

# Recent results from Kaon Physics

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# Outline

- Yesterday: a brief historical tour (with some news)
  - Kaons and CP
  - Chiral Perturbation Theory
  - CP violation and CPT tests
- Today: latest results
  - Form Factors
  - Rare and radiative decays
  - Lepton universality
- Tomorrow: a new generation of experiments
  - FCNC
  - KoTO, NA62, ORKA

# Discovery of Kaons

Discovered in the '40s(cosmics) - '50s(lab):

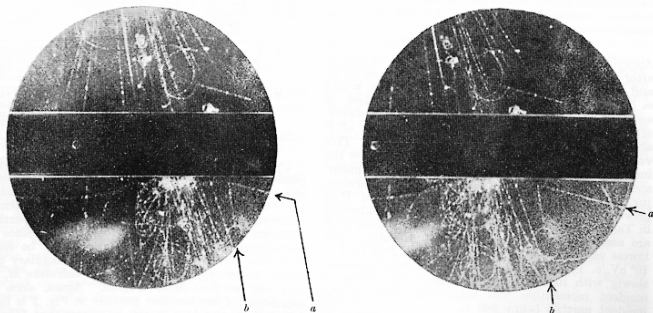


Fig. 1. STEREOSCOPIC PHOTOGRAPHS SHOWING AN UNUSUAL FORK ( $a$   $b$ ) IN THE GAS. THE DIRECTION OF THE MAGNETIC FIELD IS SUCH THAT A POSITIVE PARTICLE COMING DOWNWARDS IS DEVIATED IN AN ANTICLOCKWISE DIRECTION

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# Discovery of Kaons

Discovered in the '40s(cosmics) - '50s(lab):

- Introduction of Strangeness
- $K^0$  and  $\bar{K}^0$  with the same mass? No
- Weak interactions do not conserve Strangeness
- $K^0$  and  $\bar{K}^0$  are not mass eigenstates
- Assuming  $CP$  is conserved:
  - $CP K^0 = \bar{K}^0$
  - $K_1 = \frac{1}{\sqrt{2}}(K^0 + \bar{K}^0)$
  - $K_2 = \frac{1}{\sqrt{2}}(K^0 - \bar{K}^0)$
  - $K_1$  and  $K_2$  are  $CP$  and (maybe) mass eigenstates

# Discovery of CP Violation

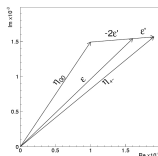
- If  $K_1$  and  $K_2$  were mass eigenstates
  - $K_1$  ( $CP = +1$ ) would not decay in  $\pi^+\pi^-\pi^0$  ( $CP = -1$ )
  - $K_2$  ( $CP = -1$ ) would not decay in  $\pi^+\pi^-$  ( $CP = +1$ )
  - So the lifetime of  $K_1$  would be  $\ll$  of the  $K_2$ 's one ( $\approx 600$  times)
- It's **almost** true:
  - "Sometimes" " $K_2$ " decays in  $\pi^+\pi^-$
- Then **it's not** true, therefore:
  - The mass eigenstates are  $K_S$  e  $K_L$ :
    - $K_S = K_1 + \epsilon K_2$
    - $K_L = K_2 + \epsilon K_1$
  - E  $CP$  is not conserved

# CP Violation in the Standard Model

- $\epsilon$  is the indirect CP violation (mixing)

- Classical parameters:

- $\eta_{+-} = \frac{K_L \rightarrow \pi^+ \pi^-}{K_S \rightarrow \pi^+ \pi^-} = \epsilon + \epsilon'$
- $\eta_{00} = \frac{K_L \rightarrow \pi^0 \pi^0}{K_S \rightarrow \pi^0 \pi^0} = \epsilon - 2\epsilon'$
- $\Delta\phi = \phi_{00} - \phi_{+-} = -3\text{Im}\left(\frac{\epsilon'}{\epsilon}\right)$



- $\epsilon'$  is the direct CP violation (decay)

- All described in the Standard Model by the Kobayashi-Maskawa mechanism, that predicted the third generation of quarks

$$\begin{pmatrix} c_{12}c_{13} & s_{12}s_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{CP}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{CP}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{CP}} & c_{23}c_{13} \end{pmatrix}$$

# Measuring $\epsilon$ and $\epsilon'$

- $\epsilon \ O(10^{-3})$ 
  - $\eta_{+-}$  or  $\eta_{00}$ , because  $\epsilon' \ll \epsilon$ , but better in the interference region
  - $2Re(\epsilon) = \frac{K_L \rightarrow \pi^- l^+ \nu - K_L \rightarrow \pi^+ l^- \bar{\nu}}{K_L \rightarrow \pi^- l^+ \nu + K_L \rightarrow \pi^+ l^- \bar{\nu}}$
- $\epsilon' \ O(10^{-6})$ :
  - not accessible from the previous measurements
  - $|\frac{\eta_{00}}{\eta_{+-}}|^2 = 1 - 6Re(\frac{\epsilon'}{\epsilon})$
- In practice?
  - $\epsilon$  was measured in both ways since '64
  - $\frac{\epsilon'}{\epsilon}$  had to wait the end of '90s

# Low energy QCD

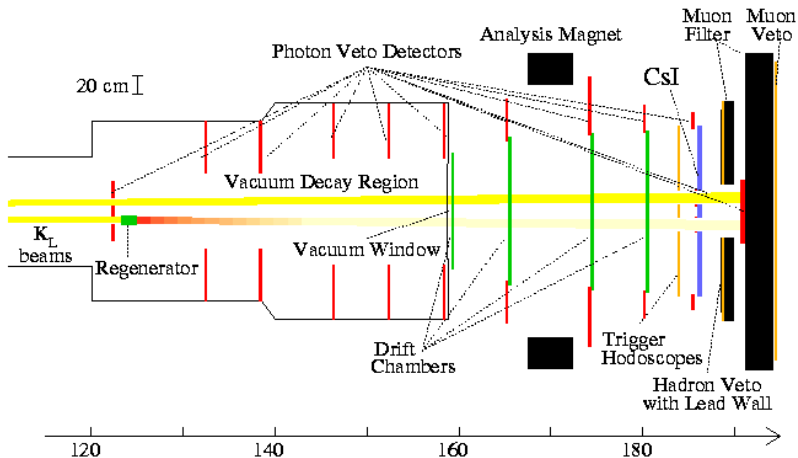
- Most kaon decays governed by long distance physics
- Non perturbative QCD
- Chiral Perturbation Theory:
  - effective field theory in terms of QCD Goldstone bosons
  - expansion in powers of momenta and quark masses over  $\Lambda_\chi \approx 1 \text{ GeV}$
  - theoretical framework both for (semi)leptonic and nonleptonic decays, including radiative decays
  - pseudoscalar-octet + electroweak operators
  - a set of Low Energy Constants to be extracted from experiments by measuring Form Factors

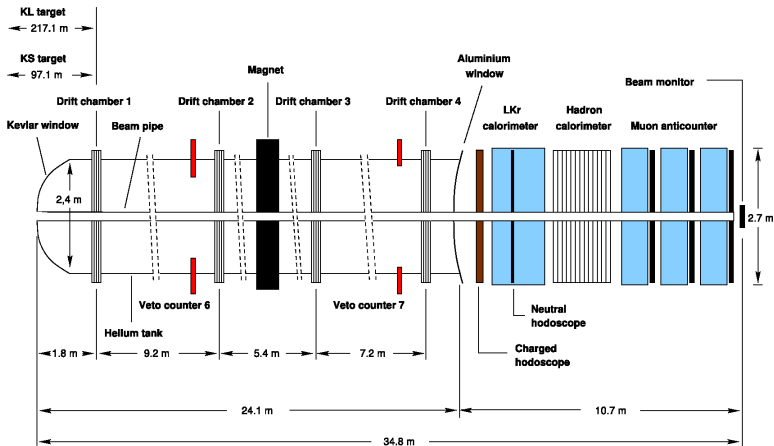


$$\epsilon'/\epsilon$$

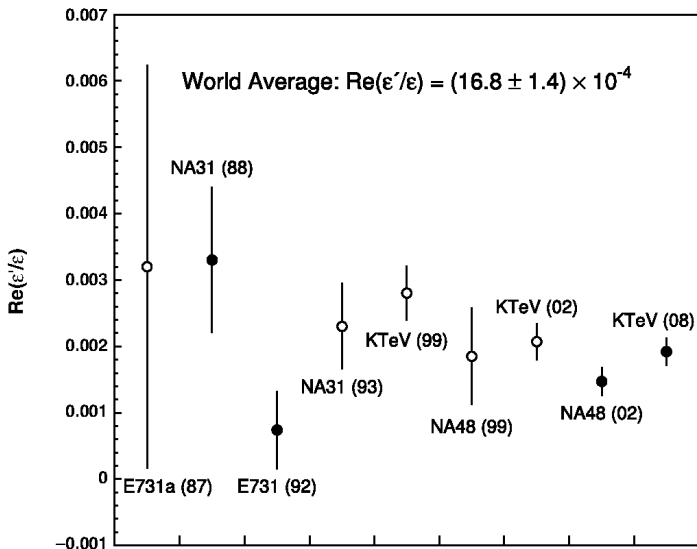
- Measuring all the 4 decays simultaneously to exploit cancellation of systematics
- NA48 and KTeV were designed to do so:
  - Intense  $K_L$  beams at high momentum (for  $K_L \rightarrow \pi^0\pi^0$ ) with decay regions  $\approx 100m$  for both experiments
  - Production of  $K_S$  by means of a regenerator (KTeV) or a second target close to the decay region (NA48)

