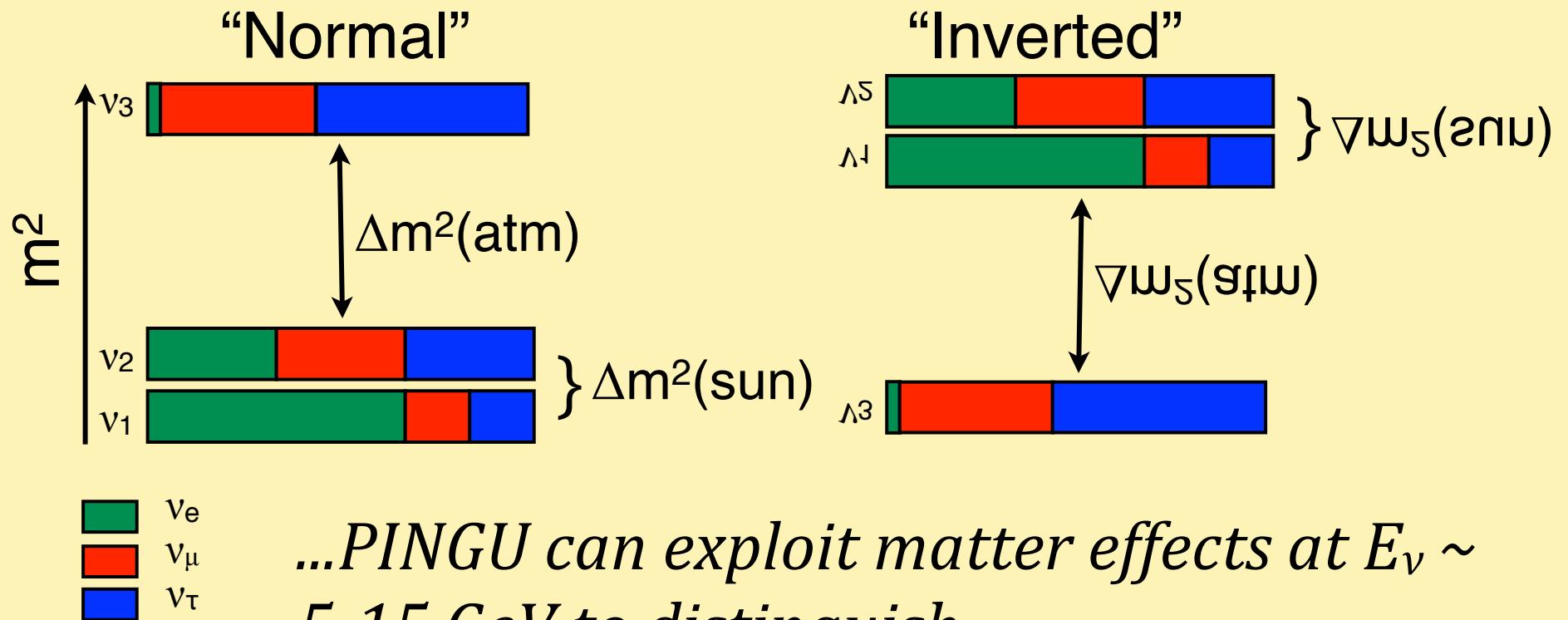
Reaches very low  $m(\chi)$ .
- Earth tomography 

Unique measurement.
- Supernovae 

New sensitivity to  $E(\nu)$ .
- Low  $E_\nu$  point sources,...

# The Neutrino Mass Hierarchy

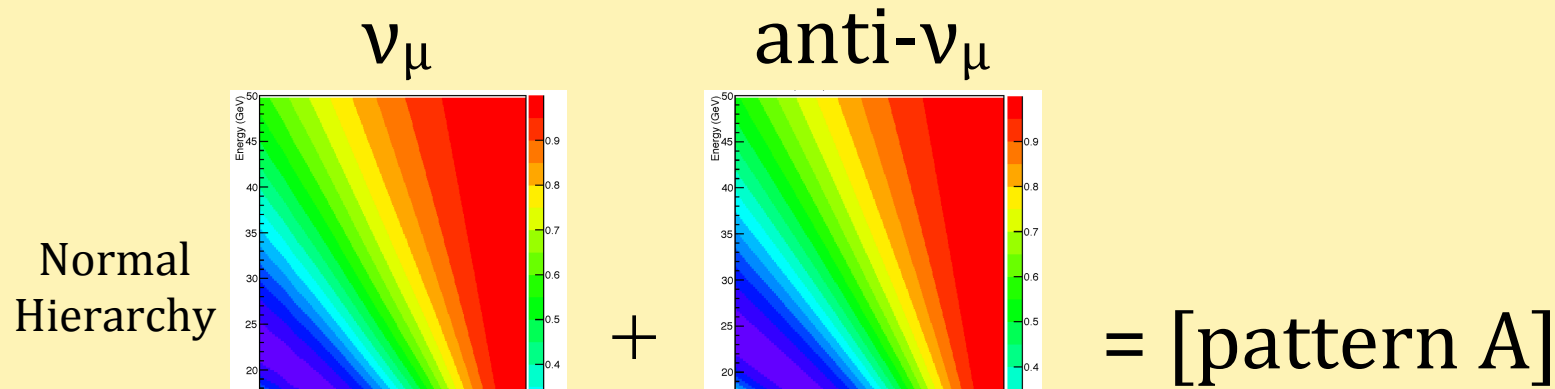
*One of the few remaining unmeasured fundamental parameters in particle physics*



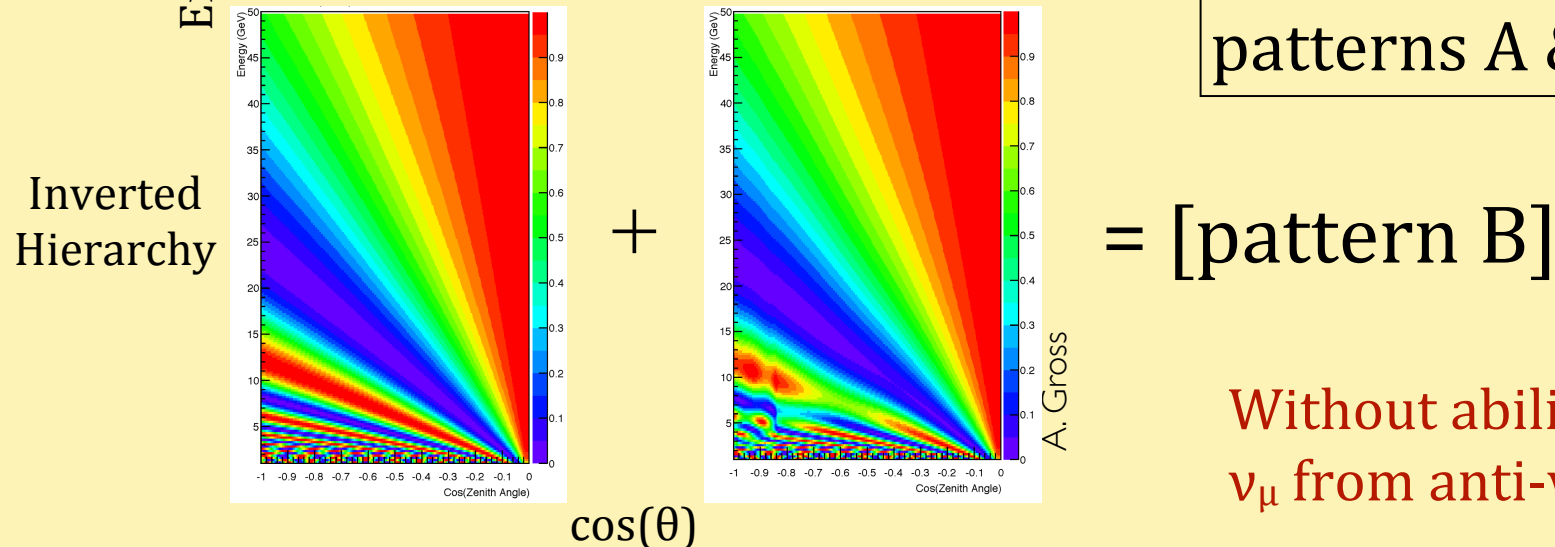
*...PINGU can exploit matter effects at  $E_\nu \sim 5-15 \text{ GeV}$  to distinguish*

Semi-Useful Factoid: The total mass in neutrinos in the universe differs in these two cases by about the mass of our galaxy:  $M_{\nu,\text{tot}}(\text{IH}) - M_{\nu,\text{tot}}(\text{NH}) \sim M(\text{MilkyWay})$

# The NMH Signature in PINGU

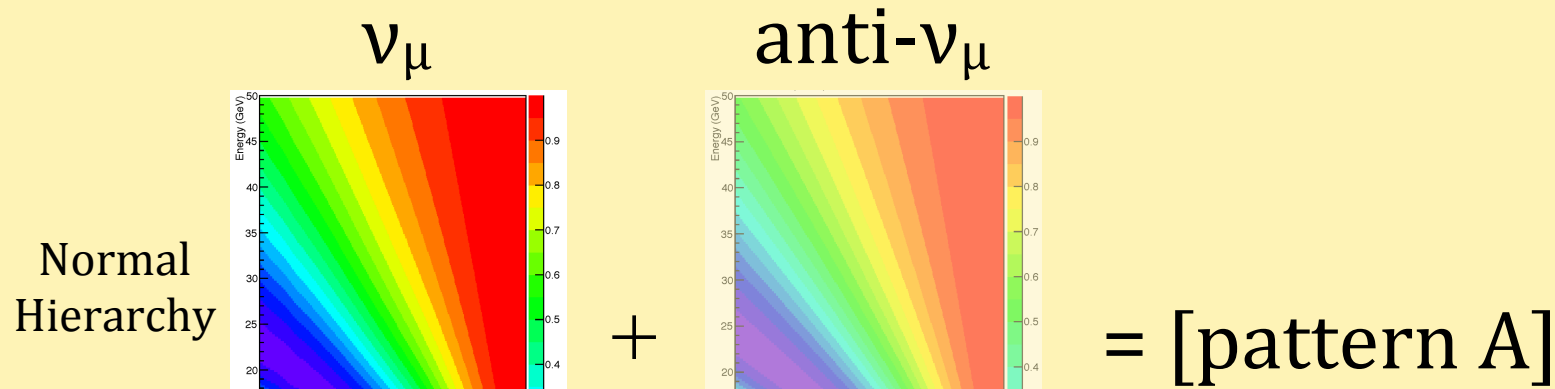


Measurement looks for difference between patterns A & B

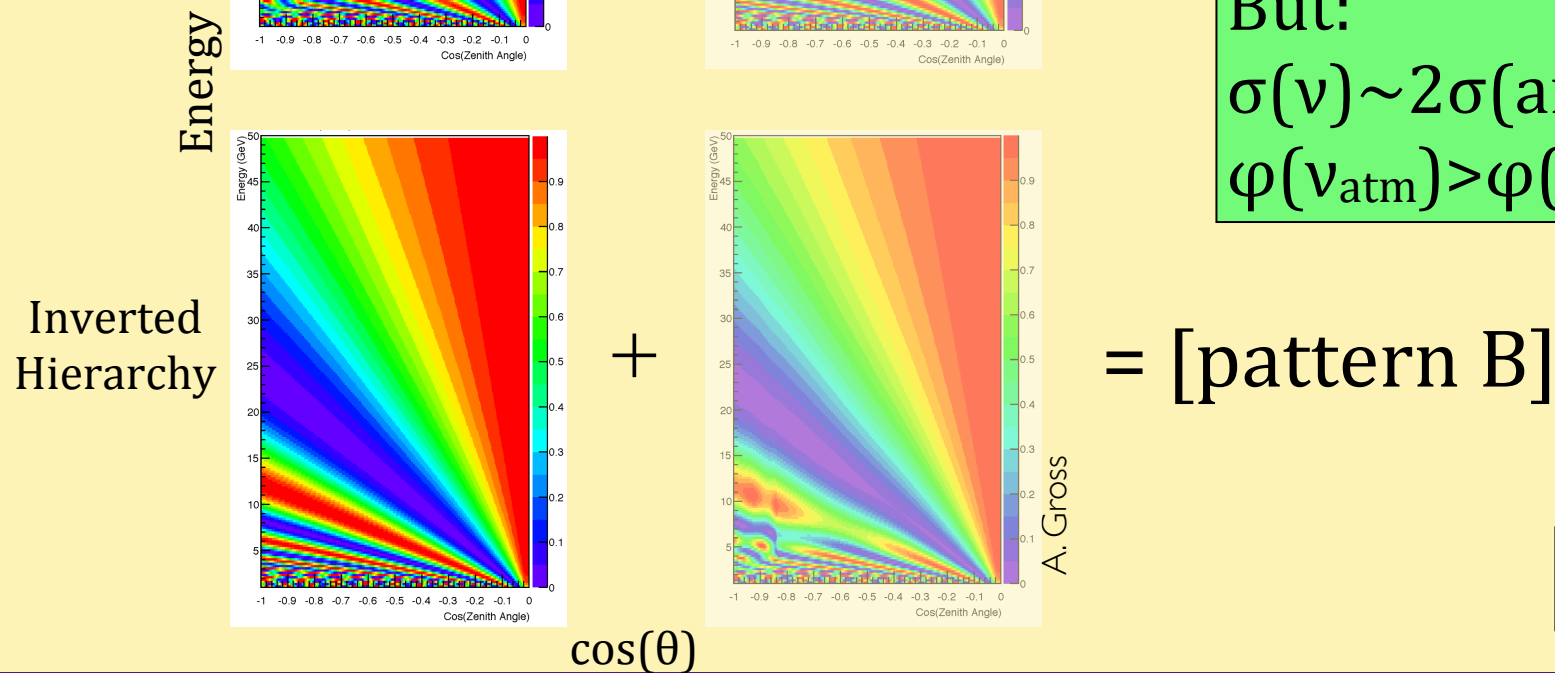


Without ability to distinguish  $\nu_\mu$  from anti- $\nu_\mu$ ,  $A = B$ .