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  - KTeV:
 
$$Re\left(\frac{\epsilon'}{\epsilon}\right) = (2.071 \pm 0.148_{stat} \pm 0.239_{syst})10^{-3} = (2.07 \pm 0.28)10^{-3}$$
  - NA48:
 
$$Re\left(\frac{\epsilon'}{\epsilon}\right) = (1.47 \pm 0.14_{stat} \pm 0.09_{stat/syst} \pm 0.15_{syst})10^{-3} = (1.47 \pm 0.22)10^{-3}$$

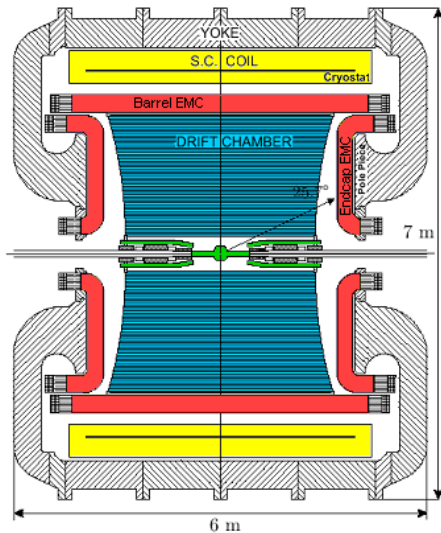
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- World average  $Re(\frac{\epsilon'}{\epsilon}) = (16.8 \pm 1.4)10^{-4}$
- Lattice QCD result with poor precision [Phys. Rev. D68 (2003) 114506]
- New approach: using experimental value as input to IQCD [arxiv:1206.5142[hep-lat]]

$$K_S \rightarrow \pi^0 \pi^0 \pi^0$$

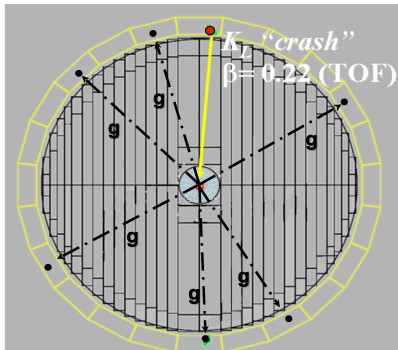
- $\eta_{000} = \frac{K_L \rightarrow 3\pi^0}{K_S \rightarrow 3\pi^0} = \epsilon + \epsilon'_{000}$  ( $\epsilon'_{000} = -2\epsilon'$  to lowest order ChPT)
- Standard Model prediction:  $BR(K_S \rightarrow 3\pi^0) = 1.9 \times 10^{-9}$
- SND (direct search) 1999:  $BR(K_S \rightarrow 3\pi^0) < 1.4 \times 10^{-5}$
- NA48 (interference measurement) 2004:  
 $BR(K_S \rightarrow 3\pi^0) < 7.4 \times 10^{-7}$
- KLOE (direct search) 2005:  $BR(K_S \rightarrow 3\pi^0) < 1.2 \times 10^{-7}$
- KLOE (direct search) 2012 (full statistics):  
 $BR(K_S \rightarrow 3\pi^0) < 2.7 \times 10^{-8}$
- First observation feasible in KLOE-2:
  - new inner tracker
  - small calorimeters for better photon coverage near the interaction point

$$K_S \rightarrow \pi^0 \pi^0 \pi^0$$

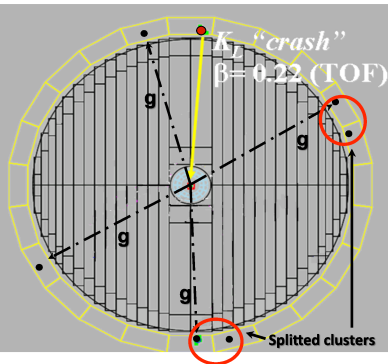




$$K_S \rightarrow \pi^0 \pi^0 \pi^0$$

 $K_L \rightarrow 3\pi^0$ 
**SIGNAL**

$$K_S \rightarrow 3\pi^0 \rightarrow 6\gamma$$

 $K_S \rightarrow 2\pi^0 + \text{accidental/splitted clusters}$ 
**BACKGROUND**

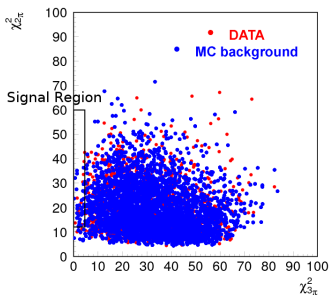
$$K_S \rightarrow 2\pi^0 + \text{accidental/splitted clusters}$$

$$K_L \rightarrow 3\pi, K_S \rightarrow \pi^+ \pi^- \text{ („fake } K_L \text{ crash“)}$$

coverage near the interaction point

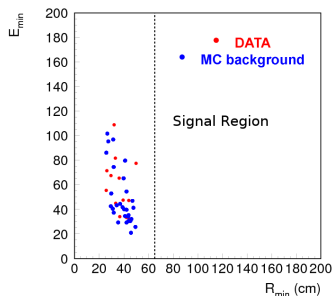
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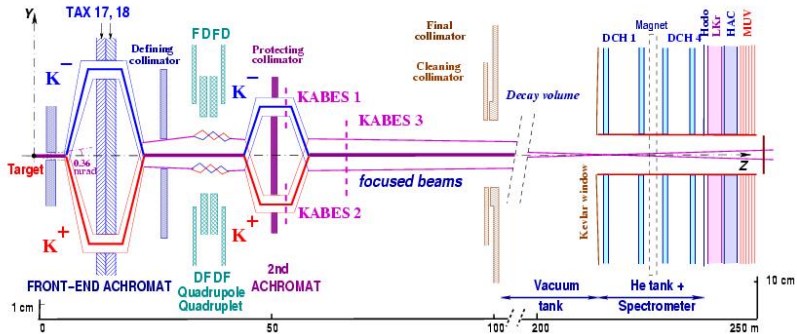


# Charge asymmetries in NA48/2

- $\Gamma(K^\pm \rightarrow \pi^\pm \pi \pi) \propto 1 + g \cdot u + h \cdot u^2 + k \cdot v^2$
- $A_g = \frac{g^+ - g^-}{g^+ + g^-}$ : CPV in decay
- SM expectation  $O(10^{-5} - 10^{-6})$

	$A_g$	
$K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$	$(-1.5 \pm 2.2)$	$10^{-4}$
$K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$	$(1.8 \pm 1.8)$	$10^{-4}$
$K^\pm \rightarrow \pi^\pm \pi^0 \gamma$	$(0.0 \pm 1.2)$	$10^{-3}$
$K^\pm \rightarrow \pi^\pm e^+ e^-$	$(-2.2 \pm 1.6)$	$10^{-2}$
$K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$	$(1.2 \pm 2.3)$	$10^{-2}$

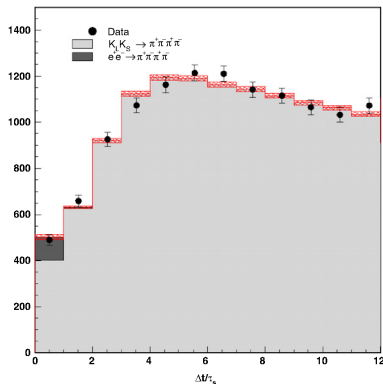
# Charge asymmetries in NA48/2



# CPT and quantum mechanics

In the CP-violating process  $\phi \rightarrow K_S K_L \rightarrow \pi^+ \pi^- \pi^+ \pi^-$

- $I(\Delta t) \propto e^{-\Gamma_L \Delta t} + e^{-\Gamma_S \Delta t} - 2(1 - \zeta_{SL})e^{-\frac{\Gamma_L + \Gamma_S}{2} \Delta t} \cos(\Delta m \Delta t)$
- $\Delta m = m_{K_L} - m_{K_S}$ ,  $\Delta t$  decay time difference,  $\zeta_{SL}$  decoherence parameter
- $\rightarrow 2\zeta_{SL} \left(1 - \frac{\Gamma_L + \Gamma_S}{2} \Delta t\right)$ ,  $\Delta t \rightarrow 0$



KLOE:

$$\zeta_{SL} = 0.018 \pm 0.040_{stat} \pm 0.007_{syst}$$

[Phys. Lett. B 642 (2006) 315]

# CPT and Lorentz invariance

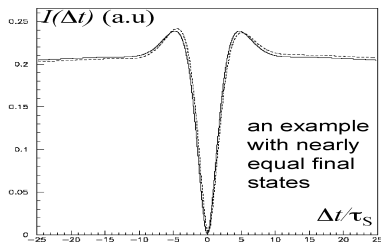
Standard Model Extension (SME): a phenomenological effective model providing a framework for CPT and Lorentz violation  
[Kostelecky PRD61, 016002, PRD64, 076001]

- $\epsilon_{S,L} = \epsilon \pm \delta$
- $\delta = i \sin \phi_{SW} e^{i\phi_{SW}} \gamma_K (\Delta a_0 - \vec{\beta}_K \cdot \Delta \vec{a}) / \Delta m$
- $\Delta a_0, \Delta \vec{a}$  are four parameters associated to SME lagrangian terms and related to CPT and Lorentz violation