# The NMH Signature in PINGU



But:  
 $\sigma(\nu) \sim 2\sigma(\text{anti-}\nu)$   
 $\phi(\nu_{\text{atm}}) > \phi(\text{anti-}\nu_{\text{atm}})$



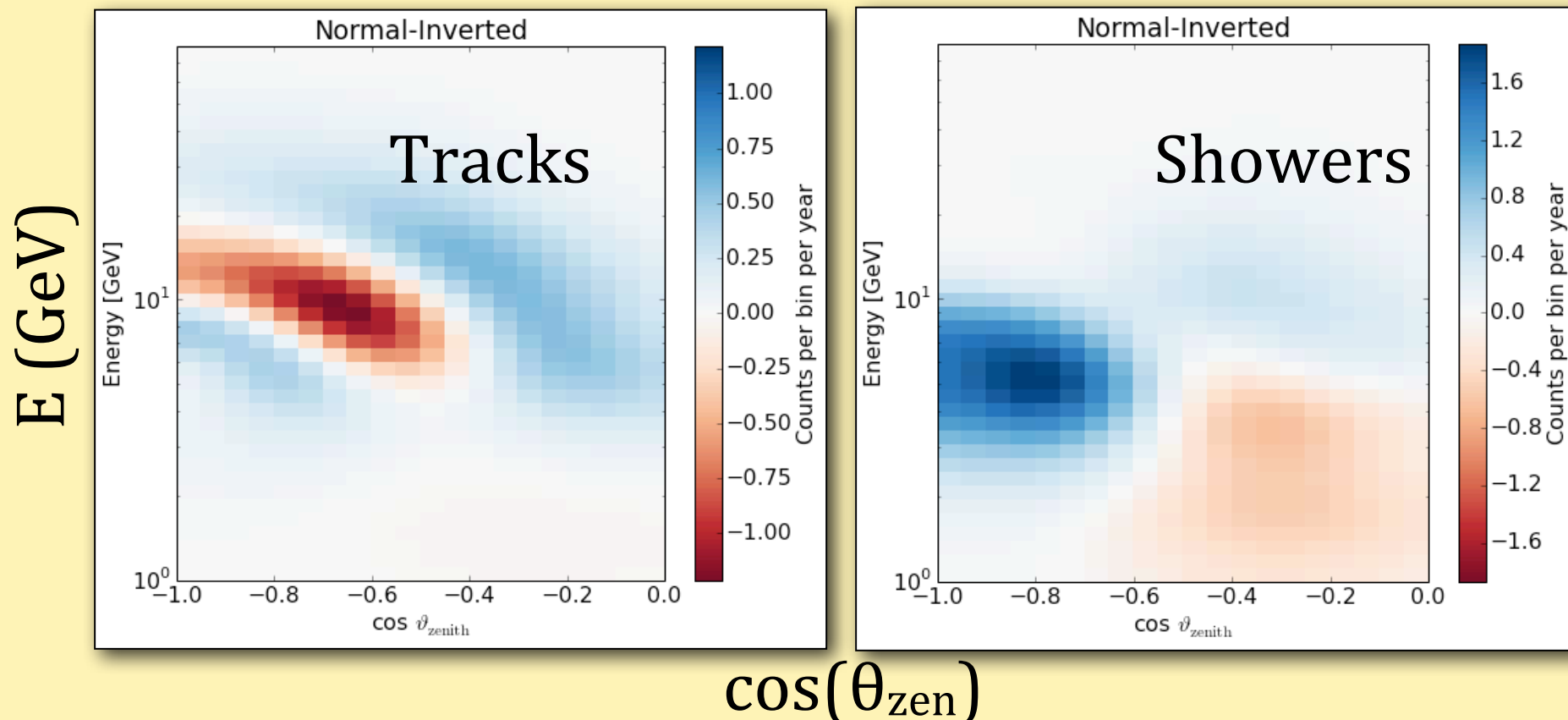
Now  $A \neq B!$

# The NMH Signature in PINGU

- Our MC-based analysis is mature. Many challenges have been overcome:
  - Fully simulated event selection & reconstruction
    - Reconstruction required new IceCube approach for contained events
    - Reconstruction also required new optimizer and lots of CPU
  - Particle ID (PID)
    - Required new IceCube approach for low  $E_\nu$  events
  - Long list of systematic errors (more details later)
    - Required new interfaces with code e.g. GENIE, exhaustive exploration of flux and cross section parameters, non-trivial adaptation of systematics space optimizer, large simulated datasets,...
  - Multiple statistical approaches in good agreement
    - Development of several new IceCube techniques and codes, adaptation of external (SK) code to run on GPUs,...

# Visualizing the NMH Signature

- Expect  $\sim 50\text{k } \nu_\mu + \bar{\nu}_\mu$ ,  $\sim 40\text{k } \nu_e + \bar{\nu}_e/\text{yr}$ : *Largest ever sample in this energy range*
- $\sim 25\%$  energy resolution,  $\sim 15^\circ$  directional resolution
- PID with 90% purity for tracks above  $\sim 10\text{GeV}$
- Plots of (NH-IH) show distinctive patterns in  $(E, \cos\theta)$  space:



# Predicted NMH Sensitivity

- Results from log-likelihood ratio (LLR) and faster  $\chi^2$ -pull approaches agree well
- Large list of systematics incorporated from
  - Oscillation parameter uncertainties
  - Flux and interaction uncertainties
  - Detector uncertainties

# PINGU Systematic Errors

## Systematic Parameters

- Oscillation parameters (from [nu-fit.org](http://nu-fit.org) [1]):
  - ✦  $\Delta m^2_{31}$  (NH/IH) = 0.00246 / -0.00237 eV [2] (no prior)
  - ✦  $\theta_{23}$  (NH/IH) = 42.3° / 49.5° (no prior)
  - ✦  $\theta_{13}$  = 8.5° ± 0.2°
- Detector/flux/cross sections:
  - ✦ **event rate** (effective area, flux normalization) = nominal (no prior)
  - ✦ **energy scale** = nominal ± 0.10 (from current calibration data)
  - ✦  $\nu_e/\nu_\mu$  **ratio** = nominal ± 0.03 (ref [2])
  - ✦  $\nu/\text{anti-}\nu$  **ratio** = nominal ± 0.10 (ref [2] and [3])
  - ✦ **atmospheric spectral index**: nominal ± 0.05 (ref [2])
  - ✦ Also studied separately:
    - detailed cross section systematics based on GENIE [3] parameters
    - detailed atmospheric flux uncertainties from [2]

## Systematics Impacts (4yr significances)

Syst.	NH	IH
None	5.4σ	5.5σ
Osc. only	3.4σ	2.9σ
Flux only	4.3σ	4.6σ
Det. only	4.4σ	4.6σ
<b>All</b>	<b>3.1σ</b>	<b>2.9σ</b>

[1] M.C. Gonzalez-Garcia, et al. *JHEP* **11** 052, 2014

[3] C.Andreopoulos et al., *Nucl.Instrum.Meth. A* **614**:87-104 (2010)

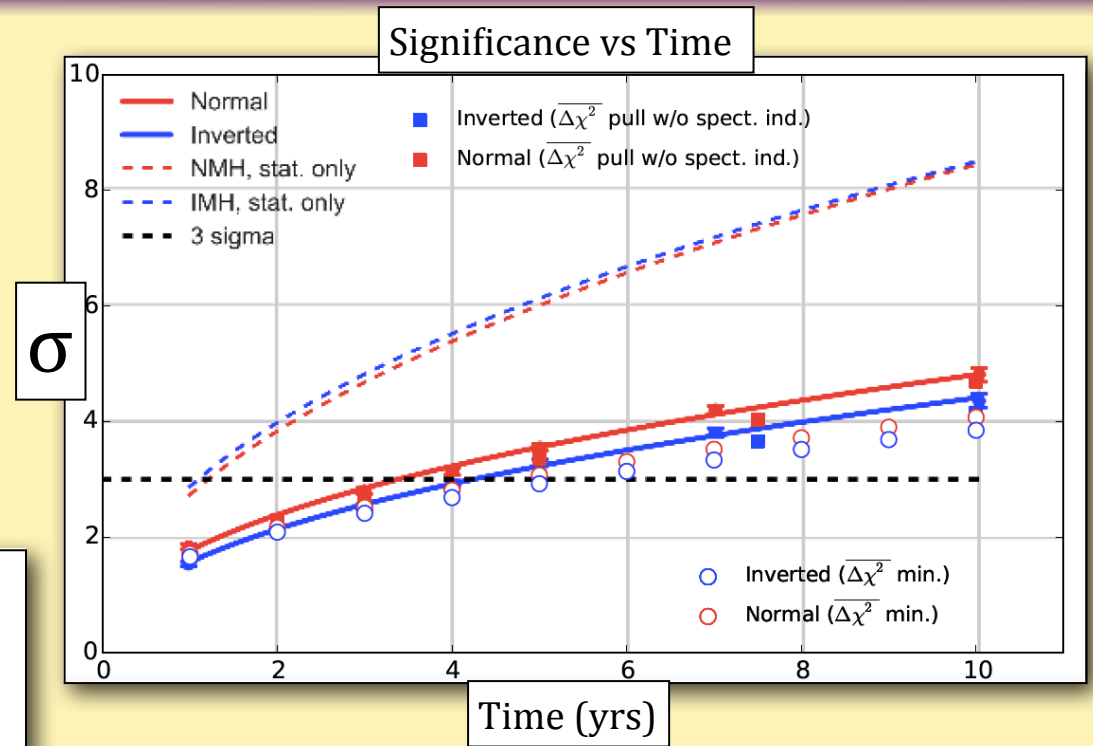
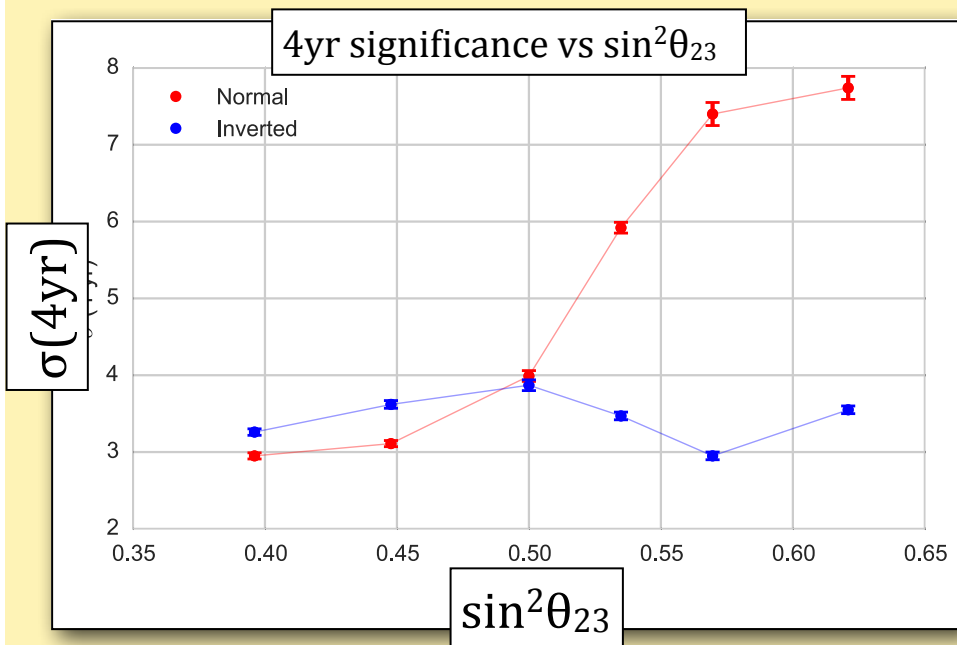
[2] G.D. Barr, T.K. Gaisser, et. al. *Phys. Rev. D* **74** 094009, (2006)