Exploiting interferometry:

$$I(\Delta t) \propto |\eta_1|^2 e^{-\Gamma_L \Delta t} + |\eta_2|^2 e^{-\Gamma_S \Delta t} - 2|\eta_1||\eta_2| e^{-\frac{\Gamma_L + \Gamma_S}{2} \Delta t} \cos(\Delta m \Delta t)$$

- $\eta_1^{+-} = \epsilon(1 - \delta(\vec{p}, t))$
- $\eta_2^{+-} = \epsilon(1 - \delta(-\vec{p}, t))$
- $Im(\delta)$  from small  $\Delta t$
- $Re(\delta)$  from large  $\Delta t$



# CPT and Lorentz invariance

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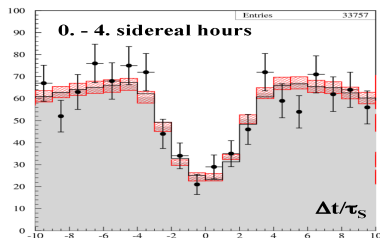
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KLOE with  $L=1 \text{ fb}^{-1}$  (preliminary):

- $\Delta a_x = (-6.3 \pm 6.0) \times 10^{-18} \text{ GeV}$
- $\Delta a_y = (2.8 \pm 5.8) \times 10^{-18} \text{ GeV}$
- $\Delta a_z = (2.4 \pm 9.7) \times 10^{-18} \text{ GeV}$

KTeV:  $\Delta a_x, \Delta a_y < 9.2 \times 10^{-22} \text{ GeV}$





# $K_{l3}(K \rightarrow \pi^0 e \nu_e, K \rightarrow \pi^0 \mu \nu_\mu)$

- $\Gamma(K_{l3}(\gamma)) = \frac{m_K^5 G_F^2}{192\pi^3} C_K^2 S_{EW} |V_{us}|^2 |f_+(0)|^2 I_K^l (1 + 2\delta_{SU(2)}^l + 2\delta_{EM}^l)$   
 $C_K^2 = 1$  for  $K^0$ ,  $= 1/2$  for  $K^\pm$ ,  $S_{EW} = 1.0232$  (short distance EW correction)
- from experiments:  $\Gamma(K_{l3}(\gamma))$ ,  $I_K^l$  (form factors integral)
- from theory:  $f_+(0)$  (hadronic matrix element at  $q^2 = 0$ ),  
 $\delta_{SU(2)}^l$ ,  $\delta_{EM}^l$  (SU(2) breaking and long distance EM corrections)
- extraction of  $|V_{us}|$  allows to test CKM unitarity:  
 $\Delta_{CKM} \equiv |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 - 1$
- FlaviaNet 2010:  
 $|V_{us}| = 0.2254 \pm 0.0013$   
 $\Delta_{CKM} = -0.0001 \pm 0.0006$

# $K_{l3}$ Form Factors

- $M = \frac{G_F}{2} |V_{us}| (f_+(t)(P_K + P_\pi)^\mu \bar{u}_l \gamma_\mu (1 + \gamma_5) u_\nu + f_-(t) m_l \bar{u}_l (1 + \gamma_5) u_\nu)$ ,  
 $t = q^2$
- scalar FF  $f_0(t)$  as linear combination of vector FF:  
$$f_0(t) = f_+(t) + \frac{t}{m_K^2 - m_\pi^2} f_-(t)$$
- $f_+(0)$  not measurable but  $\bar{f}_+(t) = \frac{f_+(t)}{f_+(0)}$ ,  $\bar{f}_0(t) = \frac{f_0(t)}{f_+(0)}$  are accessible

$K_{l3}$  Form Factors

- $M$  FLAG-2 update (G. Colangelo at Lattice 2012)

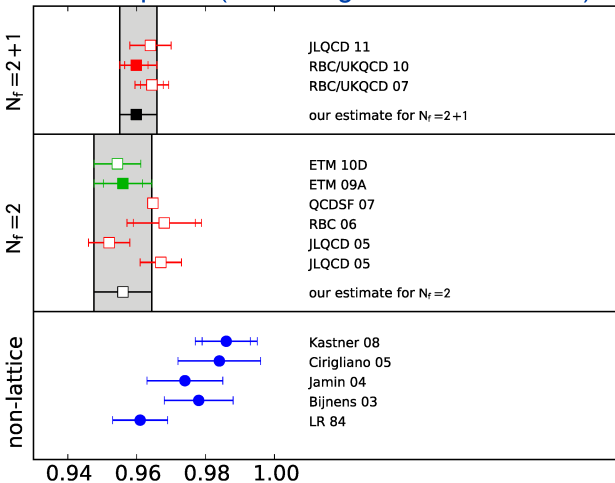
- $t =$

- $sca$

- $f_0$

- $f_+$

- $acc$

 $t =$

# $K_{l3}$ Form Factors

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Parametrizations:

- Pole: assume the exchange of a vector ( $1^-$ ) or scalar ( $0^+$ ) resonances ( $m_{V,S}$ )

$$\bar{f}_{+,0}(t) = \frac{m_{V,S}^2}{m_{V,S}^2 - t}$$

- Linear and quadratic (no physical meaning):

$$\bar{f}_{+,0}(t) = 1 + \lambda_{+,0} \frac{t}{m_\pi^2}$$

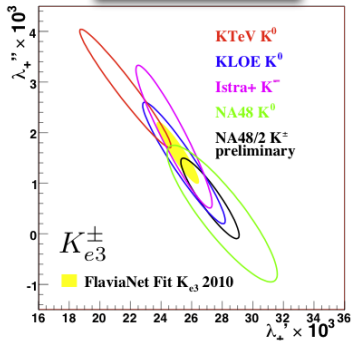
$$\bar{f}_{+,0}(t) = 1 + \lambda'_{+,0} \frac{t}{m_\pi^2} + \lambda''_{+,0} \left( \frac{t}{m_\pi^2} \right)^2$$

Results from  $K \rightarrow \pi^0 e \nu_e$ ,  $K \rightarrow \pi^0 \mu \nu_\mu$ 

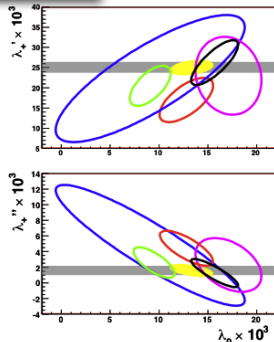
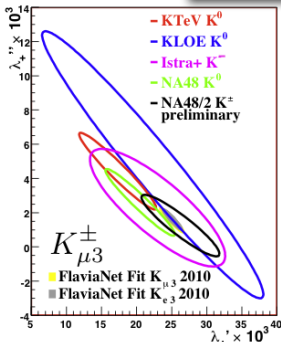
## NA48/2 Preliminary

Quadratic ( $\times 10^{-3}$ )	$\lambda'_+$	$\lambda''_+$	$\lambda'_0$
$K \rightarrow \pi^0 \mu \nu_\mu$	$26.3 \pm 3.0_{stat} \pm 2.2_{syst}$	$1.2 \pm 1.1_{stat} \pm 1.1_{syst}$	$15.7 \pm 1.4_{stat} \pm 1.0_{syst}$
$K \rightarrow \pi^0 e \nu_e$	$27.2 \pm 0.7_{stat} \pm 1.1_{syst}$	$0.7 \pm 0.3_{stat} \pm 0.4_{syst}$	
Pole ( $\text{MeV}/c^2$ )	$m_V$		$m_S$
$K \rightarrow \pi^0 \mu \nu_\mu$	$873 \pm 8_{stat} \pm 9_{syst}$		$1183 \pm 31_{stat} \pm 16_{syst}$
$K \rightarrow \pi^0 e \nu_e$	$879 \pm 3_{stat} \pm 7_{syst}$		

68% Confidence level contours

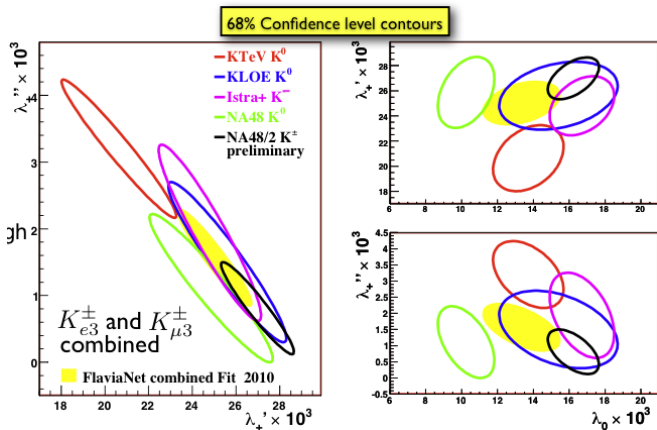


68% Confidence level contours



# Combined results from $K \rightarrow \pi^0 e \nu_e, K \rightarrow \pi^0 \mu \nu_\mu$

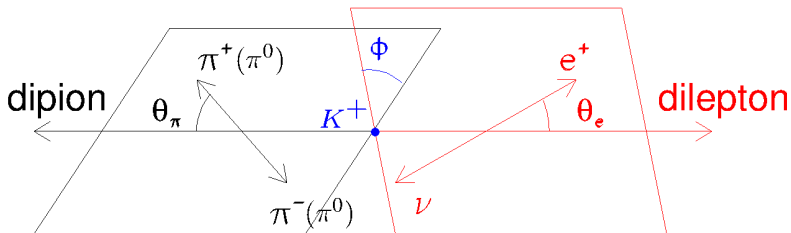
Quadratic ( $\times 10^{-3}$ )	$\lambda'_+$	$\lambda''_+$	$\lambda'_0$
	$26.91 \pm 1.11$	$0.81 \pm 0.46$	$16.23 \pm 0.95$
Pole (MeV/ $c^2$ )	$m_V$		$m_S$
	$877 \pm 6$		$1176 \pm 31$



- Results for  $K_{e3}$  and  $K_{\mu3}$  from NA48/2 in good agreement
- High precision preliminary results, competitive with other measurements. Smallest error in the combined result.