# Predicted NMH Sensitivity

**Predict  $3\sigma$  significance in 3.5-4yrs of live time**  
 (@NuFit 2014 values).

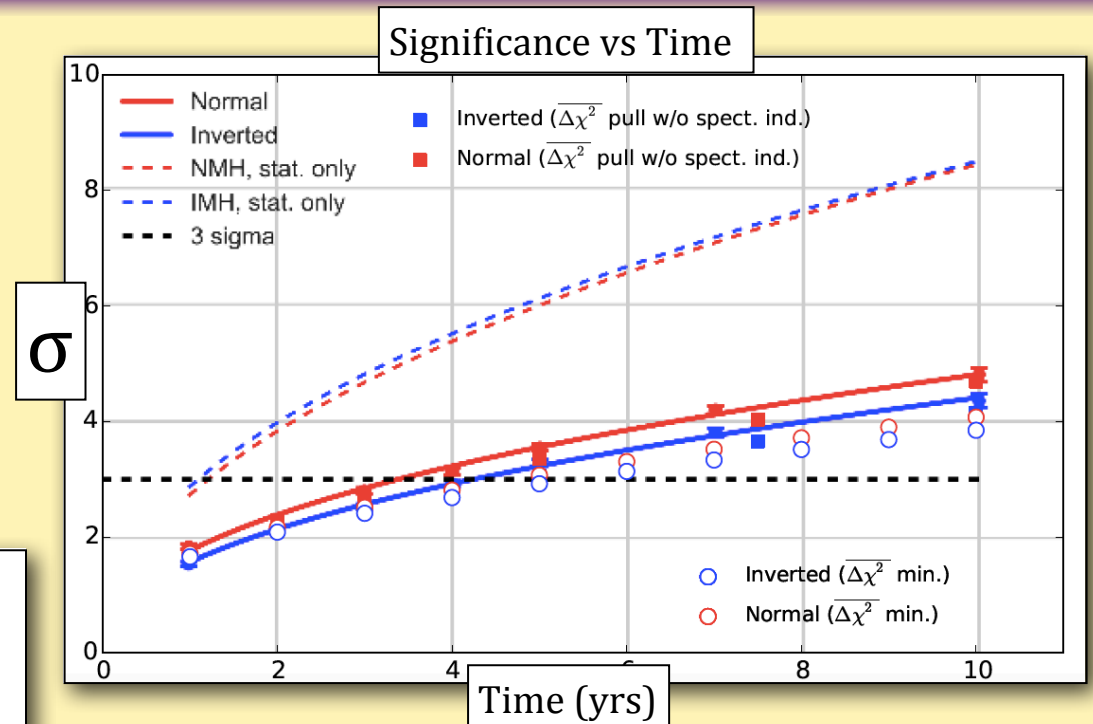
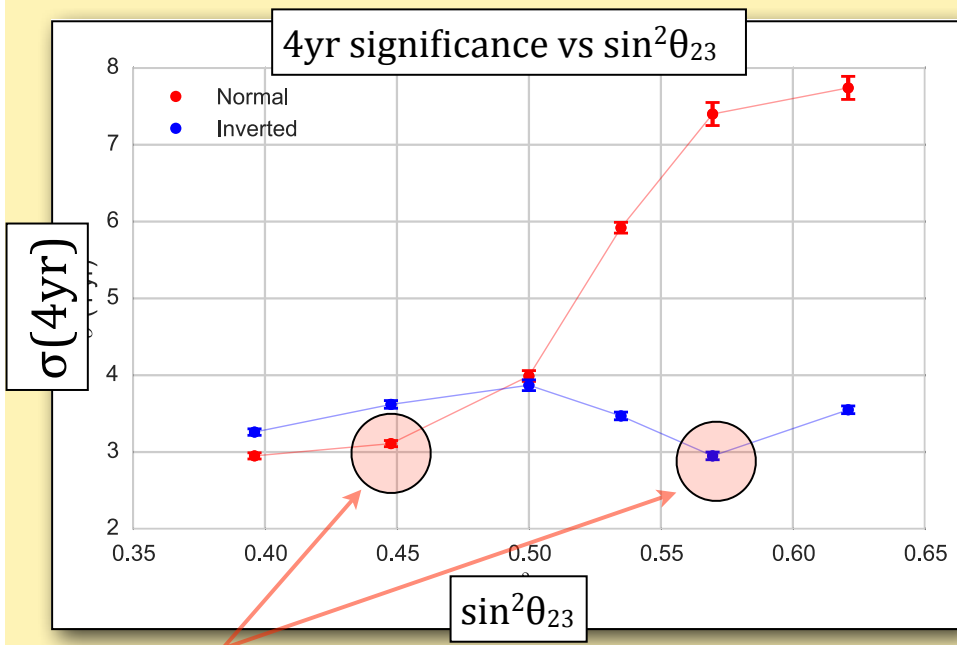
*(Shorter if include data from ~10 yrs DeepCore + partially deployed PINGU.)*



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*(Shorter if include data from ~10 yrs DeepCore + partially deployed PINGU.)*

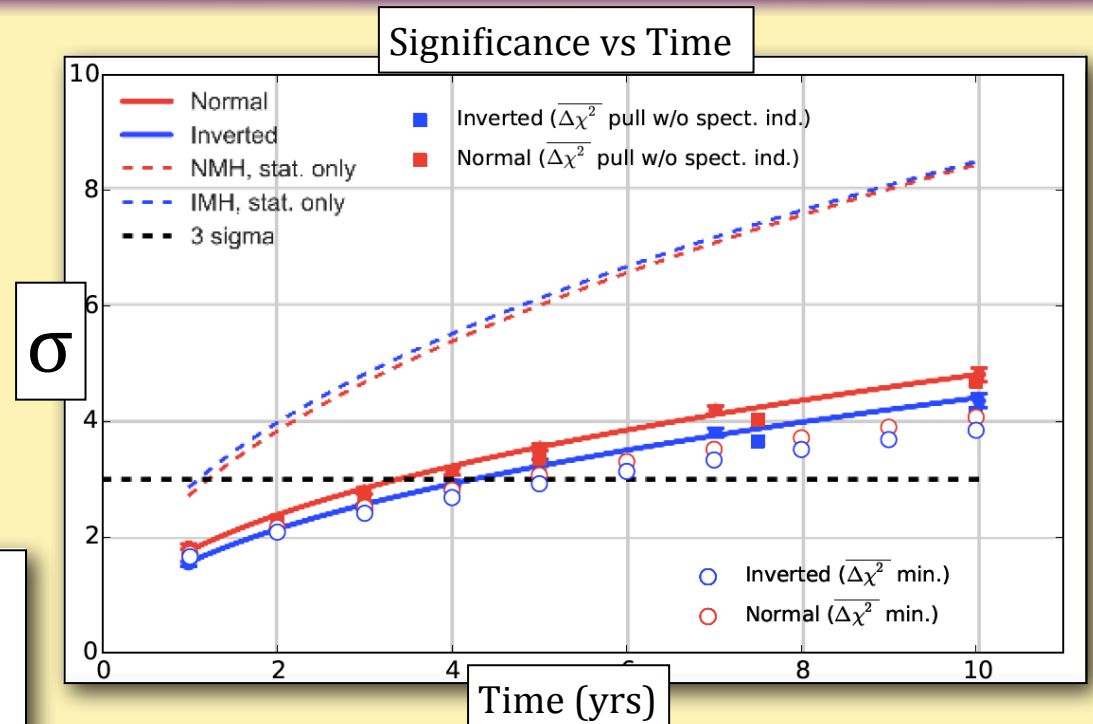
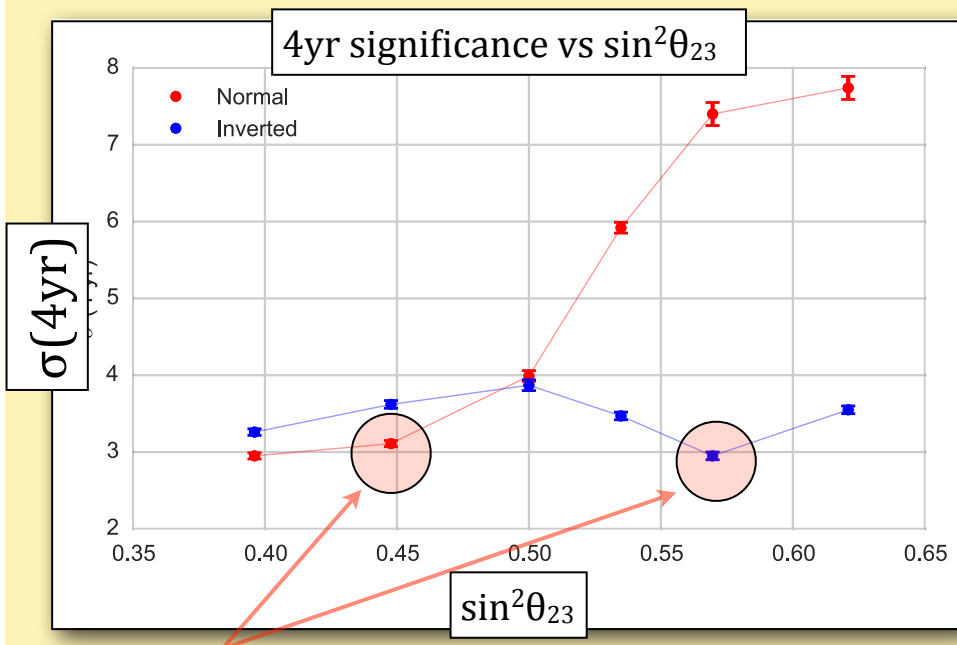


**NuFit 2014 values: ~most conservative!**

# Predicted NMH Sensitivity

**Predict  $3\sigma$  significance in 3.5-4yrs of live time (@NuFit 2014 values).**

*(Shorter if include data from ~10 yrs DeepCore + partially deployed PINGU.)*

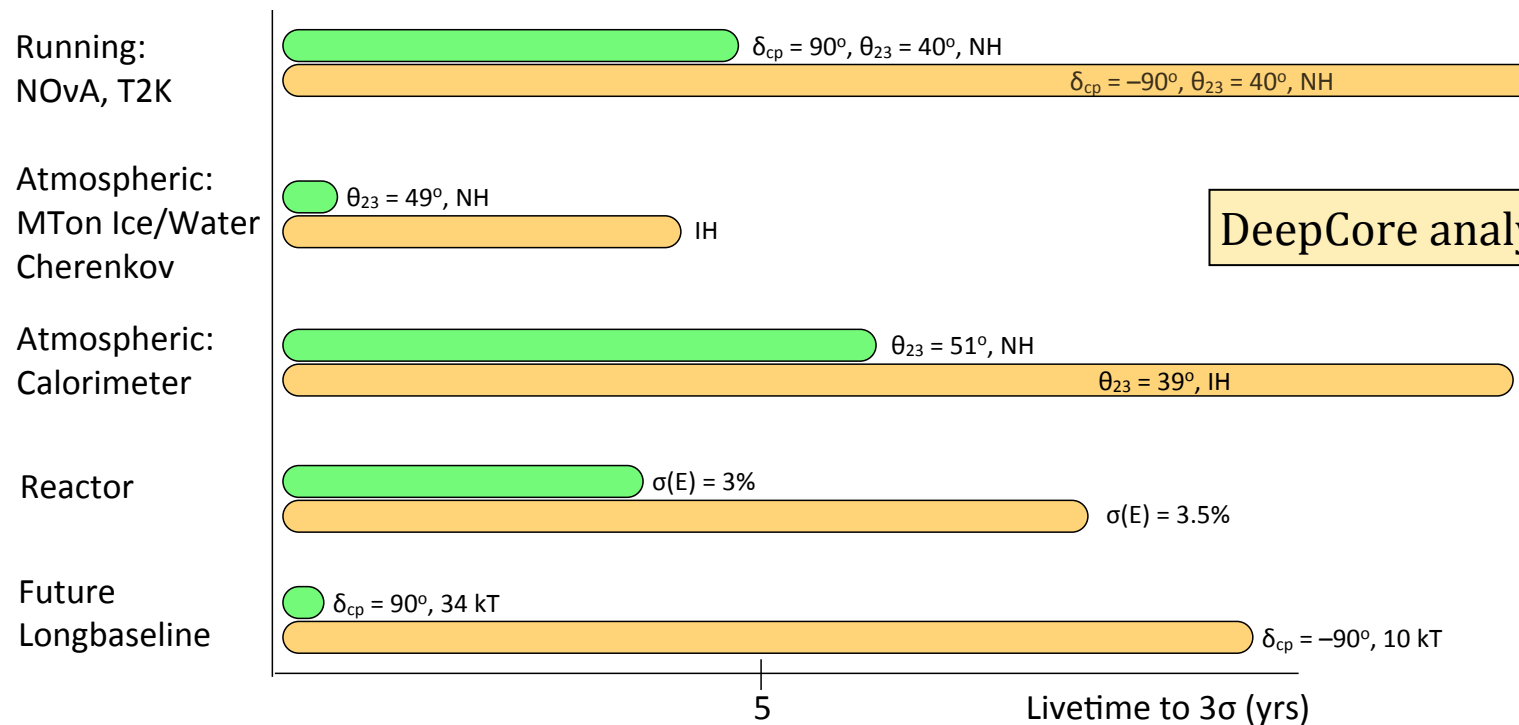


We are currently doing a “dry run” NMH analysis with DeepCore. Expect  $\sim 1\sigma$  significance.

NuFit 2014 values: ~most conservative!