$K_{e4}$ 

- $K \rightarrow \pi^+\pi^-e\nu_e$ , called  $K_{e4}(+-)$
- $K \rightarrow \pi^0\pi^0e\nu_e$ , called  $K_{e4}(00)$



Five kinematic variables (Cabibbo-Maksymowicz 1965):

$$s_\pi = M_{\pi\pi}^2 \quad s_e = M_{e\nu}^2 \quad \cos\theta_\pi \quad \cos\theta_e \quad \phi$$

# $K_{e4}$ Form Factors

Partial Wave expansion, limited to S and P waves  
 [ Pais-Treiman (1968) + Watson theorem (T invariance) ]

Partial Wave expansion:

- 2 Axial Form Factors (F and G):
  - $F = F_s e^{i\delta_s} + F_p e^{i\delta_p} \cos\theta_\pi$
  - $G = G_p e^{i\delta_p}$
- 1 Vector Form Factors (H):
  - $H = H_p e^{i\delta_p}$

The fit parameters (real) are:

- (+-)  $F_s, F_p, G_p, H_p,$   
 $\delta = \delta_s - \delta_p$
- (+-)  $F_s$  only (no P-wave)

$q^2$  dependence can be studied from FF fitted in  $q^2$  bins [ J.Phys. G25, (1999) 1607 ]

$$F_s^2 = f_s^2 \left[ 1 + \frac{f'_s}{f_s} q^2 + \frac{f''_s}{f_s} q^4 + \frac{f'_e}{f_s} \frac{M_{e\nu}^2}{4m_\pi^2} \right]$$

$$\frac{G_p}{f_s} = \frac{g_p}{f_s} + \frac{g'_p}{f_s} q^2, \quad F_p = f_p, \quad H_p = h_p$$

$$q^2 = \left[ \frac{M_{\pi\pi}^2}{4m_\pi^2} - 1 \right]$$



$K_{e4}(+-)$  relative Form Factors: fit results (NA48/2)

NA48/2 total statistics (2003 + 2004)

	value	stat	syst
$\frac{f'_s}{f_s}$	0.152	$\pm 0.007$	$\pm 0.005$
$\frac{f''_s}{f_s}$	-0.073	$\pm 0.007$	$\pm 0.006$
$\frac{f'_e}{f_s}$	0.068	$\pm 0.006$	$\pm 0.007$
$\frac{f'_p}{f_s}$	-0.048	$\pm 0.003$	$\pm 0.004$
$\frac{g'_p}{f_s}$	0.868	$\pm 0.010$	$\pm 0.010$
$\frac{g''_p}{f_s}$	0.089	$\pm 0.017$	$\pm 0.013$
$\frac{h_p}{f_s}$	-0.398	$\pm 0.015$	$\pm 0.008$

Published in Eur. Phys J. C70 (2010) 635

# $K_{e4}(+-)$ branching fraction (NA48/2)

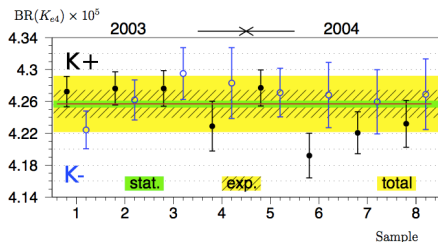
- Use  $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$  decays as normalization
- number of signal ( $1.11 \times 10^6$ ), background (0.95% of  $K_{e4}$ ) and normalization ( $1.9 \times 10^9$ ) events
- signal and normalization acceptance (18.19% and 23.97%) and trigger efficiency (98.5% and 97.7%)
- $BR(K^\pm \rightarrow \pi^\pm \pi^+ \pi^-) = (5.59 \pm 0.04)\%$

Relative Systematic Uncertainty	(%)
Acceptance, beam geom.	0.18
Muon vetoing	0.16
Accidental activity	0.21
Particle ID	0.09
Background	0.07
Radiative effects	0.08
Trigger efficiency	0.11
Simulation statistics	0.05
Total systematics	0.37
External error [ $BR(K_{3\pi})$ ]	0.72

PDG 2012:  $(4.09 \pm 0.10) \times 10^{-5}$

$K^-$ : first measurement

Published in  
Physics Letters B 715 (2012) 105



$$BR(K_{e4}^+) = (4.255 \pm 0.008) \times 10^{-5} \quad BR(K_{e4}^-) = (4.261 \pm 0.011) \times 10^{-5}$$

$$BR[K_{e4}^\pm(+)] = (4.257 \pm 0.004_{stat} \pm 0.016_{syst} \pm 0.031_{ext}) \times 10^{-5}$$

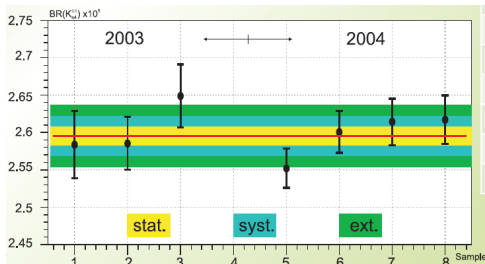
$K_{e4}(+-)$  absolute Form Factors (NA48/2)Overall form factor normalization:  $BR[K_{e4}^{\pm}(+-)]$ 

$f_s$	=	5.705	±	0.003 <sub>stat</sub>	±	0.017 <sub>syst</sub>	±	0.031 <sub>norm</sub>
	=	5.705	±	0.035 <sub>norm</sub>				
$f'_s$	=	0.867	±	0.040 <sub>stat</sub>	±	0.029 <sub>syst</sub>	±	0.005 <sub>norm</sub>
$f''_s$	=	-0.416	±	0.040 <sub>stat</sub>	±	0.034 <sub>syst</sub>	±	0.003 <sub>norm</sub>
$f'_e$	=	0.388	±	0.034 <sub>stat</sub>	±	0.040 <sub>syst</sub>	±	0.002 <sub>norm</sub>
$f_p$	=	-0.274	±	0.017 <sub>stat</sub>	±	0.023 <sub>syst</sub>	±	0.002 <sub>norm</sub>
$g_p$	=	4.952	±	0.057 <sub>stat</sub>	±	0.057 <sub>syst</sub>	±	0.031 <sub>norm</sub>
$g'_p$	=	0.508	±	0.097 <sub>stat</sub>	±	0.074 <sub>syst</sub>	±	0.003 <sub>norm</sub>
$h_p$	=	-2.271	±	0.086 <sub>stat</sub>	±	0.046 <sub>syst</sub>	±	0.014 <sub>norm</sub>

Published in Physics Letters B 715 (2012) 105

# $K_{e4}(00)$ branching fraction (NA48/2)

- Use  $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$  decays as normalization
- number of signal ( $4.49 \times 10^4$ ), background (1.3% of  $K_{e4}$ ) and normalization ( $71 \times 10^6$ ) events
- signal and normalization acceptance (1.77% and 4.11%) and trigger efficiency (92-98%)
- $BR(K^\pm \rightarrow \pi^\pm \pi^0 \pi^0) = (1.761 \pm 0.022)\%$



$$BR[K_{e4}^\pm(00)] = (2.595 \pm 0.012_{stat} \pm 0.024_{syst} \pm 0.032_{ext}) \times 10^{-5}$$

Relative Systematic Uncertainty (%)	(%)
Background	0.35
Simulation statistics	0.12
Form factor dependence	0.20
Radiative effects	0.23
Trigger efficiency	0.80
Particle ID	0.10
Beam geometry	0.10
Total systematics	0.94
External error [ $BR(K_{3\pi})$ ]	1.25

PDG 2012:  $(2.2 \pm 0.4) \times 10^{-5}$

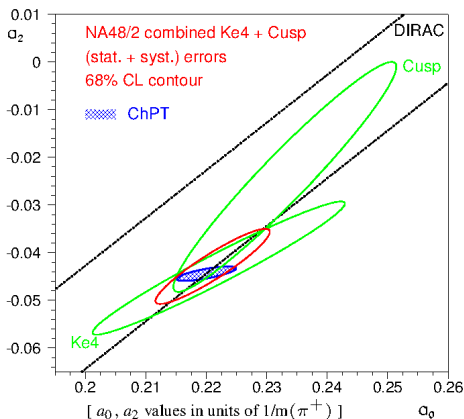
Preliminary result  
Analysis in progress

# $K_{e4}(+-)$ decay and $\pi\pi$ scattering lengths (NA48/2)

The S-wave  $\pi\pi$  scattering lengths  $a_0$  and  $a_2$  ( $l = 0$  and  $l = 2$ ) are precisely predicted by ChPT [NPB 603 (2001) 125, PRL 86 (2001) 5008]

Two statistically independent measurements by NA48/2:

- from the phase shift  $\delta(M_{\pi\pi}) = \delta_s - \delta_p$  in  $K_{e4}$  decay [Eur.Phys.J. C70 (2010) 635]
  - from the cusp in  $M_{\pi^0\pi^0}$  in  $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$  decay [Eur.Phys.J. C64 (2009) 589]
- Different systematics: electron misID and background vs. calorimeter and trigger
  - Different theoretical inputs: Roy equations and isospin breaking correction vs. rescattering in final state and ChPT expansion
  - Large overlap in the  $a_0, a_2$  plane
  - Impressive agreement with ChPT



$$K^\pm \rightarrow \pi^\pm \pi^0 \gamma$$

- $\gamma$  from Inner Bremsstrahlung and Direct Emission
- decay amplitude:

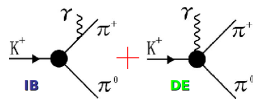
- $T_\pi^* = \pi^\pm$  kinetic energy

- $W^2 = \frac{(p_\pi \cdot p_\gamma)(p_K \cdot p_\gamma)}{m_K^2 m_\pi^2}$

- integrating  $T_\pi^*$ :  $\frac{d\Gamma^\pm}{dW} =$

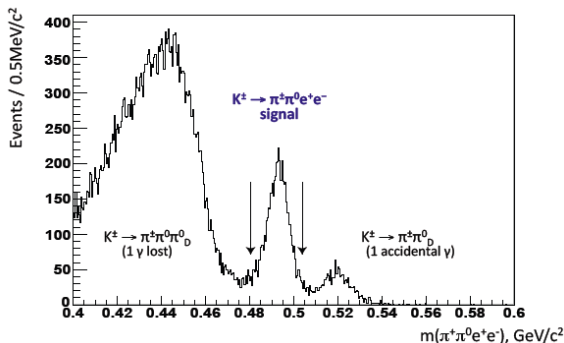
$$\frac{d\Gamma_{IB}^\pm}{dW} [1 + 2m_K^2 m_\pi^2 \cos(\pm\phi + \delta_1^1 - \delta_0^1) X_E W^2 + m_K^4 m_\pi^4 (|X_E|^2 + |X_M|^2) W^4]$$

- IB is known from  $K^\pm \rightarrow \pi^\pm \pi^0 + \text{QED corrections}$
  - DE amplitude contains electric XE and magnetic XM dipole terms
  - INT is interference between IB and electric DE (XE) amplitudes
- final NA48/2 results: [ EPJC68 (2010) 75 ]
  - $\text{Frac}(\text{DE}) = (3.32 \pm 0.15 \pm 0.14)10^{-2}$
  - $\text{Frac}(\text{INT}) = (-2.35 \pm 0.35 \pm 0.39)10^{-2}$  (first evidence)
  - $A_{CP} = \left| \frac{\Gamma^+ - \Gamma^-}{\Gamma^+ + \Gamma^-} \right| < 1.5 \times 10^{-3}$  (first measurement)



# $K^\pm \rightarrow \pi^\pm \pi^0 e^+ e^-$ (NA48/2 preliminary)

- Mainly from  $K^\pm \rightarrow \pi^\pm \pi^0 \gamma^* \rightarrow \pi^\pm \pi^0 e^+ e^-$  [ EPJC 72, (2012) 1872 ]
- DE and INT depend on XE and XM form factors
- First observation

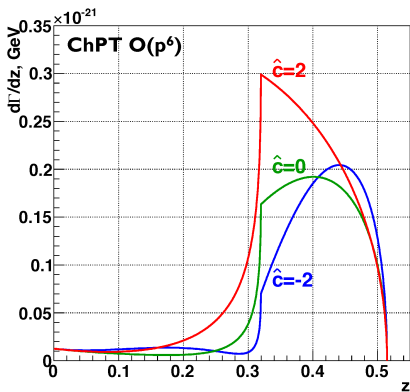
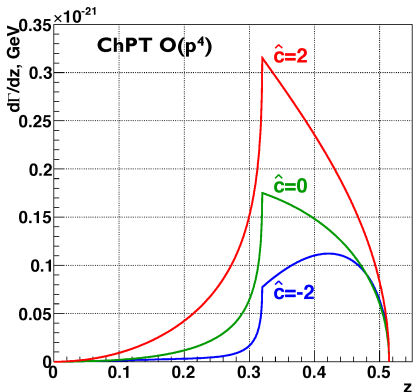


NA48/2 (2003+2004 data):

- $\approx 4500$  events in signal region
- $K^\pm \rightarrow \pi^\pm \pi^0 \pi_D^0$   
( $\pi_D^0 \rightarrow e^+ e^- \gamma_{LOST}$ )
- $K^\pm \rightarrow \pi^\pm \pi_D^0$   
( $\pi_D^0 \rightarrow e^+ e^-$ ) +  $\gamma_{ACC}$

$$K^\pm \rightarrow \pi^\pm \gamma \gamma$$

- $BR(z)$ ,  $z = \frac{m_{\gamma\gamma}^2}{m_K^2}$ , depends on a single unknown  $O(1)$  parameter  $\hat{c}$
- BNL E787: 31 candidates,  $BR = (1.10 \pm 0.32) \times 10^{-6}$  [PRL79 (1997) 4079]

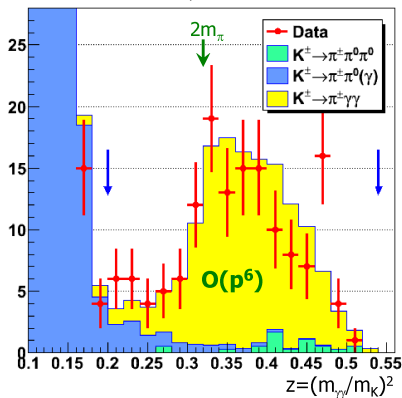




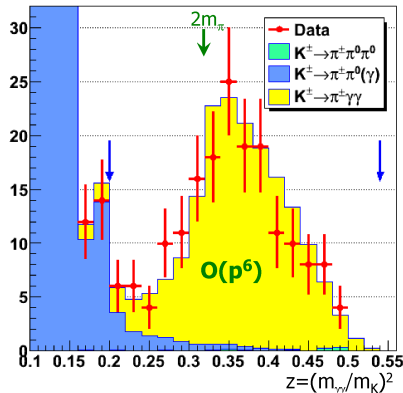
$$K^\pm \rightarrow \pi^\pm \gamma \gamma$$

- $BR(z)$ ,  $z = \frac{m_{\gamma\gamma}^2}{m_K^2}$ , depends on a single unknown  $O(1)$  parameter  $\hat{c}$
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NA48/2 2004



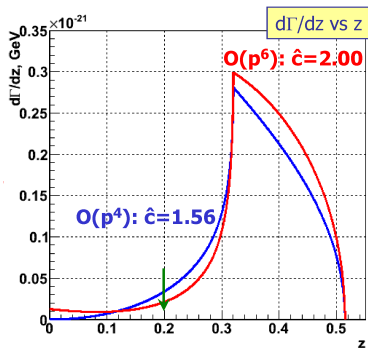
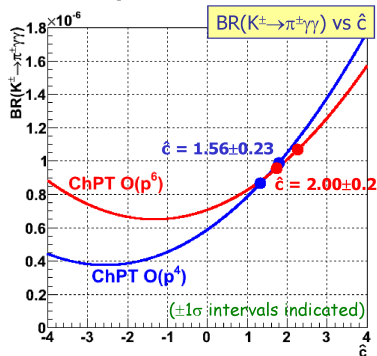
NA62 2007



- $\approx 300$  event candidates with  $O(10\%)$  background ( $z > 0.2$ )

$$K^\pm \rightarrow \pi^\pm \gamma \gamma$$

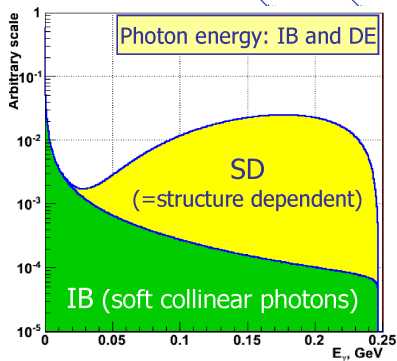
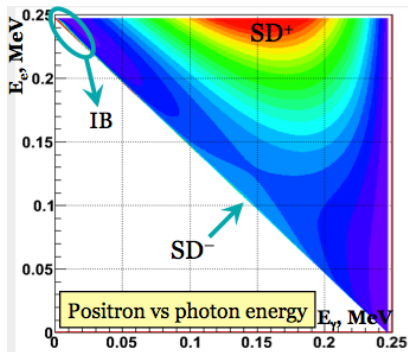
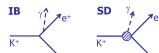
- $BR(z)$ ,  $z = \frac{m_\gamma^2}{m_K^2}$ , depends on a single unknown  $O(1)$  parameter  $\hat{c}$
- BNL E787: 31 candidates,  $BR = (1.10 \pm 0.32) \times 10^{-6}$  [PRL79 (1997) 4079]



- ChPT  $O(p^4)$  fit:  $\hat{c} = 1.56 \pm 0.22_{stat} \pm 0.07_{syst} = 1.56 \pm 0.23$
- ChPT  $O(p^6)$  fit:  $\hat{c} = 2.00 \pm 0.24_{stat} \pm 0.09_{syst} = 2.00 \pm 0.26$
- $BR = (1.01 \pm 0.06) \times 10^{-6}$  (model dependent)