# Global Context

## Sensitivity to the Neutrino Mass Hierarchy



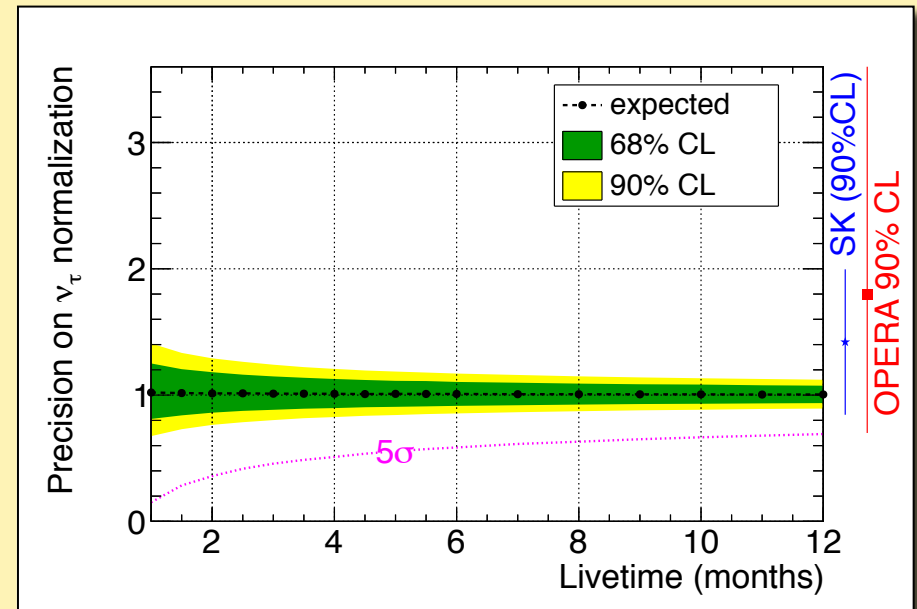
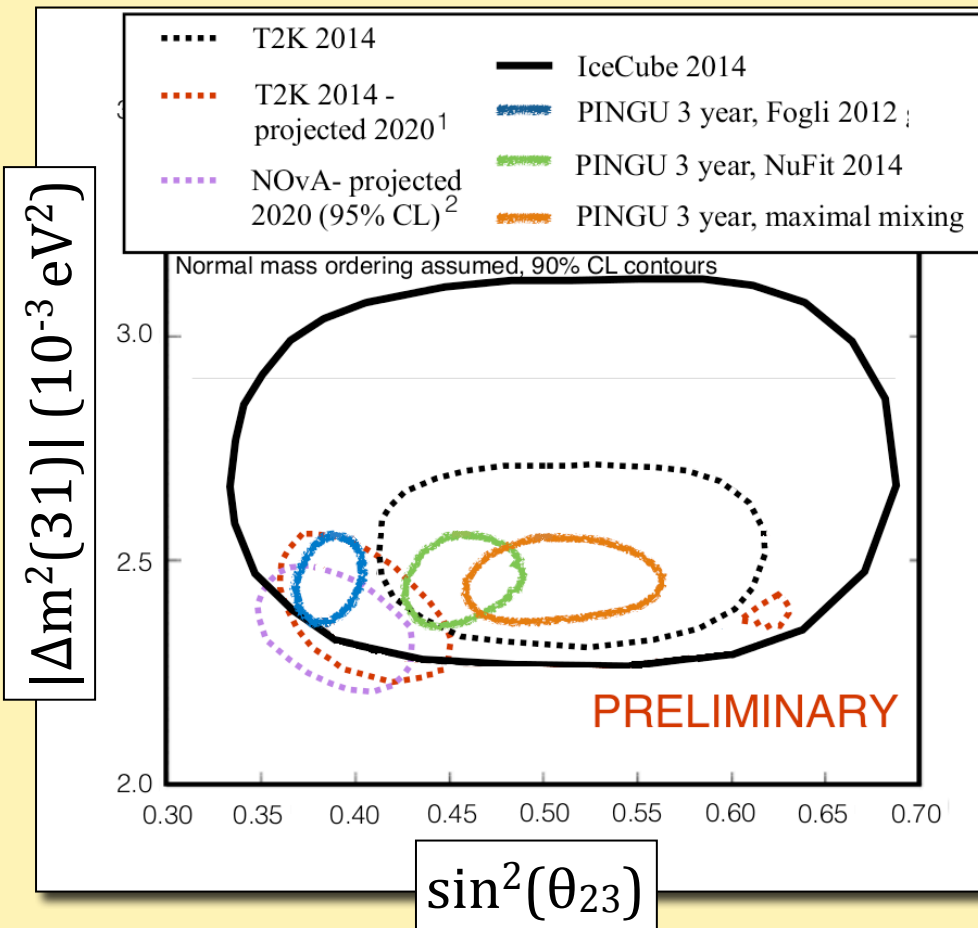
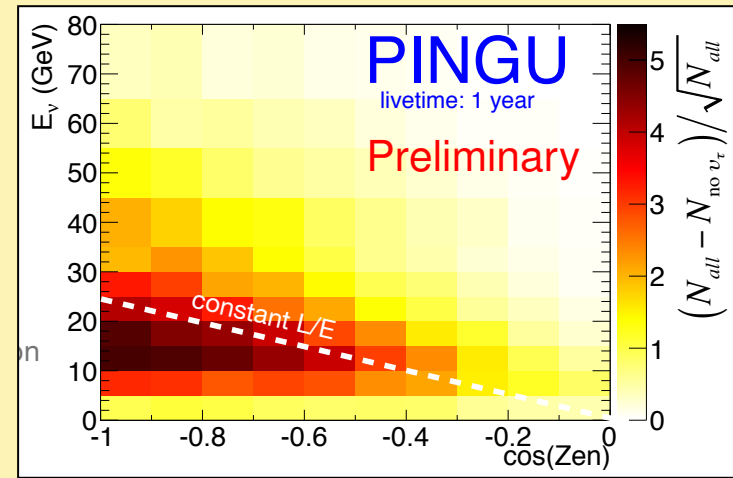
DeepCore analyses: underway

PINGU (and ORCA) are low cost. PINGU construction is low risk and fast. NMH measurement very competitive.

Sources: arXiv:1311.1822, arXiv:1401.2046v1, arXiv:1406.3689v1, Neutrino 2014, LBNE-doc-8087-v10

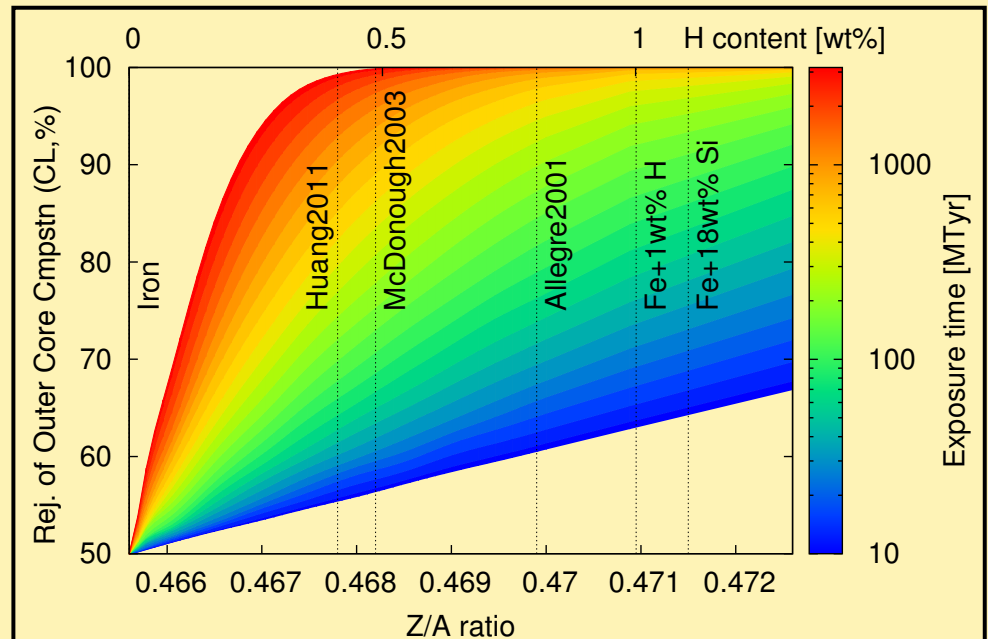
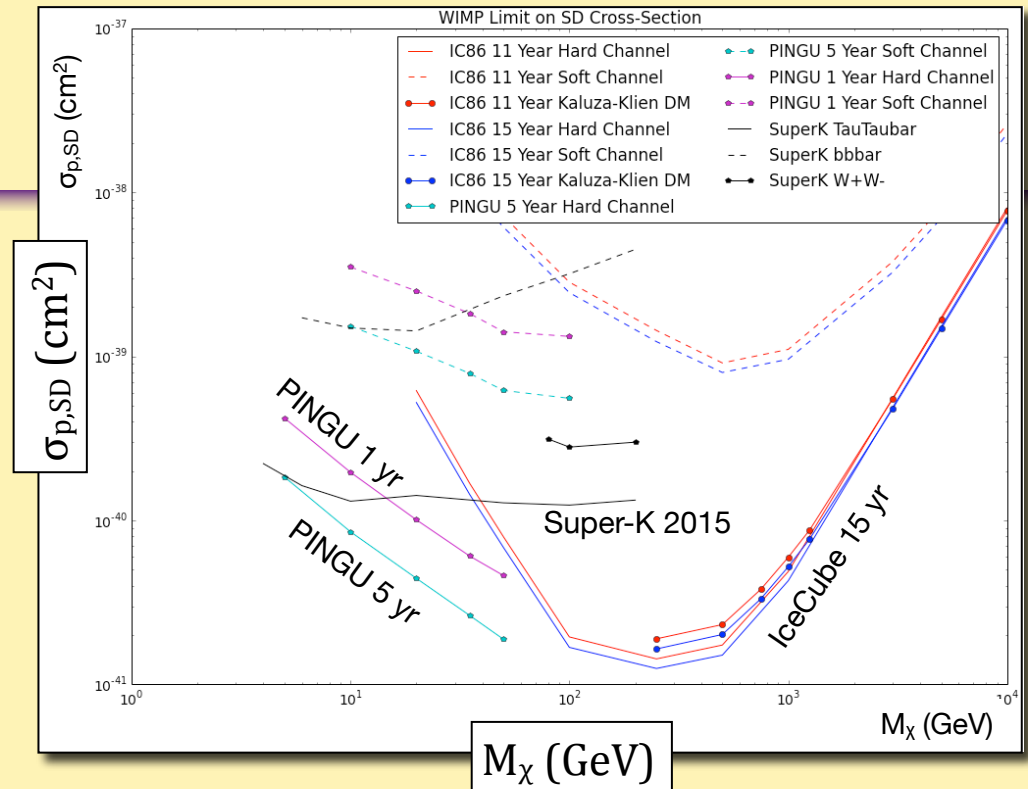
# PINGU $\nu_{\text{atm}}$ Oscillation Physics

World-class measurements of atmospheric  $\nu$  mixing parameters via  $\nu_{\mu}$  disappearance and  $\nu_{\tau}$  appearance



# DM, Tomo., SNe

- Solar WIMP dark matter searches would be competitive with Super-K down to 5GeV
- Earth tomography
  - Requires many MT·yrs of data, but unique capability
- Supernova detection would benefit from closer DOM spacing
  - gain measurement of energy spectrum



# UK Involvement in Gen2

- Oxford University
  - Subir Sarkar
  - Full membership
    - Theoretical aspects of high energy neutrino interactions
- University of Manchester
  - Justin Evans, Stefan Soldner-Rembold, Steven Wren (grad. student)
  - Associate membership
    - Analyze DeepCore data for NMH
    - Contribute to aspects of Gen2 DAQ firmware development
    - Co-chair PINGU analysis working group
- Queen Mary University London
  - Teppei Katori, Shivesh Mandalia (grad. student)
  - Associate membership
    - Differential cross section analysis
    - PINGU software, Gen2 PMT and DOM noise studies and modeling

# The IceCube-PINGU Collaboration



12 Countries  
45 Institutions  
260 Scientists

## International Funding Agencies

Fonds de la Recherche Scientifique (FRS-FNRS)  
Fonds Wetenschappelijk Onderzoek-Vlaanderen (FWO-Vlaanderen)  
Federal Ministry of Education & Research (BMBF)  
German Research Foundation (DFG)

Deutsches Elektronen-Synchrotron (DESY)  
Inoue Foundation for Science, Japan  
Knut and Alice Wallenberg Foundation  
NSF-Office of Polar Programs  
NSF-Physics Division

Swedish Polar Research Secretariat  
The Swedish Research Council (VR)  
University of Wisconsin Alumni Research Foundation (WARF)  
US National Science Foundation (NSF)



# Conclusions

- DeepCore has produced neutrino oscillation results that are highly competitive on the world stage
  - Even better results are in the pipeline
- The PINGU physics case is compelling
  - The neutrino mass hierarchy is a fundamental parameter
    - The PINGU NMH significance has been very robust
  - Capable of numerous other high-profile, very competitive measurements
- Community interest in PINGU is strong and growing
  - Endorsed by high-profile “P5” panel in the US
  - PINGU LoI(v1) has 65 total citations (19 in refereed journals)
    - LoI(v2) in the works
  - So far this year there have been PINGU talks at ~10 conferences
- If you’re interested in joining Gen2, let me know!