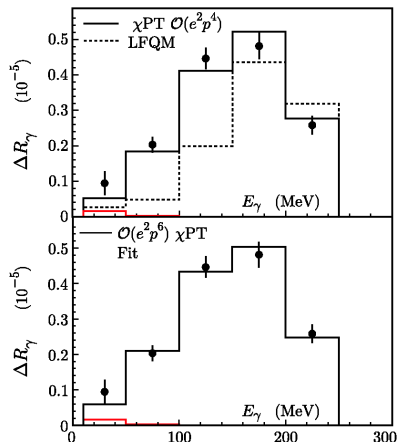
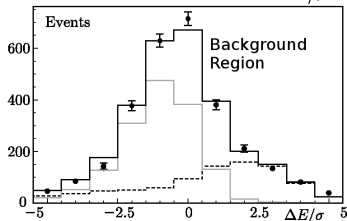
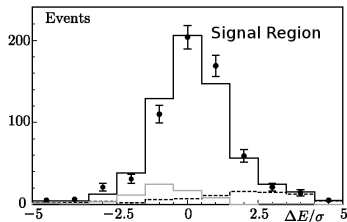
# $K \rightarrow e\nu_e\gamma$ SD+

- $\frac{d^2\Gamma_{SD}}{dx dy} = \frac{m_K^5 \alpha G_F^2 |V_{us}|^2}{64\pi^2} [(F_V + F_A)^2 f_{SD+}(x, y) + (F_V - F_A)^2 f_{SD-}(x, y)]$
- $f_{SD+}, f_{SD-}$  - known kinematics,  $x = \frac{2E_\gamma^*}{m_K}, y = \frac{2E_e^*}{m_K}$



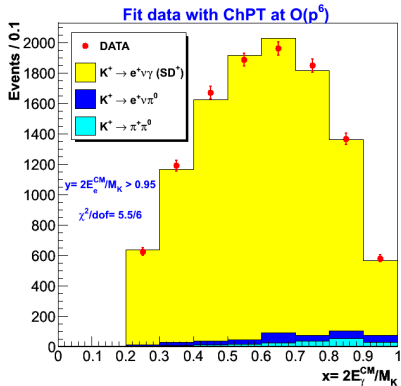
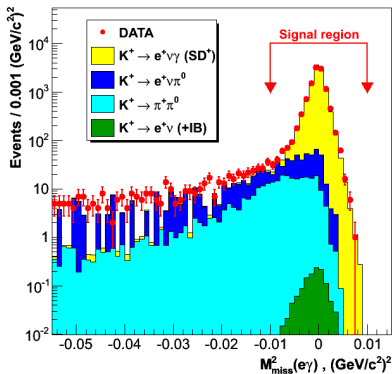
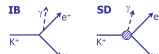
# $K \rightarrow e\nu_e\gamma$ SD+

- $\frac{d^2\Gamma_{SD}}{dx dy} = \frac{m_K^5 \alpha G_F^2 |V_{us}|^2}{64\pi^2} [(F_V + F_A)^2 f_{SD+}(x, y) + (F_V - F_A)^2 f_{SD-}(x, y)]$
- $f_{SD+}, f_{SD-}$  known kinematics,  $x = \frac{2E_\gamma^*}{m_K}, y = \frac{2E_e^*}{m_K}$
- KLOE 2009: 4% accuracy, compatible with  $O(p^4)$  Form Factor (constant) [Eur. Phys. J. C64 (2009) 627]



# $K \rightarrow e\nu_e\gamma$ SD+

- $\frac{d^2\Gamma_{SD}}{dx dy} = \frac{m_K^5 \alpha G_F^2 |V_{us}|^2}{64\pi^2} \left[ (F_V + F_A)^2 f_{SD+}(x, y) + (F_V - F_A)^2 f_{SD-}(x, y) \right]$
- $f_{SD+}, f_{SD-}$  - known kinematics,  $x = \frac{2E_\gamma^*}{m_K}, y = \frac{2E_e^*}{m_K}$



- NA62 preliminary
- $\approx 10000$  event candidates

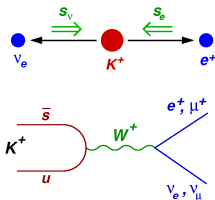
$R_K$  - LFV test

- $R_K = \frac{\Gamma(K \rightarrow e\nu_e)}{\Gamma(K \rightarrow \mu\nu_\mu)}$
- $BR(K \rightarrow e\nu) \approx O(10^{-5})$
- $BR(K \rightarrow \mu\nu) \approx 63\%$

- In the SM:

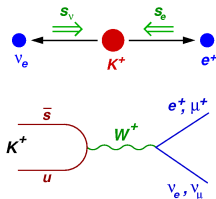
$$R_K = \underbrace{\left(\frac{m_e}{m_\mu}\right)^2}_{\text{helicity}} \left(\frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2}\right)^2 (1 + \underbrace{\delta R_{QED}}_{\text{Rad Corr}}) = (2.477 \pm 0.001) 10^{-5}$$

[PRL 99 (2007), 231801]



# $R_K$ - LFV test

- $R_K = \frac{\Gamma(K \rightarrow e\nu_e)}{\Gamma(K \rightarrow \mu\nu_\mu)}$
  - $BR(K \rightarrow e\nu) \approx O(10^{-5})$   
 $BR(K \rightarrow \mu\nu) \approx 63\%$
  - In the SM:  
 $R_K = (2.477 \pm 0.001)10^{-5}$ 
    - Hadronic uncertainties cancel in the ratio
    - Helicity suppression  $\approx 10^{-5}$
    - Radiative correction (few %) due to  $K \rightarrow e\nu_e\gamma(1B)$ , by definition included into  $R_K$
- [PRL 99 (2007), 231801]



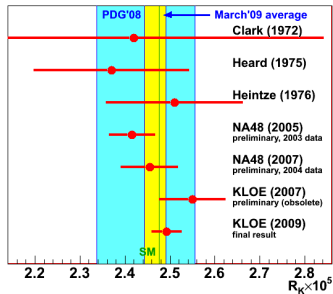
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  - Hadronic uncertainties cancel in the ratio
  - Helicity suppression  $\approx 10^{-5}$
  - Radiative correction (few %) due to  $K \rightarrow e\nu_e\gamma(1B)$ , by definition included into  $R_K$

[PRL 99 (2007), 231801]

- Experimentally:

- $R_K = (2.45 \pm 0.11)10^{-5}$  (PDG 2008, '70s measurements)  
 $\delta R_K/R_K \approx 4.5\%$
- $R_K = (2.493 \pm 0.031)10^{-5}$  (KLOE [Eur.Phys.J.C64 (2009) 627])  
 $\delta R_K/R_K \approx 1.3\%$
- It's worth to improve it because of its small and well predicted value





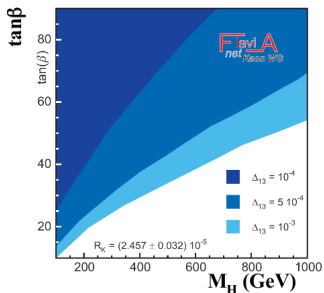
# $R_K$ in case of New Physics (MSSM)

- Expected effects within  $\delta R_K/R_K \approx 10^{-4} - 10^{-2}$
- A specific case:

$$R_K^{MSSM} = R_K^{SM} \left[ 1 + \left( \frac{m_K}{m_H} \right)^4 \left( \frac{m_\tau}{m_e} \right)^2 |\Delta_{13}|^2 \tan^6 \beta \right]$$

with  $m_H = 500 \text{ GeV}/c^2, |\Delta_{13}| = 5 \times 10^{-4}$  e  $\tan \beta = 40$

$$R_K^{MSSM} = R_K^{SM} (1 + 0.013) \quad [\text{PRD } 74 \text{ (2006) } 011701, \text{ JHEP } 0811 \text{ (2008) } 042]$$



$$\delta R_K/R_K \approx 1.3\%$$

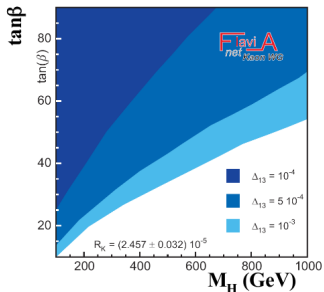
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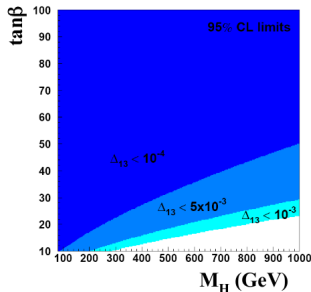
$$R_K^{MSSM} = R_K^{SM} \left[ 1 + \left( \frac{m_K}{m_H} \right)^4 \left( \frac{m_\tau}{m_e} \right)^2 |\Delta_{13}|^2 \tan^6 \beta \right]$$

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$$R_K^{MSSM} = R_K^{SM} (1 + 0.013) \quad [\text{PRD 74 (2006) 011701, JHEP 0811 (2008) 042}]$$



$$\delta R_K/R_K \approx 1.3\%$$



$$\delta R_K/R_K \approx 0.3\%$$

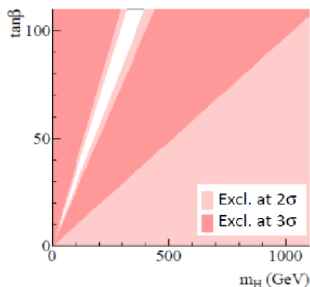
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- A specific case:

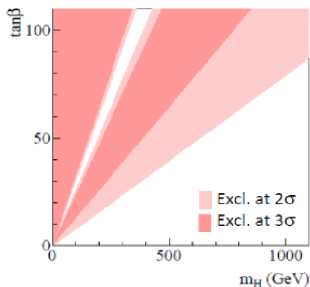
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with  $m_H = 500 \text{ GeV}/c^2$ ,  $|\Delta_{13}| = 5 \times 10^{-4}$  e  $\tan \beta = 40$

$$R_K^{MSSM} = R_K^{SM} (1 + 0.013) \quad [\text{PRD } 74 \text{ (2006) } 011701, \text{ JHEP } 0811 \text{ (2008) } 042]$$



Exclusive  $|V_{ub}|$



Inclusive  $|V_{ub}|$

From B physics for comparison

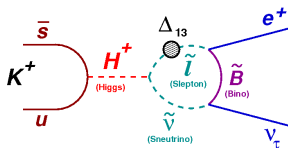
# $R_K$ in case of New Physics (MSSM)

- Expected effects within  $\delta R_K/R_K \approx 10^{-4} - 10^{-2}$
- A specific case:

$$R_K^{MSSM} = R_K^{SM} \left[ 1 + \left( \frac{m_K}{m_H} \right)^4 \left( \frac{m_\tau}{m_e} \right)^2 |\Delta_{13}|^2 \tan^6 \beta \right]$$

with  $m_H = 500 \text{ GeV}/c^2$ ,  $|\Delta_{13}| = 5 \times 10^{-4}$  e  $\tan \beta = 40$

$$R_K^{MSSM} = R_K^{SM} (1 + 0.013) \text{ [PRD 74 (2006) 011701, JHEP 0811 (2008) 042]}$$



$\pi$  and  $B$  have the same effect, but:

- in  $R_\pi$  it's suppressed by  $(m_\pi/m_K)^4 \approx 10^{-3}$
- $B \rightarrow e\nu_e$  is out of reach and  $\frac{B \rightarrow \mu\nu_\mu}{B \rightarrow \tau\nu_\tau}$  has  $\approx 50\%$  enhancement

# Final result (full data sample)

## Uncertainties

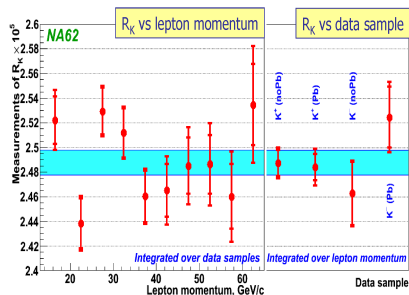
Source	$\delta R_K \times 10^5$
Statistical	0.007
$K \rightarrow \mu\nu\mu$	0.004
$K \rightarrow e\nu_e\gamma$ ( $SD^+$ )	0.002
$K \rightarrow \pi^0 e\nu_e, K \rightarrow \pi\pi^0$	0.003
Beam halo	0.002
Matter composition	0.003
Acceptance	0.002
Positron ID	0.001
DCH alignment	0.001
1-track trigger	0.001
Total	0.010

## Precision and accuracy

145,958  $K_{e2}$  candidates

Positron ID efficiency:  $(99.28 \pm 0.05)\%$

$B/(S + B) = (10.95 \pm 0.27)\%$



Fit over 40 measurements

4 data samples 10 momentum bins)

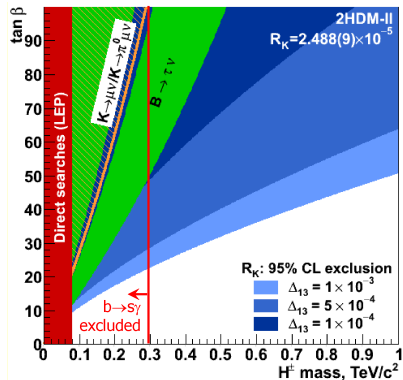
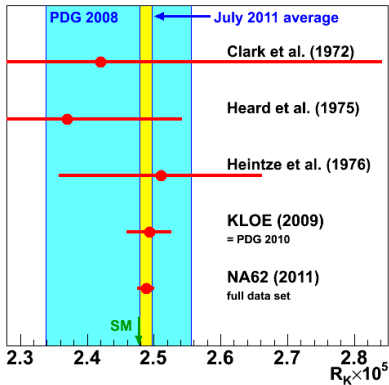
including correlations:

$\chi^2/ndf = 47/39$

## Result

$$R_K = (2.488 \pm 0.007_{stat} \pm 0.007_{syst}) \times 10^{-5}$$

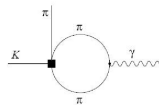
## World Average



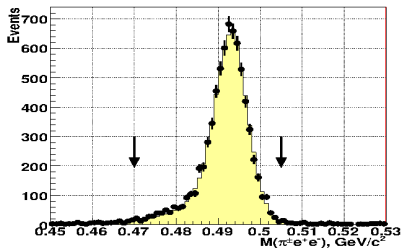
World average	$R_K \times 10^5$	Precision
PDG 2010	$(2.493 \pm 0.025)$	1.0%
July 2011	$(2.488 \pm 0.009)$	0.36%

# $K^\pm \rightarrow \pi^\pm l^+ l^-$ (NA48/2)

- FCNC process suppressed ( $BR \approx 10^{-7}$ )
- Loop induced ( $K^\pm \rightarrow \pi^\pm \gamma^*$ )
- Connected to the neutral channel (Higgs loops ..)

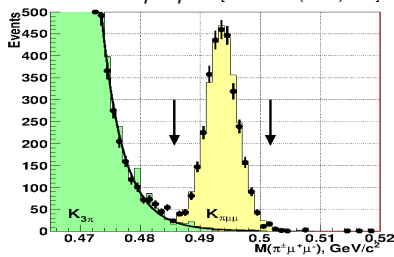


$K^\pm \rightarrow \pi^\pm e^+ e^-$  [PLB 677, (2009) 246]



- $\approx 7200$  event candidates
- $< 1\%$  background
- $BR = (3.11 \pm 0.12) \times 10^{-7}$
- $A_{CP} < 2.1 \times 10^{-2}$

$K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$  [PLB 697, (2011) 107]



- $\approx 3100$  event candidates
- $(3.3 \pm 0.7)\%$  background
- $BR = (9.62 \pm 0.25) \times 10^{-8}$
- $A_{CP} < 2.9 \times 10^{-2}$

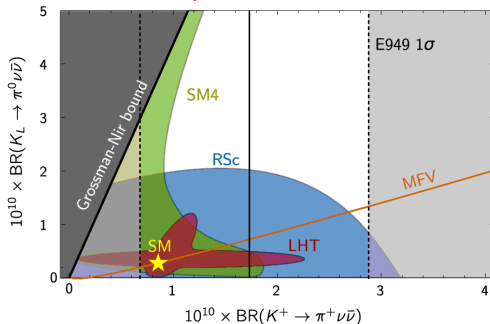
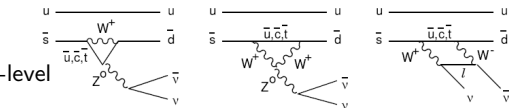
$K \rightarrow \pi \nu \bar{\nu}$ 

## Ultra rare decay

- FCNC process forbidden at tree-level
- Very clean theoretical prediction:  
hadronic matrix element extracted from  $BR(K \rightarrow \pi e \nu)$
- Golden modes:

	$BR_{SM}$	from CKM	from theory	
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	(2.43	$\pm 0.39$	$\pm 0.06$ )	$10^{-11}$
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	(7.81	$\pm 0.75$	$\pm 0.29$ )	$10^{-11}$

- Current existing measurement based on 7 events (E787/949):  
 $(1.73^{+1.15}_{-1.05}) 10^{-10}$
- Lead to measurement of  $V_{td} \approx 7\%$
- New Physics scenario  $\rightarrow$

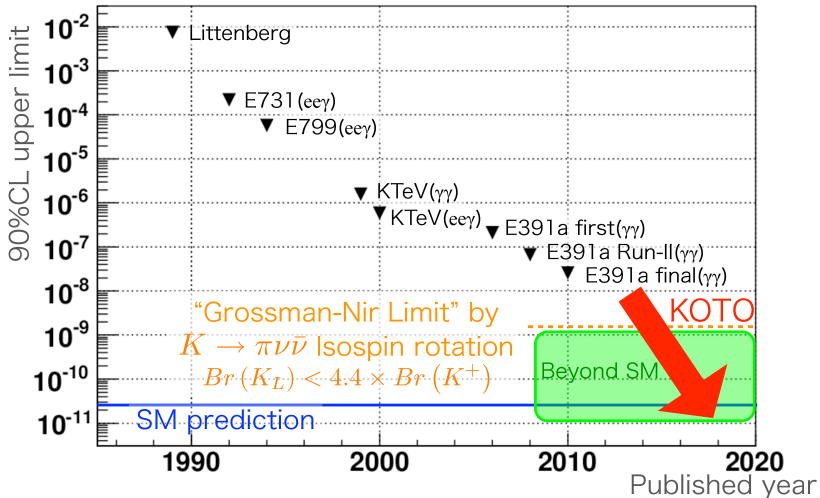




$K \rightarrow \pi \nu \bar{\nu}$  foreseen experiments

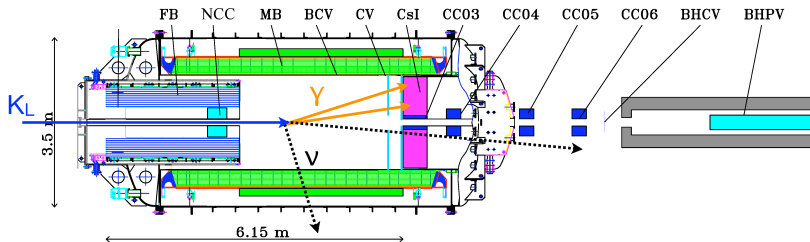
Expt	Primary beam	Intensity (ppp)	SM evts/yr	Start date + run yrs	Total SM evts
NA62	SPS 450 GeV	$3 \pm 10^{12}$	55	2014+2	110
FNAL $K^\pm$	Project X 8 GeV	$2 \pm 10^{14}$	250	2018+5	1250
ORKA	Tevatron up <150 GeV	$5 \pm 10^{13}$	120	2018+5	600
E14(KoTO)	JPARC-I 30 GeV	$2 \pm 10^{14}$	1-2	2013+3	3-7
E14	JPARC-II 30 GeV	$3 \pm 10^{14}$	30	2020+3?	100
FNAL KL	Booster 8 GeV	$2 \pm 10^{13}$	30	2016+2	60
FNAL KL	Project X 8 GeV	$2 \pm 10^{14}$	300	2018+5	1500

# $K \rightarrow \pi \nu \bar{\nu}$ foreseen experiments



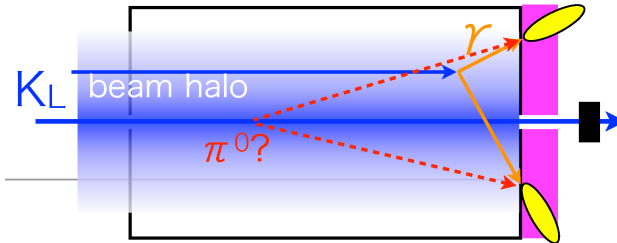
# $K \rightarrow \pi\nu\bar{\nu}$ foreseen experiments

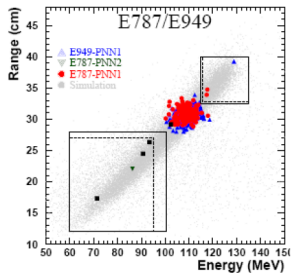
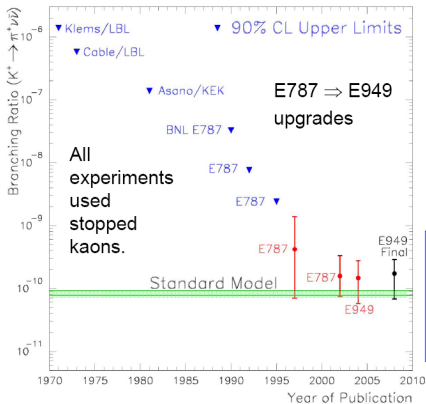
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$K \rightarrow \pi \nu \bar{\nu}$  foreseen experiments $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  History

E787/E949 Final: 7 events observed

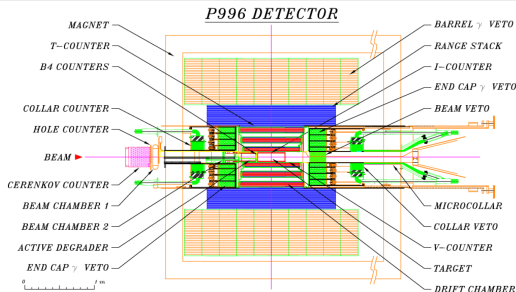
$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = 1.73^{+1.15}_{-1.05} \times 10^{-10}$$

Standard Model:

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (0.85 \pm 0.07) \times 10^{-10}$$

$K \rightarrow \pi \nu \bar{\nu}$  foreseen experiments

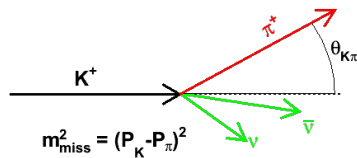
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# Measurement of $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ at NA62

Measurement at 10% ( $\approx$  SM prediction accuracy), 100 SM events

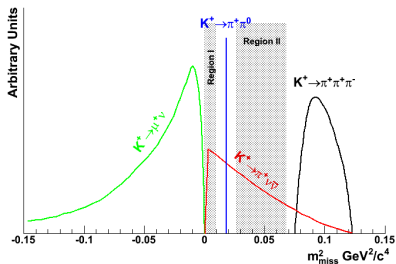
Missing mass



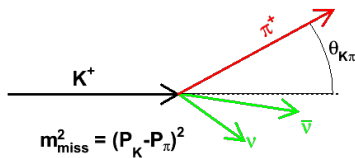
# Measurement of $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ at NA62

Measurement at 10% ( $\approx$  SM prediction accuracy), 100 SM events

Separated by kinematic cuts



Missing mass



92% of K decays

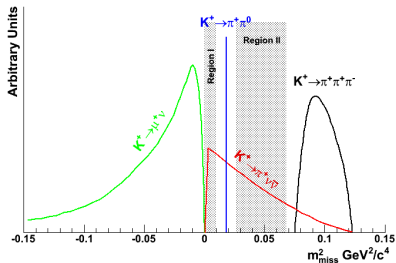
- 2 signal regions
- Minimize multiple scattering



# Measurement of $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ at NA62

Measurement at 10% ( $\approx$  SM prediction accuracy), 100 SM events

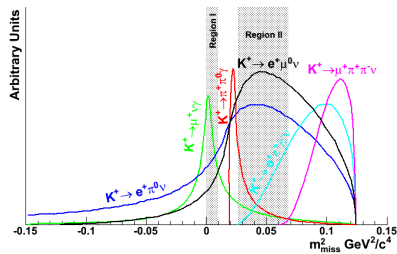
Separated by kinematic cuts



92% of K decays

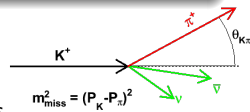
- 2 signal regions
- Minimize multiple scattering

Not separated by kinematic cuts



8% of K decays

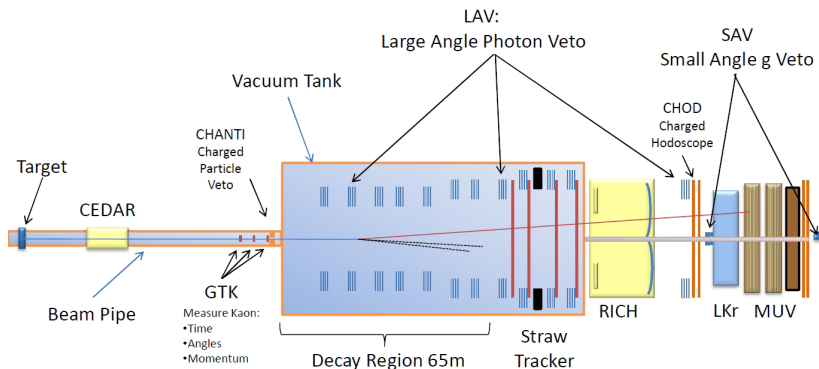
- Particle ID
- Photon vetoes



# NA62: beam and experiment layout

State of the art detectors for new precision frontier down to  $10^{-12}$

- SPS primary protons @ 400 GeV/c
- 75 GeV/c ( $\Delta P/P \approx 1\%$ )
- Area @ beam tracker 16 cm<sup>2</sup>
- Kaon decays/year  $4.8 \times 10^{12}$
- Unseparated secondary charged beam
- $p/\pi/K$  (positron free,  $K \approx 6\%$ ,  $p \approx 23\%$ )
- Integrated average rate @ beam tracker 750 MHz

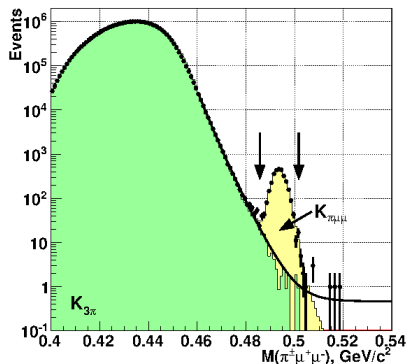


Technical run in 2012 and physics data taking in 2014-2016

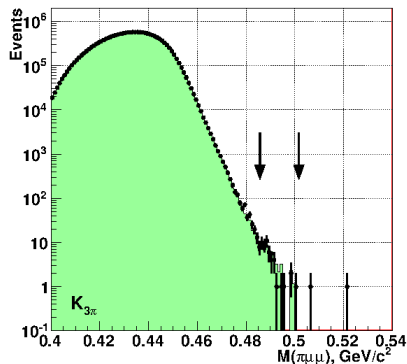
# $K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$ (NA48/2)

- Lepton Number Violating ( $\Delta L = 2$ ) decays
- Look for wrong-sign events in  $\pi^\pm \mu^+ \mu^-$  data

$K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$  FCNC candidates



$K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$  LNV candidates



$$BR(K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm) < 1.1 \times 10^{-9} \text{ (90\% CL)}$$

3 times better w.r.t. E865 [PRL 85, (2000) 2877]

# Summary

- Kaon physics continues to be a good tool for investigation in the flavour sector, ranging from precision measurements as input for effective theory to new observations connected to possible new physics effects
- Chiral Perturbation Theory and experimental determination of form factors provide a constantly improving tool for future precision measurements
- All measurements are currently in agreement with the SM
- A new generation of experiments is starting to explore ultra rare decays, opening a new chapter of tests for the SM and precision measurements previously not accessible:
  - NA62 and KoTO are in construction and will start taking data in the next two years
  - these detectors will be able to improve current measurements